Public Disclosure Copy

Form 990

PLEASE SIGN THIS COPY AND RETAIN FOR YOUR RECORDS

Public Inspection Requirement

An exempt organization must make available for public inspection, upon request and without charge, a copy of its original and amended annual information returns. Each information return must be made available from the date it is required to be filed (determined without regard to any extensions), or is actually filed, whichever is later. An original return does not have to be made available if more than 3 years have passed from the date the return was required to be filed (including any extensions) or was filed, whichever is later. An amended return does not have to be made available if more than 3 years have passed from the date it was filed.

An annual information return includes an exact copy of the return (Form 990 or 990–EZ and amended return, if any) and all schedules, attachments, and supporting documents filed with the IRS. In the case of a tax-exempt organization other than a private foundation, the names and addresses of contributors to the organization need not be disclosed, and Schedule B has been redacted accordingly.

For returns filed by Section 501(c)(3) organizations after August 17, 2006, Form 990-T must also be made available for public inspection. However, only those schedules, statements, and attachments to Form 990-T that relate to the imposition of the unrelated business income tax must be made available for public inspection.

This copy of the return is provided only for Public Disclosure purposes. Any confidential information regarding donors, and schedules or attachments to Form 990-T that do not relate to the calculation of unrelated business income tax, have been removed.

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** PUBLIC DISCLOSURE COPY **

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter social security numbers on this form as it may be made public.

Department of the Treasury Internal Revenue Service

► Go to www.irs.gov/Form990 for instructions and the latest information.



<u>A F</u>	or th	2021 calendar year, or tax year beginning 3011 , 2021 and	ending J	JN 30, 2022					
В с	heck if oplicab	c Name of organization		D Employer identified	cation number				
	Addre	NORTHERN ILLINOIS UNIVERSITY FOUNDATION							
	Name Chang	e Doing business as	36-6086819						
	Initial return	Number and street (or P.O. box if mail is not delivered to street address)	E Telephone numbe	r					
	Final return	ALTGELD HALL 134		815-753-0282					
	termin ated			G Gross receipts \$	31,144,814.				
	Amen return	DERADB, IL 00115		H(a) Is this a group re	eturn				
	Applie tion	F Name and address of principal officer. entitlent the b operation, et all		for subordinates	? Yes X No				
	pendi	¹⁹ SAME AS C ABOVE		H(b) Are all subordinates ir	icluded? Yes No				
ΙТ	ax-ex	empt status: 🕱 501(c)(3) 🔲 501(c) ()◀ (insert no.) 🗌 4947(a)(1) d	or 🗌 527	1	list. See instructions				
JΝ	Vebsi	te: WWW.NIUFOUNDATION.ORG		H(c) Group exemptio	n number 🕨				
κF	orm o	organization: X Corporation Trust Association Other ►	L Year	of formation: 1949	A State of legal domicile: IL				
Pa	rt I	Summary							
	1	Briefly describe the organization's mission or most significant activities: TO SECT	URE AND M	IANAGE PRIVATE					
ဦ		SUPPORT TO BENEFIT NORTHERN ILLINOIS UNIVERSITY.							
Governance	2	Check this box 🕨 🔲 if the organization discontinued its operations or dispos	sed of more	than 25% of its net as	sets.				
Nel N	3	Number of voting members of the governing body (Part VI, line 1a)		3	21				
	4	Number of independent voting members of the governing body (Part VI, line 1b)		4	21				
s S	5	Total number of individuals employed in calendar year 2021 (Part V, line 2a)			0				
Activities &	6	Total number of volunteers (estimate if necessary)		6	1546				
Gti	7 a	Total unrelated business revenue from Part VIII, column (C), line 12		7a	237,501.				
◄		Net unrelated business taxable income from Form 990-T, Part I, line 11			81,275.				
				Prior Year	Current Year				
a	8	Contributions and grants (Part VIII, line 1h)	utions and grants (Part VIII, line 1h)						
Revenue	9	Program service revenue (Part VIII, line 2g)		855,545.	1,646,922.				
eve	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)		3,636,912.	10,172,266.				
ے ۔	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)		513,062.	261,565.				
	12	Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)		19,769,016.	31,131,654.				
	13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)		5,671,066.	6,205,814.				
	14	Benefits paid to or for members (Part IX, column (A), line 4)		0.	0.				
ç	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)		0.	0.				
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)		0.	0.				
<u>e</u>		Total fundraising expenses (Part IX, column (D), line 25)							
۳	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)		3,645,081.	4,821,253.				
	18	Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)		9,316,147.	11,027,067.				
	19	Revenue less expenses. Subtract line 18 from line 12	10,452,869.	20,104,587.					
Ces				ginning of Current Year	End of Year				
Assets Balanc	20	Total assets (Part X, line 16)		170,425,866.	169,106,712.				
dB	21	Total liabilities (Part X, line 26)		13,190,168.	13,730,241.				
Eubu	22	Net assets or fund balances. Subtract line 21 from line 20		157,235,698.	155,376,471.				
Pa	rt II	Signature Block							

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

			11/11/22					
Sign	Signature of officer		Date					
Here	CATHERINE B SQUIRES, CFRE, PRESID	DENT AND CEO						
	Type or print name and title							
	Print/Type preparer's name Preparer's signature Date Check PTIN							
Paid	DORI J. EGGETT	DORI J. EGGETT	11/09/22 ^{II} self-employed P00645252					
Preparer	Firm's name 🍃 PLANTE & MORAN, PLLC		Firm's EIN 🕨 38-1357951					
Use Only	Only Firm's address S181 E TUFTS AVE, SUITE 600							
	DENVER, CO 80237 Phone no.303-740-9400							
May the II	May the IRS discuss this return with the preparer shown above? See instructions							

132001 12-09-21 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form	990 (2021) NORTHERN ILLINOIS UN			36-6086819 Page 2
Pa	t III Statement of Program Service Acco	omplishments		
	Check if Schedule O contains a response or no	te to any line in this Part III		X
1	Briefly describe the organization's mission:			
	THE NIU FOUNDATION'S MISSION IS TO ENER	GIZE AND CONNECT THE P	RIVATE	
	SECTOR WITH THE NIU COMMUNITY TO SECURE	AND STEWARD RESOURCES	ТНАТ	
	SUPPORT THE FUTURE AND GROWTH OF NIU.			
2	Did the organization undertake any significant progra			
				Yes X No
	If "Yes," describe these new services on Schedule O.			
3	Did the organization cease conducting, or make signi	ficant changes in how it condu	ucts, any program services?	Yes X No
	If "Yes," describe these changes on Schedule O.			
4	Describe the organization's program service accompl			
	Section 501(c)(3) and 501(c)(4) organizations are requ	ired to report the amount of g	rants and allocations to others, t	he total expenses, and
	revenue, if any, for each program service reported. (Code:) (Expenses \$ 8,081,36		6 005 014	4 505 400
4a		•4 including grants of \$	6,205,814.) (Revenue\$	1,595,482.
	SEE SCHEDULE O			
4b	(Code:) (Expenses \$	including grants of \$) (Revenue \$	
4c	(Code:) (Expenses \$	including grants of \$) (Bevenue \$	
) (november 4	
A -	Other program convises (Describe or Cohodule O)			
4d	Other program services (Describe on Schedule O.)			N
4 -	(Expenses \$ including grants	s of \$ 8,081,364.) (Revenue \$)
40	Total program service expenses	0,001,004.		000 (#)
				Form 990 (2021
132002	12-09-21	2		

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⊢orm	990	(2021)

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

36-6086819 Page 3

I Is the organization described in section 501(c)(3) or 4047(g)(1) (other than a private foundation)? Yes, "complete Schedule A I Is the organization described in section 501(c)(3) or 4047(g)(1) (other than a private foundation)? Yes, "complete Schedule A, B, Schedule A Coultibulue)? I I Z Z ID do the organization require to complete Schedule C, Part I I X Z X IS the organization a sector 501(g),	Pa	t IV Checklist of Required Schedules			uge
1 Is the organization described in section 501(b)(b) or 4947(b)(1) (other than a private soundation)? I X 2 Is the organization required to complete Schedule <i>P</i> , <i>Bit Diversity</i> 5 Sei influctions 2 X 3 Dut the organization required to complete Schedule <i>Q</i> , <i>Part I</i> 3 X 4 Section 501(b)(2) organization. Bit organization is direct or index page in labbying activities, or have a section 501(b) election in effect during the tax year? <i>Prives</i> , "complete Schedule <i>Q</i> , <i>Part I</i> 4 X 5 If the organization association or investment of amounts in such India or anocurst in such India or anocurs				Yes	No
# "Yes," complete Schedule A complete Schedule B, Schedule of Contributors? See instructions 1 x 3 Det the organization required to complete Schedule C, Part II 3 x 4 Section 501(c)3 organizations. Die the organization engage in lobbying activities, or have a section 501(i)) election in effect during the tax year? If "yes," complete Schedule C, Part II 4 x 5 Is the organization a section 501(c)4) 501(c)50 organization that receives membership dues, assessments, or sinflar amounts as defined in Rev. Proc. 801(c)40 organization flux receives membership dues, assessments, or sinflar amounts as defined in Rev. Proc. 801(c)40 organization flux receives membership dues, assessments, or sinflar amounts as defined in Rev. Proc. 801(c)40 organization flux receives the within donors have the right to provide advice on the distribution or investment of amounts in such flux or avocation flux receives on space. 7 Z 6 De the organization require and acreament. Including assemments bereave oon space. 7 Z 7 De the organization require and amount in Part X. Ine 21, for secrow or custodial account liability, serve as a custodian for amounts in test in Part X. In Part X. Ine 21, for secrow or custodial account liability, serve as a custodian for amounts in the rest theorematic program assessment. The Alter 21, for secrow or custodial account liability, serve as a custodian for amounts in the secret and an annum to intervise theorematics in Part X, ine 10, buildings, and equipment in Part X, line 127, if "Yes," complete Schedule D, Part VI 10 De the organization report an amount for the rest secret in Part X, line 257, if "Yes," complete Schedule D, Par	1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
2 Is the organization required to complete Schedule 9, Schedule of Contributors 7 be instructions 2 X 3 Did the organization requires in ident or indirect political campaign activities on have a section 501(h) election in effect during the twy art // res, "complete Schedule 0, Part // 3 X 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the twy art // res, "complete Schedule 0, Part // 5 X 5 Is the organization matchin any done advised funds or any similar funds or accounts? If "Yes," complete Schedule 0, Part // 6 X 6 Did the organization matchin collections of which donors have the similar assets? If "Yes," complete Schedule 0, Part // 6 X 7 Z M 6 X 8 X 7 Did the organization matchin collections of which of at, historical treasures, or obter similar assets? If "Yes," complete Schedule 0, Part // 7 X 7 Did the organization anameum in Part X, Ime 21, or secrow or custodial account lability, serve as a custodian for anounts not lineworkins and the collection and the colection anadin the collection anount to investments - other securi	-		1	х	
3 Def the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If Yes, 'complete Schedule C, Part II 3 X 4 Section 001c(3) organizations. Did the organization engage in tobbying activities, or have a section 501(h) election in effect 4 X 5 Is the organization a section 501(h). 501(b) 001(b). 501(b) 001(b). 5 X 6 X 0 Did the organization match and effend in Rev. Proc. B9107 If Yes, 'complete Schedule C, Part II 6 X 7 X 0 Did the organization match and effend in Rev. Proc. B9107 If Yes, 'complete Schedule D, Part II 6 X 9 Did the organization match on advortable assement, including assements to provide advice an the distribution or investment of announts in such trunds or accounts lability, serve as a custodian tor amounts on labid in Part X, in conjute Schedule D, Part II 7 X 9 Did the organization match or any out in table diversity of the following questions in Yes, 'the complete Schedule D, Part IV 10 X 10 Did the organization nervices in downer structures? 10 X 10 Did the organization match and assets in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? 1	2			х	
public office? // "res," complete Schedule Q, Part // 3 X 4 Sector 501(6)(3) organizations. Did the organization engage in bobying activities, or have a sector 501(h) election in effect 4 X 5 Is the organization a sector 501(c)(h) c501(c)(h) c			_		
Section 501(c)(8) organizations. Did the organization engage in lobbying activities, or have a section 501(c)(8) completes Schedule C, Part II. Image: Complete Schedule C, Part II. 5 Is the organization action of the Schedule C, Part II. Similar amounts as defined in Rev. Proc. 981(7) if Yings, complete Schedule D, Part II. Similar amounts as defined in Rev. Proc. 981(7) if Yings, complete Schedule D, Part II. Similar amounts as defined in Rev. Proc. 981(7) if Yings, complete Schedule D, Part II. Similar amounts and cellection of vinestimant of amounts in such funds or accounts for which donors have the right to provide advice on the distribution or investment is nucl funds? If Yings, complete Schedule D, Part II. Similar amounts in such funds account liability, serve as a custodian in the environment, historical treasures, or dher similar assets? If Yings, complete Schedule D, Part II. Similar funds account liability, serve as a custodian in the schedule Schedule D, Part II. Similar funds account liability, serve as a custodian in the schedule D, Part V. 10 Did the organization report an amount for leaded organization, hold assets in donor restricted endowments or or in quali endowments? If Yings, complete Schedule D, Part V. 10 X 11 If the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 12, Part V. 110 X 12 Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total assets reported in Part X, line 16? If Yings, complete Schedule D, Part V. 111 X 12 Did the organiz	Ũ		3		x
during the fax year? If Yes," complete Schedule C, Parl II. 4 X 5 Is the organization a section Sol(e)(4): 501(c)(6) or 501(c)(6) or 501(c)(6) or 201(c)(6)	4				
5 is the organization a sector 5010(34), 5010(36), of 5010(36) organization that receives membership dues, assessments, or similar amounts as defined in Rev. Proc. 80-197 // Yes," complete Schedule Q, Part // 5 X 5 Did the organization maintain any door advices funds or any similar funds or accounts? // Hrvs," complete Schedule D, Part // 5 X 6 Did the organization necession. Fundance assement, including assemities to preserve open space, 6 X 7 Z Did the organization necession. Fundance assement, including assemities to preserve open space, 7 Z 8 Did the organization reserver to rold a conservation fundance assement, including assemities to preserve open space, 7 Z 9 Did the organization reserver any orther following assemities in classify, in Yres, "complete Schedule D, Part III. 8 X 10 Did the organization reserver any orther following questions is Yes, 'then complete Schedule D, Part VI. 10 X 11 If the organization report an amount for investments - orther securities in Part X, line 127. If Yes, "complete Schedule D, Part VI. 10 X 10 Did the organization report an amount for investments - order securities in Part X, line 127. If Yes, "complete Schedule D, Part XI. 114 X 114 Did the or	•		4	x	
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6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advise on the distribution or investment of amounts in such funds or accounts for which donors have the right to provide advise on the distribution or investment of amounts in such funds or accounts for which donors have the right to provide advise on the distribution or investment of amounts in such funds or accounts for which donors have the right advised to the advised of the advised to the advised of the advised to the advised of the advised to the a	Ŭ		5		x
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7 Did the organization reserve or hold a conservation assement, including essements to preserve open space, the environment, historic land areas, or historic atructures? (* "Yes," complete Schedule D, Part II. 2 X D Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part II. 8 X D Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part IV. 8 X 10 Did the organization, of port a amount in Part X, line 21, for serrow or custodial account liability, serve as a custodian for anonunt for thready and the organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part IV. 10 X 11 If the organization, report an amount for investments - other securities in Part X, line 10? If "Yes," complete Schedule D, Part VI. 10 X 12 D dit the organization report an amount for investments - other securities in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VI. 11a X 13 X 10 Did the organization isoparts an amount for investments - other assourties in Part X, line 13, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VI. 11a X 14 Did the organization isoparate, independent audited financial statements	U		6		x
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9 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part II 8 X 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? 9 X 10 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments or in quasi endowments? If "Yes," complete Schedule D, Part V 10 X 11 If the organization report an amount for lend, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 11a X 12 Did the organization report an amount for investments - roogram related in Part X, line 10? If "Yes," complete Schedule D, Part VI 11a X 13 Did the organization report an amount for investments - program related in Part X, line 15% or more of its total assets reported in Part X, line 167 If "Yes," complete Schedule D, Part XI 11b X 14 Did the organization report an amount for other assets in Part X, line 15% that is 5% or more of its total assets reported in Part X, line 167 If "Yes," complete Schedule D, Part XI 11d X 14 Did the organization report an amount for other assets in Part X, line 15% that is 5% or more of its total assets reported in Part X, line 167 If "Yes," complete Schedule D, Part X	'		7		x
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domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II			200		
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Form **990** (2021)

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Form 990 (2021)	NORTHERN		
Part IV	Checklist	of Required So	chedules	(continued)

			Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on			
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Х	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No," go to line 25a	24a		X
	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
с	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
258	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit	25a		x
h	transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and	258		
b	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete			
		25b		x
26	Schedule L, Part I Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current	200		
20	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		x
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,			
	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		x
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV,			
	instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If			
	"Yes," complete Schedule L, Part IV	28a		х
b	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		X
с	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If			
	"Yes," complete Schedule L, Part IV	28c		X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	Х	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation			
	contributions? If "Yes," complete Schedule M	30	X	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete			v
~~	Schedule N, Part II	32		x
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			x
24	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and	34	x	
35 2	Part V, line 1 Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		x
	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity	000		
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
	If "Yes," complete Schedule R, Part V, line 2	36		x
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization			
	and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		x
38	Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19?			
	Note: All Form 990 filers are required to complete Schedule O	38	х	
Pa	t V Statements Regarding Other IRS Filings and Tax Compliance			
	Check if Schedule O contains a response or note to any line in this Part V			X
			Yes	No
	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable 101			
	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable 1b 0			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c	X	<u> </u>
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Par	t V Statements Regarding Other IRS Filings and Tax Compliance (continued)				
			Yes	No	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,				
	filed for the calendar year ending with or within the year covered by this return 2a 0				
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b			
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to <i>e-file</i> . See instructions.				
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	х		
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	х		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a				
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X	
b	If "Yes," enter the name of the foreign country				
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X	
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X	
с	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c			
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit				
	any contributions that were not tax deductible as charitable contributions?	6a		X	
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts				
	were not tax deductible?	6b			
7	Organizations that may receive deductible contributions under section 170(c).				
а	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	х		
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	х		
с	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required				
	to file Form 8282?	7c		X	
d	If "Yes," indicate the number of Forms 8282 filed during the year 7d				
е	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X	
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X	
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? \dots	7g			
h	h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?				
8	8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the				
	sponsoring organization have excess business holdings at any time during the year?	8			
9	Sponsoring organizations maintaining donor advised funds.				
а	Did the sponsoring organization make any taxable distributions under section 4966?	9a		<u> </u>	
b	Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		_	
10	Section 501(c)(7) organizations. Enter:				
	Initiation fees and capital contributions included on Part VIII, line 12	-			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b	-			
11	Section 501(c)(12) organizations. Enter:				
а	Gross income from members or shareholders	-			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against				
	amounts due or received from them.)				
	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		-	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year 12b	-			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.				
а	Is the organization licensed to issue qualified health plans in more than one state?	<u>13a</u>			
	Note: See the instructions for additional information the organization must report on Schedule O.				
b	Enter the amount of reserves the organization is required to maintain by the states in which the				
	organization is licensed to issue qualified health plans 13b	-			
С	Enter the amount of reserves on hand				
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		X	
	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b			
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or				
	excess parachute payment(s) during the year?	15		X	
	If "Yes," see the instructions and file Form 4720, Schedule N.				
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		X	
	If "Yes," complete Form 4720, Schedule O.				
17	Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any				
	activities that would result in the imposition of an excise tax under section 4951, 4952 or 4953?	17			
	If "Yes," complete Form 6069.	_	000	1	
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Form	990 (2021) NORTHERN ILLINOIS UNIVERSITY FOUNDATION		36-608		Р	age 6
Par	t VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 th	hrough	7b below, and f	for a "No"	respor	ise
	to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O					
	Check if Schedule O contains a response or note to any line in this Part VI					X
Sec	tion A. Governing Body and Management					
					Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	1a		21		
	If there are material differences in voting rights among members of the governing body, or if the governing					
	body delegated broad authority to an executive committee or similar committee, explain on Schedule 0.					
b	Enter the number of voting members included on line 1a, above, who are independent	1b		21		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship	with a	ny other			
	officer, director, trustee, or key employee?			2	Х	
3	Did the organization delegate control over management duties customarily performed by or under the	e direct	supervision			
	of officers, directors, trustees, or key employees to a management company or other person?			3		x
4	Did the organization make any significant changes to its governing documents since the prior Form 9	90 was	filed?	4		x
5	Did the organization become aware during the year of a significant diversion of the organization's ass	ets?		5		X
6	Did the organization have members or stockholders?			6		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or ap	point o	ne or			
	more members of the governing body?			7a		x
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, st	ockholo	lers, or			
	persons other than the governing body?			7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year	r by the	following:			
	The governing body?				Х	
b	Each committee with authority to act on behalf of the governing body?			8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be read					
	organization's mailing address? If "Yes," provide the names and addresses on Schedule O			9		X
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Re	venue (Code.)		1	
					Yes	
	Did the organization have local chapters, branches, or affiliates?			10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such ch	apters,	affiliates,			
	Has the organization provided a complete copy of this Form 990 to all members of its governing body	/ before	filing the form	? 11a	X	
	Describe on Schedule O the process, if any, used by the organization to review this Form 990.					
	Did the organization have a written conflict of interest policy? If "No," go to line 13				X	
	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise			<u>12b</u>	X	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Y	,			v	
40	on Schedule O how this was done			12c	X	
13	Did the organization have a written whistleblower policy?				X X	
14	Did the organization have a written document retention and destruction policy?			14	^	
15	Did the process for determining compensation of the following persons include a review and approva	l by ind	ependent			
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			45		x
	The organization's CEO, Executive Director, or top management official					X
a	Other officers or key employees of the organization			<u>15b</u>		
16-	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.		ha			
108	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangen			16-		x
h	taxable entity during the year? If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluat			<u>16a</u>		
U	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization		-			
	exempt status with respect to such arrangements?			16b		
Sec	tion C. Disclosure			[100		I
17	List the states with which a copy of this Form 990 is required to be filed CA , IL , NY					
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, ar	nd 990-1	(section 501(c	(3)s only)	availa	hle
	for public inspection. Indicate how you made these available. Check all that apply.			.,(C,C Only)	arund	
	X Own website Another's website X Upon request Other (explain	on Sak	nedule ()			
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, co		,	and finan	cial	
	statements available to the public during the tax year.					
20	State the name, address, and telephone number of the person who possesses the organization's boo	ks and	records 🕨			
	KYM KOWALSKI - 815-753-1663		····· • _			
	ALTGELD HALL 134, DEKALB, IL 60115					
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Form 990 (2021)	NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	Page 7								
Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated											
Employees, and Independent Contractors											
Check if Sc	hedule O contains a response or note to any line in this Part VII		. X								
Section A. Officers, I	Directors, Trustees, Key Employees, and Highest Compensated Employees										
1a Complete this table	for all persons required to be listed. Report compensation for the calendar year end	ding with or within the organization's	tax vear.								

List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation.
 Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's current key employees, if any. See the instructions for definition of "key employee."

• List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

Name and title Average hours per function metation bind per metated organization below	(A)	(B)	(C)					(D)	(E)	(F)	
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Form 990 (2021)

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Form 990 (2021) NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Page 8												
Part VII Section A. Officers, Directors, Trust	ees, Key Emp	ploy	ees,	anc	d Hig	ghes	t C	ompensated Employee	s (continued)			
(A)	(B)				C)			(D)	(E)		(F	;)
Name and title	Average	(do		Pos heck		l than c	one	Reportable	Reportable		Estim	ated
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	related	e or c	tee			sated		(W-2/1099-MISC/	1099-NEC)		organi	
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(18) BRENT BRODESKI	1.00											
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(19) WHEELER COLEMAN	1.00											
DIRECTOR	0.00	х						0.	C).		0.
(20) CAROL CRENSHAW	1.00											
DIRECTOR	0.00	х						0.	C).		0.
(21) JOHN THOMAS FUTRELL	1.00											
DIRECTOR	0.00	x						0.	C			0.
(22) KENNETH GREISMAN	1.00									+		
DIRECTOR	0.00	x						0.	C			0.
(23) ANTHONY KAMBICH	1.00									+		
DIRECTOR	0.00	x						0.	C			0.
(24) JEFFREY LIESENDAHL	1.00									╧		
DIRECTOR	0.00	x						0.	ſ			0.
(25) CHERILYN MURER, JD, CR	1.00							· · ·				
DIRECTOR	0.00	x						0.	,			٥
		^						0.		<u> </u>		0.
(26) JAMES PICK	1.00	x						0		0.		0
DIRECTOR								0.			47	0. 0,938.
1b Subtotal								0.	1,774,361)	4/	0,938.
c Total from continuation sheets to Part VII											4.7	-
d Total (add lines 1b and 1c)								0.	1,774,361	•	4 /	0,938.
2 Total number of individuals (including but no	ot limited to th	ose	liste	d ab	ove) wh	o re	eceived more than \$100,	000 of reportable			0
compensation from the organization											Ye	0 es No
• Did the experimetion list on the former officer							la : a				16	5 110
3 Did the organization list any former officer,	-			•	•		Ŭ		•		•	x
line 1a? If "Yes," complete Schedule J for su											3	
4 For any individual listed on line 1a, is the su			•						•			
and related organizations greater than \$150											4 X	_
5 Did any person listed on line 1a receive or a												
rendered to the organization? If "Yes." com	plete Schedule	e J fo	or si	ıch ı	bers	on .					5	X
Section B. Independent Contractors												
1 Complete this table for your five highest cor										satio	n from	
the organization. Report compensation for t	he calendar ye	ear e	endir	ng w	rith c	or wit	thin	the organization's tax y	ear.			
(A)								(B)		~	(C)	
Name and business	address							Description of s	ervices	Con	npensa	tion
NATIONAL PUBLIC RADIO												
PO BOX 79540, BALTIMORE, MD 79540								TOWER/RADIO STATIO	N		25	0,453.
MARTS & LUNDY INC												
160 CHUBB AVE , LYNDHURST, NJ 07071								CAMPAIGN CONSULTAN	Т		21	3,961.
FUND EVALUATION GROUP LLC												
PO BOX 639176, CINCINNATI, OH 45263 INVESTMENT MANAGEMENT 166,662.									6,662.			
EVER TRUE INC												
330 CONGRESS ST FL 2, BOSTON, MA 02210 CONSULTANT/SOFTWARE 138,204										8,204.		
MUCH SHELIST , 191 N UPPER WACKER DR	SUITE									_		
1800, CHICAGO, IL 60606								LEGAL			11	9,202.
2 Total number of independent contractors (including but not limited to those listed above) who received more than												
	\$100,000 of compensation from the organization b 5											
SEE PART VII, SECTION A CONTINU		TS								Fc	orm 99	0 (2021)
132008 12-09-21												. /

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8 2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Form 990NORTHERN ILL:	N	36-6086819								
Part VII Section A. Officers, Directors, Tru	stees, Key Er	nplo	yee	s, a	nd H	ligh	est (Compensated Employe	es (continued)	
(A)	(B)			(0	C)			(D)	(E)	(F)
Name and title	Average				ition			Reportable	Reportable	Estimated
	hours	(cl	heck	k all :	that	app	ly)	compensation	compensation	amount of
	per week							from the	from related organizations	other compensation
	(list any	tor				ploye		organization	(W-2/1099-MISC)	from the
	hours for	rdirec				ed em		(W-2/1099-MISC)	(organization
	related	tee o	ustee			ensat				and related
	organizations	al trus	onal tr		loyee	comp				organizations
	below	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(27) MANNY SANCHEZ	line) 1.00	Ē	Ë	đ	Ϋ́	王	Ъ			
DIRECTOR	0.00	x						0.	0.	0.
(28) RICH ESCALANTE	1.00								·	- •
DIRECTOR	0.00	x						0.	0.	0.
(29) PETE GARRITY	1.00									
DIRECTOR	0.00	х						0.	0.	0.
(30) JOSEPH SENER	1.00									
DIRECTOR	0.00	х						0.	0.	0.
(31) RAY BANKS	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(32) SOPHIA ARNOLD	1.00									
STUDENT DIRECTOR	0.00	х						0.	0.	0.
(33) ISABELLE VONDRA	1.00									
STUDENT DIRECTOR	0.00	X						0.	0.	0.
(34) MICHAEL CULLEN	2.00							0	0	0
BOARD CHAIR- PART YEAR	0.00	х						0.	0.	0.
		<u> </u>								
		1								
		1								
		1								
		-								
		1								
		1								
Total to Part VII, Section A, line 1c										

132201 04-01-21

		Check if Schedule O	CONT	ants a respor	ise	or note to any line	(A)	(B)	(C)	(D)
							Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue exclu from tax und sections 512 -
s	1 a	Federated campaigns		1a						300110113 0 12
nnt		Membership dues				39,248.				
o E		Fundraising events				12,110.				
ΓA		Related organizations				, .				
niia		Government grants (contr								
2 N		All other contributions, gifts,								
ner	•	similar amounts not included				18,999,543.				
5	a	Noncash contributions included in				142,886.				
and Other Similar Amounts	-	Total. Add lines 1a-1f				· •	19,050,901.			
						Business Code	, ,			
	2 a	SERVICE CONTRACT				900099	743,600.	743,600.		
	b				_	900099	460,876.	409,436.	51,440.	
anc	c				_	900099	362,400.	362,400.	,	
sver	-	QUID PRO QUO ON GIF	TS		_	900099	80,046.	80,046.		
Revenue	e				_		,	,		
		All other program service	reve	nue	_					
		Total. Add lines 2a-2f					1,646,922.			
\dagger	3	Investment income (includ					, , ,			
	-	other similar amounts)	-				3,023,924.		59,581.	2,964,3
	4	Income from investment of					· ·		, i	
	5	Royalties		-	-	Г	38,096.			38,0
	-	···· j -·····		(i) Real		(ii) Personal				
	6 a	Gross rents	6a	1,8	05.					
		Less: rental expenses	6b	,	0.					
		Rental income or (loss)	6c	1,8	05.					
		Net rental income or (loss)		, , , , , , , , , , , , , , , , , , ,			1,805.			1,8
		Gross amount from sales of	/ <u> </u>	(i) Securiti		(ii) Other	, -			,
	<i>i</i> u	assets other than inventory	7a	7,148,3		(.,				
	Ь	Less: cost or other basis	74	.,,_						
	b	and sales expenses	7b		٥.					
	~	Gain or (loss)	7c	7,148,3						
		Net gain or (loss)					7,148,342.			7,148,3
		Gross income from fundraisi					,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
	0 4	including \$	-							
		contributions reported on								
				-	8a	25,347.				
	h	Part IV, line 18			8b	13,160.				
						10,100.	12,187.			12,1
		Net income or (loss) from		-	5		12,107.			,
	ร ส	Gross income from gamin			0-					
	L	Part IV, line 19			9a 9b					
		Less: direct expenses								
		Net income or (loss) from								
	iu a	Gross sales of inventory,			40					
		and allowances			10a					
		Less: cost of goods sold			10b	<u> </u>				
+	с	Net income or (loss) from	sales	s of inventor	/	Buoinees Osda				
						Business Code	106 400		106 490	
Kevenue		AFFINITY PROGRAMS			_	900099	126,480.		126,480.	0.0
(ent		COMMISSION REVENUE			_	900099	82,997.			82,9
٩ ۲	С				_					
٦		All other revenue				L				
	е	Total. Add lines 11a-11d				🕨	209,477.			
	12	Total revenue. See instruction	nne				31,131,654.	1,595,482.	237,501.	10,247,7

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Form 990 (2021)

14041109 147228 133621-0

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NORTHERN ILLINOIS UNIVERSITY FOUNDATION

36-6086819 Page **10**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) Х Check if Schedule O contains a response or note to any line in this Part IX (B) (C) (D) (A) Do not include amounts reported on lines 6b. Total expenses Management and general expenses Program service Fundraising 7b, 8b, 9b, and 10b of Part VIII. expenses expenses Grants and other assistance to domestic organizations 1 3,449,870 3,449,870 and domestic governments. See Part IV, line 21 2 Grants and other assistance to domestic 2,755,944 2,755,944 individuals. See Part IV, line 22 Grants and other assistance to foreign 3 organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16 Benefits paid to or for members 4 5 Compensation of current officers, directors, trustees, and key employees Compensation not included above to disqualified 6 persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Other salaries and wages 7 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) 9 Other employee benefits 10 Payroll taxes 11 Fees for services (nonemployees): а Management 137,598. 134,751, 2,847. b Legal 30,810, 30,810 С Accounting Lobbying d Professional fundraising services. See Part IV, line 17 е Investment management fees 134,488. 134,488 f Other. (If line 11g amount exceeds 10% of line 25, g 867,784 170,872 282,326 414,586. column (A), amount, list line 11g expenses on Sch 0.) 5,537 2,690 1,500 1,347. Advertising and promotion 12 504,159. 172,089. 171,427 160,643. 13 Office expenses 14 Information technology 15 Royalties 16 Occupancy 484,257, 417,227, 20,109 46,921. 17 Travel 18 Payments of travel or entertainment expenses for any federal, state, or local public officials 58,741. 360,207. 275,093. 26,373. Conferences, conventions, and meetings 19 20 Interest Payments to affiliates 21 620,175 618,155, 1,010 1,010. 22 Depreciation, depletion, and amortization 72,437. 72,437 23 Insurance Other expenses. Itemize expenses not covered 24 above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule 0.) FDN SUPPORT FOR SERVICE 1,411,682. 117,809, 734,654 559,219. а EQUIPMENT & MAINTENANCE 90,642 27,547. 54,770 8,325. b OTHER EXPENSES 52,053. 28,512, 17,099. 6,442. С TAXES 49,424. 45,556. 3,868 d All other expenses е 11,027,067 1,717,990 1,227,713. Total functional expenses. Add lines 1 through 24e 8,081,364, 25 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. if following SOP 98-2 (ASC 958-720) Check here

11

132010 12-09-21

14041109 147228 133621-0

Form 990 (2021)

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	, ,		, ,			
	trustee, key employee, creator or founder, substa	antial o	contributor, or 35%			
	controlled entity or family member of any of thes	e pers	ons		5	
6	Loans and other receivables from other disqualif	ied pei	rsons (as defined			
	under section 4958(f)(1)), and persons described	in sec	tion 4958(c)(3)(B)		6	
7	Notes and loans receivable, net			7		
8	Inventories for sale or use		8			
9				525,702.	9	311,309.
10a			, -		, -	
	basis. Complete Part VI of Schedule D					
Ь		21,994,224.	10c	21,562,394.		
		125,852,222.		114,739,702.		
11	Investments - publicly traded securities					
12	Investments - other securities. See Part IV, line 1		12,045,691.	12	22,849,199.	
13	Investments - program-related. See Part IV, line 1	I1			13	
14	Intangible assets				14	
15	Other assets. See Part IV, line 11			20,132.	15	20,578.
16	Total assets. Add lines 1 through 15 (must equa			170,425,866.	16	169,106,712.
17	Accounts payable and accrued expenses			517,947.	17	547,487.
18	Grants payable				18	
19	Deferred revenue				19	
20	Tax-exempt bond liabilities				20	
21	Escrow or custodial account liability. Complete F				21	
22	Loans and other payables to any current or form					
	trustee, key employee, creator or founder, substa					
	controlled entity or family member of any of thes		22			

NORTHERN ILLINOIS UNIVERSITY FOUNDATION Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

Cash - non-interest-bearing

Savings and temporary cash investments

Pledges and grants receivable, net

Accounts receivable, net

Secured mortgages and notes payable to unrelated third parties

Unsecured notes and loans payable to unrelated third parties

Net assets without donor restrictions

Net assets with donor restrictions

Capital stock or trust principal, or current funds

Paid-in or capital surplus, or land, building, or equipment fund

Retained earnings, endowment, accumulated income, or other funds

Total net assets or fund balances

Organizations that do not follow FASB ASC 958, check here

Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X

Organizations that follow FASB ASC 958, check here 🕨

Loans and other receivables from any current or former officer, director,

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(A) Beginning of year

5,251,140.

4,703,558.

33,197.

1

2

3

4

23

24

25

26

27

28

29

30

31

32

33

12,672,221.

13,190,168.

39,611,210.

117,624,488

157,235,698.

170,425,866.

(B) End of year

5,216,123.

4,374,267.

33,140.

13,182,754.

13,730,241.

36,304,413.

119,072,058.

155,376,471.

169,106,712.

Form 990 (2021)

Form 990 (2021)

1

2

3

4

5

Assets

23

24 25

26

27

28

29

30

31

32

33

of Schedule D

Total liabilities. Add lines 17 through 25

and complete lines 27, 28, 32, and 33.

and complete lines 29 through 33.

Total liabilities and net assets/fund balances

Liabilities

Net Assets or Fund Balances

Form	1990 (2021) NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-608683	L9	Pa	_{ge} 12			
	rt XI Reconciliation of Net Assets				2			
	Check if Schedule O contains a response or note to any line in this Part XI							
1	Total revenue (must equal Part VIII, column (A), line 12)	1	31,	,131,	654.			
2	Total expenses (must equal Part IX, column (A), line 25)	2	11,	,027,	067.			
3	Revenue less expenses. Subtract line 2 from line 1	3	20,	,104,	587.			
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))							
5	5 Net unrealized gains (losses) on investments 5							
6	Donated services and use of facilities	6						
7	Investment expenses	7						
8								
9	Other changes in net assets or fund balances (explain on Schedule O)	9			٥.			
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,							
_	column (B))	10	155,	,376,	471.			
Pa	rt XII Financial Statements and Reporting							
	Check if Schedule O contains a response or note to any line in this Part XII				X			
				Yes	No			
1	Accounting method used to prepare the Form 990: Cash X Accrual Other							
	If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule	О.						
2a			2a		X			
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a						
	separate basis, consolidated basis, or both:							
	Separate basis Consolidated basis Both consolidated and separate basis							
b	Were the organization's financial statements audited by an independent accountant?		2b	X				
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,						
	consolidated basis, or both:							
	Separate basis Consolidated basis Both consolidated and separate basis							
с	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the	•		77				
	review, or compilation of its financial statements and selection of an independent accountant?		2c	X				
~	If the organization changed either its oversight process or selection process during the tax year, explain on Sch							
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit						
	Act and OMB Circular A-133?		3a		X			
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required				1			
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		3b	000	i			

Form **990** (2021)

132012 12-09-21

(Form 990)

Public Charity Status and Public Support Complete if the organization is a section 501(c)(3) organization or a section

4947(a)(1) nonexempt charitable trust. ► Attach to Form 990 or Form 990-EZ.

Department Internal Reve	of the Treasury enue Service		► Go to www.irs.go	Open to Public Inspection								
Name of	the organizati		de le transige					Employer	identification number			
	J		RN ILLINOIS UNI	VERSITY FOUNDATION	I				36-6086819			
Part I	Reason			(All organizations must o		nis part.) S	ee instructior					
The orga				For lines 1 through 12, c								
1				on of churches described			I)(A)(i).					
2				Attach Schedule E (Forn								
3				anization described in se)(b)(1)(A)(ii	i).					
4	A medical re	search organiz	ation operated in co	njunction with a hospital	described	in sectio	, n 170(b)(1)(A	.)(iii). Enter	the hospital's name,			
	city, and stat	e:							-			
5 X	An organizat	ion operated fo	or the benefit of a co	llege or university owned	l or operat	ed by a go	vernmental u	nit describe	ed in			
	section 170	(b)(1)(A)(iv). (C	Complete Part II.)									
6	A federal, sta	ate, or local go	vernment or governn	nental unit described in	section 17	70(b)(1)(A)	(v).					
7	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in											
	section 170	b)(1)(A)(vi). (C	omplete Part II.)									
8	A community	/ trust describe	ed in section 170(b)	(1)(A)(vi). (Complete Par	t II.)							
9	An agricultur	al research org	ganization described	in section 170(b)(1)(A)(ix) operate	ed in conju	inction with a	land-grant	college			
	or university	or a non-land-g	grant college of agric	ulture (see instructions).	Enter the	name, city	, and state of	the college	or			
	university:											
10	An organizat	ion that norma	Illy receives (1) more	than 33 1/3% of its supp	ort from c	ontributior	ns, membersh	nip fees, and	d gross receipts from			
	activities rela	ited to its exen	npt functions, subjec	t to certain exceptions; a	and (2) no	more than	33 1/3% of it	s support f	rom gross investment			
	income and u	unrelated busir	ness taxable income	(less section 511 tax) fro	om busines	sses acqui	red by the org	ganization a	fter June 30, 1975.			
	See section	509(a)(2). (Co	mplete Part III.)									
11	An organizat	ion organized a	and operated exclus	ively to test for public sa	fety. See	section 50)9(a)(4).					
12	-	-	-	ively for the benefit of, to				-				
				ed in section 509(a)(1) o					Check the box on			
_	_			f supporting organizatior								
a			-	upervised, or controlled	• • •	-						
		-		gularly appoint or elect a	majority c	of the direc	tors or truste	es of the su	ipporting			
	_ ·		complete Part IV, Se									
b 🗌			-	l or controlled in connect			-		•			
		-		anization vested in the sa	ame perso	ns that co	ntrol or mana	ge the supp	ported			
. [_ ·		t complete Part IV,		• • • • • • • • •				al			
c _		-	• • • •	g organization operated				lly integrate	d with,			
		-). You must complete I								
d		-		porting organization oper				°,				
		-		zation generally must sat	-		-	an allenin	reness			
•	_			mplete Part IV, Sections written determination fro								
e		•		nally integrated supporti			турет, туре	п, туре п				
f Ent	er the number		·									
		• •	n about the supporte	ad organization(s)								
	(i) Name of supp		(ii) EIN	(iii) Type of organization	(iv) Is the organized (iv) is the organized (iv) (iv) (iv) (iv) (iv) (iv) (iv) (iv)	anization listed	(v) Amount o	f monetary	(vi) Amount of other			
	organization	า		(described on lines 1-10 above (see instructions))	Yes	No	support (see i	nstructions)	support (see instructions)			
Total							1		1			

OMB No. 1545-0047

2021

Open to Public

Part II

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

36-6086819 Page **2**

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Se	ction A. Public Support						
Cale	ndar year (or fiscal year beginning in) 🕨	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1	Gifts, grants, contributions, and membership fees received. (Do not						
	include any "unusual grants.")	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
2	Tax revenues levied for the organ- ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge		0 500 450			10.050.001	
	Total. Add lines 1 through 3	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
5	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						10 526
~	column (f)						10,536.
	Public support. Subtract line 5 from line 4. ction B. Total Support						56,654,122.
	ndar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
	Amounts from line 4	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
	Gross income from interest,		•,,•=,=,=,••		,,,,.		
0	dividends, payments received on						
	securities loans, rents, royalties,						
	and income from similar sources	2,503,884.	2,928,583.	2,637,932.	2,185,661.	3,063,825.	13,319,885.
9	Net income from unrelated business	, , , -	, , -	, , .	, , ,	, , .	
Ũ	activities, whether or not the						
	business is regularly carried on	Ο.	283,475.	685,372.	0.	٥.	968,847.
10	Other income. Do not include gain						
	or loss from the sale of capital						
	assets (Explain in Part VI.)	359,602.	402,035.	324,948.	190,613.	234,824.	1,512,022.
11	Total support. Add lines 7 through 10						74,645,412.
12	Gross receipts from related activities,	etc. (see instructio	ns)			12	5,251,380.
13	First 5 years. If the Form 990 is for th	ne organization's fir	st, second, third, f	ourth, or fifth tax y	vear as a section 5	01(c)(3)	
	organization, check this box and stop						
Se	ction C. Computation of Publi	c Support Per	centage				
	Public support percentage for 2021 (li		•	• • • • • • • • • • • • • • • • • • • •		14	78.82 %
	Public support percentage from 2020					15	78.41 %
16 a	33 1/3% support test - 2021. If the c	organization did no	t check the box on	line 13, and line 1	14 is 33 1/3% or m	ore, check this box	
	stop here. The organization qualifies		-				
k	33 1/3% support test - 2020. If the c				line 15 is 33 1/3%	or more, check this	s box
	and stop here. The organization qual		••••				
17a	10% -facts-and-circumstances test						
	and if the organization meets the facts			-	-	VI how the organization	ation
	meets the facts-and-circumstances te	•	•		•		
k	0 10% -facts-and-circumstances test	-					U% Or
	more, and if the organization meets the						
10	organization meets the facts-and-circu		•		•••••		
10	Private foundation. If the organizatio	T UIU HUL CHECK A L		i, 100, 178, 01 170	, check this box a		(Form 990) 2021

132022 01-04-22

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 202 ⁻	1 (f) Total
1 Gifts, grants, contributions, and						
membership fees received. (Do not	t					
include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services per- formed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that						
are not an unrelated trade or bus- iness under section 513						
4 Tax revenues levied for the organ-						
ization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities						
furnished by a governmental unit to	o					
the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, an	d					
3 received from disqualified persor	าร					
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						
Section B. Total Support		Т	1	1	1	
Calendar year (or fiscal year beginning in)		(b) 2018	(c) 2019	(d) 2020	(e) 202 ⁻	1 (f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties, and income from similar sources						
b Unrelated business taxable income						
(less section 511 taxes) from business acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated busines activities not included on line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI.)						
13 Total support. (Add lines 9, 10c, 11, and 12						
14 First 5 years. If the Form 990 is fo	r the organization's f	irst, second, third,	fourth, or fifth tax	year as a section s	501(c)(3) orgai	nization,
check this box and stop here						
Section C. Computation of Pu	blic Support Pe	rcentage				
15 Public support percentage for 202	1 (line 8, column (f), c	divided by line 13,	column (f))		15	%
16 Public support percentage from 20					16	%
Section D. Computation of Inv	estment Incom	e Percentage			 	
17 Investment income percentage for					17	%
18 Investment income percentage from					18	%
19a 33 1/3% support tests - 2021. If t						line 17 is not
more than 33 1/3%, check this boy	and stop here. The	e organization qual	ifies as a publicly	supported organiza	ation	
b 33 1/3% support tests - 2020. If t	the organization did r	not check a box or	n line 14 or line 19	a, and line 16 is m	ore than 33 1/	3%, and
line 18 is not more than 33 1/3%, c	heck this box and s	top here. The orga	anization qualifies	as a publicly supp	orted organiza	ation ►
20 Private foundation. If the organization	ation did not check a	box on line 14, 19	a, or 19b, check t	his box and see in		
132023 01-04-22		16	5		Schee	dule A (Form 990) 2021

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3a

3b

3c

4a

4b

4c

5a

<u>5b</u> 5<u>c</u>

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9a

9b

9c

10a

Yes No

Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in **Part VI** what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and *if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.*
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? *If* "Yes," *describe in* **Part VI** *how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.*
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? *If "Yes," provide detail in* Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? *If* "Yes," *complete Part I of Schedule L (Form 990).*
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7? *If* "Yes." *complete Part I of Schedule L (Form 990).*
- **9a** Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in **Part VI.**
- **b** Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If* "Yes," *provide detail in* **Part VI.**
- c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If* "Yes," *provide detail in* **Part VI.**
- **10a** Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? *If* "Yes," *answer line 10b below.*
- **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

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2 Did the organization operate for the benefit of any supported organization other than the supported organization (b) that operated, supported organization(b) the organization's supported organization(s)? If "Wo," describe in Part VI how control or manageed in the supporting organization was vested in the same persons that controlled or managed the supporting organization was vested in the same persons that controlled or managed the supporting organization was vested in the same persons that controlled or managed the supporting organizations are vested in the same persons that controlled or managed the supporting organizations are vested in the same persons that controlled or managed the supported organizations is tay vesi, (b) a vulter notice describing the type and amount of support provided during the prior tax year, (i) a copy of the Form 990 that was most recently filed as of the date of notification, and (ii) copies of the organization for the date of notification, to the extent not previously provided? 1 Were any of the organization stiffers, directors, or trustees during the supported organization for the date of one close and contrus working reflectionship with the supported organization is supported organizations have a significant voice in the organization stiffers, directors, or trustees of the neganization start to a support of supporting Organizations is supported organizations have a significant voice in the organization start was most recently filed as of the tay and the organization is a supported organizations is supported organizations have a significant voice in the organization start was most recently filed as of the corganization is a supported organization is supported organizations is supported organization is a supported organization is supp		supported organizations and what conditions or restrictions, if any		1		
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trustees of each of the supported organizations? <i>If</i> "Yes" or "No" provide details in Part VI . b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? <i>If</i> "Yes," <i>describe in</i> Part VI <i>the role played by the organization in this regard</i> . 3b 3co25 01-04-22 18						
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of its supported organizations? <i>If "Yes," describe in</i> Part VI <i>the role played by the organization in this regard.</i> 3b 32025 01-04-22 Schedule A (Form 990) 2 18				3a		
32025 01-04-22 Schedule A (Form 990) 2 18	b			01-		
18						
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11c below, the governing body of a supported organization? **b** A family member of a person described on line 11a above?

c A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide <u>detail in Part VI.</u>

a A person who directly or indirectly controls, either alone or together with persons described on lines 11b and

Part IV Supporting Organizations (continued)

- Section B. Type I Supporting Organizations 1 Did the governing body, members of the governing body, officers acting in their official capacity, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's officers,
- directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s)
 - effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among the

NORTHERN ILLINOIS UNIVERSITY FOUNDATION Schedule A (Form 990) 2021

11 Has the organization accepted a gift or contribution from any of the following persons?

11a

11b

11c

Yes No

Yes No

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Sche	edule A (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUND	DATION		36-6086819	Page 6
Pa	rt V Type III Non-Functionally Integrated 509(a)(3) Supportin	g Orga	nizations		
1	Check here if the organization satisfied the Integral Part Test as a qualifyin	g trust or	n Nov. 20, 1970 (<i>explain i</i>	n Part VI). See inst	ructions.
	All other Type III non-functionally integrated supporting organizations must				
Sect	ion A - Adjusted Net Income		(A) Prior Year	(B) Current (optiona	
1	Net short-term capital gain	1			
2	Recoveries of prior-year distributions	2			
3	Other gross income (see instructions)	3			
4	Add lines 1 through 3.	4			
5	Depreciation and depletion	5			
6	Portion of operating expenses paid or incurred for production or				
	collection of gross income or for management, conservation, or				
	maintenance of property held for production of income (see instructions)	6			
7	Other expenses (see instructions)	7			
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8			
Sect	ion B - Minimum Asset Amount		(A) Prior Year	(B) Current (optiona	
1	Aggregate fair market value of all non-exempt-use assets (see				
	instructions for short tax year or assets held for part of year):				
a	Average monthly value of securities	1a			
b	Average monthly cash balances	1b			
C	Fair market value of other non-exempt-use assets	1c			
d	Total (add lines 1a, 1b, and 1c)	1d			
е	Discount claimed for blockage or other factors				
	(explain in detail in Part VI):				
2	Acquisition indebtedness applicable to non-exempt-use assets	2			
3	Subtract line 2 from line 1d.	3			
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,				
	see instructions).	4			
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5			
6	Multiply line 5 by 0.035.	6			
7	Recoveries of prior-year distributions	7			
8	Minimum Asset Amount (add line 7 to line 6)	8			
Sect	ion C - Distributable Amount			Current Y	'ear
1	Adjusted net income for prior year (from Section A, line 8, column A)	1			
2	Enter 0.85 of line 1.	2			
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3			
_4	Enter greater of line 2 or line 3.	4			
5	Income tax imposed in prior year	5			
6	Distributable Amount. Subtract line 5 from line 4, unless subject to				
	emergency temporary reduction (see instructions).	6			
7	Check here if the current year is the organization's first as a non-functional	ly integra	ted Type III supporting or	nanization (see	

first as a non-junctionally integrated Type in supporting organiz CHECK instructions).

Schedule A (Form 990) 2021

132026 01-04-22

Schedule A	(Form 990) 2021
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Par	t V Type III Non-Functionally Integrated 509(a)(3) Supporting Orga	nizations (continu	ued)	
Secti	on D - Distributions			_	Current Year
1	Amounts paid to supported organizations to accomplish exer	mpt purposes		1	
2	Amounts paid to perform activity that directly furthers exemp	t purposes of supported			
	organizations, in excess of income from activity			2	
3	Administrative expenses paid to accomplish exempt purpose	s of supported organizations	6	3	
4	Amounts paid to acquire exempt-use assets			4	
5	Qualified set-aside amounts (prior IRS approval required - pro	ovide details in Part VI)		5	
6	Other distributions (<i>describe in</i> Part VI). See instructions.			6	
7	Total annual distributions. Add lines 1 through 6.			7	
8	Distributions to attentive supported organizations to which the	ne organization is responsive			
	(provide details in Part VI). See instructions.			8	
9	Distributable amount for 2021 from Section C, line 6			9	
10	Line 8 amount divided by line 9 amount			10	
	·	(i)	(ii)		(iii)
Secti	on E - Distribution Allocations (see instructions)	Excess Distributions	Underdistribution Pre-2021	IS	Distributable Amount for 2021
_1	Distributable amount for 2021 from Section C, line 6				
2	Underdistributions, if any, for years prior to 2021 (reason-				
	able cause required - explain in Part VI). See instructions.				
3	Excess distributions carryover, if any, to 2021				
a	From 2016				
b	From 2017				
c	From 2018				
d	From 2019				
e	From 2020				
f	Total of lines 3a through 3e				
g	Applied to underdistributions of prior years				
<u>h</u>	Applied to 2021 distributable amount				
i	Carryover from 2016 not applied (see instructions)				
i	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.				
4	Distributions for 2021 from Section D,				
	line 7: \$				
a	Applied to underdistributions of prior years				
b	Applied to 2021 distributable amount				
C	Remainder. Subtract lines 4a and 4b from line 4.				
5	Remaining underdistributions for years prior to 2021, if				
	any. Subtract lines 3g and 4a from line 2. For result greater				
	than zero, explain in Part VI. See instructions.				
6	Remaining underdistributions for 2021. Subtract lines 3h				
	and 4b from line 1. For result greater than zero, explain in				
	Part VI. See instructions.				
7	Excess distributions carryover to 2022. Add lines 3j				
	and 4c.				
8	Breakdown of line 7:				
a	Excess from 2017				
b	Excess from 2018				
	Excess from 2019				
	Excess from 2020				
	Excess from 2021				

Schedule A (Form 990) 2021

132027 01-04-22

Schedule A	Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY I	FOUNDATION	36-6086819 P	age 8
Part VI	Supplemental Information. Provide the explanations requir Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 1 line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 3 Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6.	11b, and 11c; Part IV, Section B, lines 1 ai 2a, 2b, 3a, and 3b; Part V, line 1; Part V, §	7b; Part III, line 12; nd 2; Part IV, Section C, Section B, line 1e; Part \	
	(See instructions.)			
			••••••	
132028 01-04-2	2		Schedule A (Form 990) 2021

Schedule B

(Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

** PUBLIC DISCLOSURE COPY **

Schedule of Contributors

► Attach to Form 990 or Form 990-PF. Go to www.irs.gov/Form990 for the latest information. OMB No. 1545-0047

2021

Employer identification number

NO	ORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819				
Organization type (check	rganization type (check one):					
Filers of:	Section:					
Form 990 or 990-EZ	X 501(c)(³) (enter number) organization					
	4947(a)(1) nonexempt charitable trust not treated as a private foundation					
	527 political organization					
Form 990-PF	501(c)(3) exempt private foundation					
	4947(a)(1) nonexempt charitable trust treated as a private foundation					
	501(c)(3) taxable private foundation					

Check if your organization is covered by the **General Rule** or a **Special Rule**. **Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year \dots \blacktriangleright \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990).

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021)

NORTHERN	ILLINOIS UNIVERSITY FOUNDATION		86-6086819
Part I	Contributors (see instructions). Use duplicate copies of Part I if	additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$800,000.	Person X Payroll Image: Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2		\$422,697.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		\$	Person X Payroll Image: Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II for noncash contributions.)

Schedule B (Form 990) (2021)

Page **2**

Employer identification number

123452 11-11-21

Schedule B (Form 990) (2021) Name of organization

me of or	rganization	En	ployer identification num
RTHERN	ILLINOIS UNIVERSITY FOUNDATION		36-6086819
Part II	Noncash Property (see instructions). Use duplicate copies of F	Part II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	_
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	_
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	_
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	_
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	_
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received

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^{123453 11-11-21}

Name of or	rganization		Employer identification number
NORTHERN	I ILLINOIS UNIVERSITY FOUNDATION		36-6086819
Part III	from any one contributor. Complete columns (a)) through (e) and the following line entry charitable, etc., contributions of \$1,000 or le	tion 501(c)(7), (8), or (10) that total more than \$1,000 for the year r. For organizations ss for the year. (Enter this info. once.) \$
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of gift	
-	Transferee's name, address, ar	nd ZIP + 4	Relationship of transferor to transferee
(a) No.			
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
			_
-		(e) Transfer of gift	
-	Transferee's name, address, ar	nd ZIP + 4	Relationship of transferor to transferee
(-) N-			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of gift	
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of gift	
-	Transferee's name, address, ar	nd ZIP + 4	Relationship of transferor to transferee

Schedule B (Form 990) (2021)

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Page **4**

Schedule B (Form 990) (2021)	

SCHEDULE C	Pc	litical Campaign a	and Lobbying	g Activities		OMB No. 1545-0047
(Form 990)	For Organizations Exempt From Income Tax Under section 501(c) and section 527					2021
	-	if the organization is described				Open to Public
Department of the Treasury Internal Revenue Service		Go to www.irs.gov/Form990 for i				Inspection
-	-	Form 990, Part IV, line 3, or For		e 46 (Political Campa	aign Activ	vities), then
.,.,		1(c)(3)) organizations: Complete F	•	Do not complete Part	I-B.	
 Section 527 organization 						
•	•	Form 990, Part IV, line 4, or For	m 990-EZ, Part VI, lir	ne 47 (Lobbying Activ	ities), the	en
		nave filed Form 5768 (election und				
 Section 501(c)(3) org 	anizations that h	nave NOT filed Form 5768 (electio	n under section 501(h))): Complete Part II-B.	Do not co	omplete Part II-A.
If the organization answ	vered "Yes," on	Form 990, Part IV, line 5 (Proxy	Tax) (See separate in	nstructions) or Form	990-EZ, I	Part V, line 35c (Proxy
Tax) (See separate inst	ructions), then					
	, or (6) organizat	ions: Complete Part III.				
Name of organization					Employe	r identification number
		LINOIS UNIVERSITY FOUNDA				36-6086819
Part I-A Comple	ete if the org	anization is exempt unde	r section 501(c) d	or is a section 52	/ organ	ization.
		ation's direct and indirect political			. .	
2 Political campaign	<i>,</i> ,				▶\$	
3 Volunteer hours for	political campai	gn activities				
Part I-B Comple	ete if the ora	anization is exempt unde	r section 501(c)(3	3)		
		incurred by the organization unde		-	•	
	•	incurred by organization manager				
		n 4955 tax, did it file Form 4720 fo				Yes No
b If "Yes," describe in						
		anization is exempt unde	r section 501(c), o	except section 5	01(c)(3)	
1 Enter the amount d	irectly expended	by the filing organization for sect	ion 527 exempt functi	on activities	▶\$	
		ization's funds contributed to othe			· · ·	
exempt function ac					▶\$	
3 Total exempt functi		. Add lines 1 and 2. Enter here an				
line 17b					▶\$	
4 Did the filing organi	zation file Form	1120-POL for this year?				Yes No
		nployer identification number (EIN)		-		
		tion listed, enter the amount paid				
	•	omptly and directly delivered to a			parate seg	gregated fund or a
		additional space is needed, provic	1	1		
(a) Name	•	(b) Address	(c) EIN	(d) Amount paid fr filing organizatior funds. If none, ente	n's coi r-0 c	(e) Amount of political ntributions received and promptly and directly delivered to a separate political organization. If none, enter -0

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. LHA

Schedule C (Form 990) 2021

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1			UNIVERSITY FOU			5086819 Page 2
Part II-A Complete if the org section 501(h)).	anization	is exemp	t under sectio	on 501(c)(3) and file	d Form 5768 (el	ection under
	tion belong	to an affiliat	ed aroup (and list	in Part IV each affiliated g	aroup member's nam	e address FIN
expenses, and shar						ic, address, Env,
B Check ► if the filing organiza		, .	,	rovisions apply.		
Limi	ts on Lobby	ing Expendi	tures		(a) Filing organization's	(b) Affiliated group totals
(The term "expend	altures" me	ans amounts	s paid or incurred	.)	totals	
1a Total lobbying expenditures to influ	uence public	opinion (gra	ssroots lobbying)			
b Total lobbying expenditures to influ	uence a legi:	slative body (direct lobbying)			
c Total lobbying expenditures (add li	nes 1a and	1b)				
d Other exempt purpose expenditure	es					
e Total exempt purpose expenditure	s (add lines	1c and 1d)				
f Lobbying nontaxable amount. Ente	er the amou	nt from the fo	llowing table in bo	th columns.		
If the amount on line 1e, column (a) o	or (b) is:		ing nontaxable ar			
Not over \$500,000			amount on line 1			
Over \$500,000 but not over \$1,000	-			cess over \$500,000.		
Over \$1,000,000 but not over \$1,5				cess over \$1,000,000.		
Over \$1,500,000 but not over \$17,	000,000			ess over \$1,500,000.		
Over \$17,000,000		\$1,000,000).			
g Grassroots nontaxable amount (en	tor 25% of li	no 1f)				
h Subtract line 1g from line 1a. If zero						
i Subtract line 1f from line 1c. If zero	,					
j If there is an amount other than zer						
reporting section 4911 tax for this						Yes No
			ging Period Unde			
(Some organizations the second s				t have to complete all o ines 2a through 2f.)	f the five columns b	elow.
	Lobby	ing Expendi	tures During 4-Ye	ear Averaging Period		
Calendar year (or fiscal year beginning in)	(a) 20)18	(b) 2019	(c) 2020	(d) 2021	(e) Total
2. Lobbying pontoyable amount						
2a Lobbying nontaxable amount b Lobbying ceiling amount						
(150% of line 2a, column(e))						
c Total lobbying expenditures						
d Grassroots nontaxable amount						
e Grassroots ceiling amount						
(150% of line 2d, column (e))						
f Grassroots lobbying expenditures						
					Sched	ule C (Form 990) 2021

Schedule C (Form 990) 2021

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Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description		(a)		(b)	
of the	of the lobbying activity.		No	Am	ount
1	During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:				
	Volunteers?		X	_	
	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		X		
С	Media advertisements?		X		
	Mailings to members, legislators, or the public?		X		
	Publications, or published or broadcast statements?		X		
	Grants to other organizations for lobbying purposes?		X		
	Direct contact with legislators, their staffs, government officials, or a legislative body?	X			2,162.
	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X		
	Other activities?		X	-	0.100
	Total. Add lines 1c through 1i				2,162.
	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X		
	If "Yes," enter the amount of any tax incurred under section 4912				
	If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	n 501(a)/6		otion	
Fai	501(c)(6).		<i>J</i> , 01 5e	CUON	
	001(0)(0).			Yes	No
4	Mara autostantially all (000/ as mara) dues respired pendedustible by members?		1	100	
1	Were substantially all (90% or more) dues received nondeductible by members?				
2 3					
	Did the organization agree to carry over lobbying and political campaign activity expenditures from th t III-B Complete if the organization is exempt under section 501(c)(4), section			ction	
' ui	501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered				3.is
	answered "Yes."		()	,	0,10
1	Dues, assessments and similar amounts from members		1		
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political				
	expenses for which the section 527(f) tax was paid).				
а	Current year		2a		
	Carryover from last year				
	Total				
3					
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exc				
	does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and po				
	expenditure next year?		4		
5	Taxable amount of lobbying and political expenditures. See instructions		5		
Par					
Prov	de the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group	list); Part II-	A, lines 1 a	and 2 (See	

instructions); and Part II-B, line 1. Also, complete this part for any additional information.

Schedule C (Form 990) 2021

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SCHEDULE D	
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(Form	990)
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Supplemental Financial Statements

Complete if the organization answered "Yes" on Form 990,
 Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
 Attach to Form 990.



Department of the Treasury Internal Revenue Service Name of the organization

Go to www.irs.gov/Form990 for instructions and the latest information.

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Employer identification number 36-6086819

Pa			r Accounts. Complete if the
	organization answered "Yes" on Form 990, Part IV, li	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate value of contributions to (during year)		
3	Aggregate value of grants from (during year)		
4	Aggregate value at end of year		
5	Did the organization inform all donors and donor advisors in		funds
Ŭ	are the organization's property, subject to the organization's	-	
6	Did the organization inform all grantees, donors, and donor		
Ŭ	for charitable purposes and not for the benefit of the donor		
	impermissible private benefit?	, , , , , ,	°
Pa		rganization answered "Yes" on Form 990 Pa	
1	Purpose(s) of conservation easements held by the organizat		
•	Preservation of land for public use (for example, recre	· · · · ·	historically important land area
	Protection of natural habitat	·	certified historic structure
			certified historic structure
~	Preservation of open space	lifical companyation contails time in the former of	
2	Complete lines 2a through 2d if the organization held a qual day of the tax year.	lified conservation contribution in the form of	Held at the End of the Tax Year
_			
a	T • • • • • • • • • • • • • • • • • • •		
b			
c	Number of conservation easements on a certified historic st		
d	Number of conservation easements included in (c) acquired	-	
_	listed in the National Register		2d
3	Number of conservation easements modified, transferred, re	eleased, extinguished, or terminated by the or	ganization during the tax
	year		
4	Number of states where property subject to conservation ea		
5	Does the organization have a written policy regarding the pe		
	violations, and enforcement of the conservation easements		
6	Staff and volunteer hours devoted to monitoring, inspecting	, handling of violations, and enforcing conser	vation easements during the year
7	Amount of expenses incurred in monitoring, inspecting, han	ndling of violations, and enforcing conservation	n easements during the year
	► \$		
8	Does each conservation easement reported on line 2(d) abo		
	and section 170(h)(4)(B)(ii)?		
9	In Part XIII, describe how the organization reports conservation	-	
	balance sheet, and include, if applicable, the text of the foot	tnote to the organization's financial statement	ts that describes the
Do	organization's accounting for conservation easements. rt III Organizations Maintaining Collections of	Art Historical Tracquires or Oth	r Similar Acasta
Fai			er Similar Assets.
	Complete if the organization answered "Yes" on Forr		
та	If the organization elected, as permitted under FASB ASC 9		
	of art, historical treasures, or other similar assets held for pu		nerance of public
	service, provide in Part XIII the text of the footnote to its fina		
b			
	art, historical treasures, or other similar assets held for publi	ic exhibition, education, or research in further	ance of public service,
	provide the following amounts relating to these items:		10.250
	(i) Revenue included on Form 990, Part VIII, line 1		
2	If the organization received or held works of art, historical tr		ain, provide
	the following amounts required to be reported under FASB	-	
а	Revenue included on Form 990, Part VIII, line 1		• • •
			> \$
LHA	For Paperwork Reduction Act Notice, see the Instruction	ns for Form 990.	Schedule D (Form 990) 2021
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Sche		LINOIS UNIVERSI				6086819	Page 2		
Par	t III Organizations Maintaining C	ollections of Art	, Historical Tre	asures, or Oth	er Similar Ass	ets _{(contin}	nued)		
3	Using the organization's acquisition, accession	on, and other records	, check any of the f	ollowing that make	e significant use of	its			
	collection items (check all that apply):								
а	d Loan or exchange program								
b									
с	Preservation for future generations								
4	Provide a description of the organization's co	ellections and explain	how they further th	e organization's ex	empt purpose in P	Part XIII.			
5	During the year, did the organization solicit o								
	to be sold to raise funds rather than to be ma					Yes	X No		
Par	t IV Escrow and Custodial Arrang								
	reported an amount on Form 990, Par		g			,,			
1a	Is the organization an agent, trustee, custodi		ary for contributions	s or other assets n	ot included				
Ĩ	on Form 990, Part X?					Yes	No No		
h	If "Yes," explain the arrangement in Part XIII								
D			owing table.			Amoun	+		
-	Designing belongs				10	, inour			
	Beginning balance								
	Additions during the year								
	Distributions during the year								
	Ending balance								
	Did the organization include an amount on Fo		•			Yes			
Par	If "Yes," explain the arrangement in Part XIII.								
Fai	't V Endowment Funds. Complete i						r vooro book		
		(a) Current year	(b) Prior year	(c) Two years back	., ,		r years back		
	Beginning of year balance	97,881,780.	69,766,415.				,833,159.		
	Contributions	1,365,721.	8,560,432.				,207,847.		
	Net investment earnings, gains, and losses	-6,345,157.	23,828,269.	-3,574,175	2,868,77	76. 5	,633,271.		
d	Grants or scholarships								
е	Other expenditures for facilities								
	and programs		3,107,531.				,186,563.		
f	Administrative expenses	1,389,197.	1,165,805.	1,089,537	1,105,42	29. 1	,089,735.		
g	End of year balance	88,825,259.	97,881,780.	69,766,415	75,625,79	92. 74	,397,979.		
2	Provide the estimated percentage of the curr	ent year end balance	(line 1g, column (a)) held as:					
а	Board designated or quasi-endowment	2.9900	_%						
b	Permanent endowment 68.1300	%							
с	Term endowment 28.8800	%							
	The percentages on lines 2a, 2b, and 2c show	uld equal 100%.							
3a	Are there endowment funds not in the posse	ssion of the organizat	tion that are held ar	nd administered for	the organization				
	by:	Ũ			C C		Yes No		
	(i) Unrelated organizations					3a(i)	Х		
	(ii) Related organizations						Х		
b	If "Yes" on line 3a(ii), are the related organiza	tions listed as require	ed on Schedule R?			3b			
4	Describe in Part XIII the intended uses of the								
Par	t VI Land, Buildings, and Equipm	<u>u</u>							
	Complete if the organization answered		Part IV. line 11a. S	ee Form 990. Part	X. line 10.				
	Description of property	(a) Cost or ot			Accumulated	(d) Boo	k value		
	Description of property	basis (investm	• • •		depreciation	(u) 600	k value		
10	Land		,	,861,333.		3	,861,333.		
	Land			,675,715.	7,159,978.		,515,737.		
	Buildings		24	, , , , , , , , , , , , , , , , , , , ,	, 13, 510.	± /	, , , , , , , , , , , , , , , , , , , ,		
	Leasehold improvements			120 0/1	05 563		25 270		
	Equipment			120,841.	95,563.		25,278.		
	Other			160,046.		01	160,046.		
Tota	I. Add lines 1a through 1e. <i>(Column (d) must e</i>	qual Form 990, Part >	(, column (B), line 1	0c.)	🕨		,562,394.		
					Scheo	dule D (Forn	n 990) 2021		

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(1) Financial derivatives			
(2) Closely held equity interests			
(3) Other			
(A) LIMITED PARTNERSHIPS	22,849,199.	COST	
(B)			
(C)			
(D)			
(E)			
(F)			
(G)			
(H)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	22,849,199.		
Part VIII Investments - Program Related.			
Complete if the organization answered "Yes"			
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or er	nd-of-year market value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)			
Part IX Other Assets.			
Complete if the organization answered "Yes"		11d. See Form 990, Part X, line 15.	-
(a)	Description		(b) Book value
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
Total. (Column (b) must equal Form 990, Part X, col. (B) line	e 15.)		•
Part X Other Liabilities.			-
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11e or 11f. See Form 990, Part X, line 2	
1. (a) Description of liability			(b) Book value
(1) Federal income taxes			0.05 1.00
(2) DUE TO NIU			896,102.
(3) TRAVEL DEPOSITS			190,250.
(4) NIU ENDOWMENT AND GIFT ACCOUNTS			11,580,072.
(5) ANNUITY LIABILITY			516,330.
(6)			
(7)			
(8)			
<u>(9)</u>			
Total. (Column (b) must equal Form 990, Part X, col. (B) line			13,182,754.
2. Liability for uncertain tax positions. In Part XIII, provide			
organization's liability for uncertain tax positions under	r FASB ASC 740. Check he		
		Sc	hedule D (Form 990) 2021

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(b) Book value

14041109 147228 133621-0

Schedule D (Form 990) 2021

Part VII Investments - Other Securities.

(a) Description of security or category (including name of security)

(c) Method of valuation: Cost or end-of-year market value

Sche	dule D (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDA	TION		36-60	86819 Page 4
Par	t XI Reconciliation of Revenue per Audited Financial State		Revenue per Re	turn.	
	Complete if the organization answered "Yes" on Form 990, Part IV, line	e 12a.		<u>г г</u>	
1				1	12,740,080.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:	1 1			
а	Net unrealized gains (losses) on investments		-21,963,814.	-	
b	Donated services and use of facilities		3,693,568.	-	
С	Recoveries of prior year grants		42.460	-	
d	Other (Describe in Part XIII.)		13,160.		10 055 006
е	Add lines 2a through 2d			2e	-18,257,086.
3	Subtract line 2e from line 1			3	30,997,166.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		124 400		
			134,488.	-	
b	· · · · · · · · · · · · · · · · · · ·				124 400
	Add lines 4a and 4b			4c	134,488.
5 Da	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) rt XII Reconciliation of Expenses per Audited Financial Stat	amonte With	Evnansas nar E	5 Soturn	31,131,654.
I a	Complete if the organization answered "Yes" on Form 990, Part IV, line			ieturn.	
1	Total expenses and losses per audited financial statements			1	14,615,299.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:				
ے a	Donated services and use of facilities	2a	3,693,568.		
	Prior year adjustments			-	
b				-	
c d	Other losses Other (Describe in Part XIII.)		29,152.	-	
			1	2e	3,722,720.
3	Subtract line 2e from line 1			3	10,892,579.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			J	
		4a	134,488.		
b			,		
	Add lines 4a and 4b			4c	134,488.
5	Total expenses. Add lines 3 and 4c. (<i>This must equal Form 990, Part I, line 18.</i>			5	11,027,067.
	rt XIII Supplemental Information.	<u>,</u>			, ,
Provi	de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4;	Part IV, lines 1b	and 2b; Part V, line 4	; Part X, I	ine 2; Part XI,
	2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any				
PART	III, LINE 4:				
COLI	ECTIONS INCLUDE A ONE ROOM SCHOOL MUSEUM TO PROVIDE CULTUR	RE AT THE			
UNIV	YERSITY.				
PARI	V, LINE 4:				
mup	TNMENDED HEE OF MUE ENDOWNERM FINDS IS NO SUDDODM NODMUEDN				
THE	INTENDED USE OF THE ENDOWMENT FUNDS IS TO SUPPORT NORTHERN	ILLINOIS			
	VERSITY AND ITS				
MISS	ION TO ADVANCE EXCELLENCE AND TRANSFORM LIVES.				
PART	YXI, LINE 2D - OTHER ADJUSTMENTS:				

FUNDRAISING EXPENESE

13,160.

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Schedule D (Form 990) 2021 NORTHERN ILLINOI: Part XIII Supplemental Information (continued)	S UNIVERSITY FOUNDATION	36-6086819	Page 5
Part XIII Supplemental Information (continued)			
PART XII, LINE 2D - OTHER ADJUSTMENTS:			
FUNDRAISING EXPENSES	13,160.		
NIU REAL ESTATE FOUNDATION EXPENSES			
TOTAL TO SCHEDULE D, PART XII, LINE 2D			
		Schedule D (Form	990) 2021

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SCHEDULE F (Form 990)	Statement of Activities Outside the United S ► Complete if the organization answered "Yes" on Form 990, Part IV, line 14
Department of the Treasury	Attach to Form 990.
Internal Revenue Service	Go to www.irs.gov/Form990 for instructions and the latest information
Name of the organization	

e United States , Part IV, line 14b, 15, or 16.		OMB No. 1545-0047		
latest information.		Open to Public Inspection		
	Employer	identification number		
	36-608	6819		
Complete if the organization answered "Yes" on				

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

General Information on Activities Outside the United States. Complete if the or Part I Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, Yes No the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?
- For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the 2 United States.

3	Activities per Regio	on. (The following Part	I, line 3 table can be du	plicated if additional s	pace is needed.)
---	----------------------	-------------------------	---------------------------	--------------------------	------------------

(a) Region	(b) Number of offices in the region	employees,	 (d) Activities conducted in the region (by type) (such as, fundraising, pro- gram services, investments, grants to recipients located in the region) 	 (e) If activity listed in (d) is a program service, describe specific type of service(s) in the region 	(f) Total expenditures for and investments in the region
CENTRAL AMERICA AND					
THE CARIBBEAN			PASSIVE INVESTMENTS	N/A	9,611,392.
3 a Subtotal	0	0			9,611,392.
b Total from continuation					
sheets to Part I	0	0			٥.
c Totals (add lines 3a and 3b)	0	0			9,611,392.
LHA For Paperwork Reduct		1		Schedule F (Form 990) 2021

Name of the organization

Schedule F (Form 990) 2021

36-6086819

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as a tax								
exempt 501(c)(3) organization by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter								
3 Enter total number of other organizations or entities								

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

36-6086819

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (d) Amount of recipients (e) Manner of cash disbursement (f) Amount of noncash assistance (g) Description of noncash assistance (a) Type of grant or assistance (b) Region (c) Number of recipients (d) Amount of cash grant (e) Manner of cash disbursement (f) Amount of noncash assistance (g) Description of noncash assistance (a) Type of grant or assistance (b) Region (c) Number of recipients (d) Amount of cash grant (e) Manner of cash disbursement (f) Amount of noncash assistance (g) Description of noncash assistance (a) Type of grant or assistance (b) Region (c) Number of recipients (d) Amount of cash grant (e) Manner of cash disbursement (f) Amount of noncash assistance

			Sahad	ule E (Earm 990) 2021

Schedule F (Form 990) 2021

(h) Method of valuation (book, FMV, appraisal, other) Schedule F (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDATION
Part IV Foreign Forms

1	We attack the example time of P transference of property to a foreign corporation during the tax year? $P = \frac{1}{2} \frac{1}{2}$		
	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes,"		
	the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign	_	
	Corporation (see Instructions for Form 926)	X Yes	No No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may		
	be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and		
	Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a		
	U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes,"		
	the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to		
	Certain Foreign Corporations (see Instructions for Form 5471)	X Yes	No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a		
	qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621,		
	Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing		
	Fund (see Instructions for Form 8621)	X Yes	No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes,"		
	the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain		
	Foreign Partnerships (see Instructions for Form 8865)	Yes	X No
	/		
6	Did the organization have any operations in or related to any boycotting countries during the tax year? If		
	"Yes," the organization may be required to separately file Form 5713, International Boycott Report (see		
	Instructions for Form 5713; don't file with Form 990)	Yes	X No

Schedule F (Form 990) 2021

132074 12-20-21

Schedule F (Form 990) 2021	NORTHERN	ILLINOIS	UNIVERSITY	FOUNDATION
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Page	e 5

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

132075 12-20-21	38	Schedule F (Form 990) 2021

SCHEDULE G	EDULE G Supplemental Information Regarding Fundraising or Gaming Activities							OMB No. 1545-0047	
(Form 990)	Complete if the	2021							
Department of the Treasury		Open to Public							
Internal Revenue Service Name of the organizatio	De Go	Inspection dentification number							
Name of the organizatio		LLINOIS UNIVERSITY FOUNDATI	ON				36-6086		
	sing Activities.	Complete if the organization answe	ered "Y	es" or	ı Form 990, Part IV, I	ine 1	7. Form 990-I	EZ filers are not	
 a Mail solicita b Internet and c Phone solic d In-person so 2 a Did the organization 	tions l email solicitations itations plicitations on have a written o	f Solicita g Special r oral agreement with any individual	tion of tion of fundra (includ	non-g gover ising e ing of	overnment grants nment grants events ficers, directors, trus	tees,		_	
b If "Yes," list the 10		art VII) or entity in connection with p viduals or entities (fundraisers) pursu organization.			-	ne fur		es No be	
(i) Name and addres or entity (fund		(ii) Activity	(iii) fundr have cr or con contribu	istody trol of	(iv) Gross receipts from activity	to (c	Amount paid or retained by fundraiser ted in col. (i)	(vi) Amount paid to (or retained by) organization	
			Yes	No					
		n is registered or licensed to solicit o	ontrib	▶ utions	or has been notified	it is e	exempt from	registration	
or licensing.									
LHA For Paperwork R	eduction Act Noti	ce, see the Instructions for Form S	990 or	990-E	Ζ.		Schedu	ıle G (Form 990) 2021	

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NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events NONE	(d) Total events (add col. (a) through col. (c))
		(event type)	(event type)	(total number)	col. (c))
Revenue	1 Gross receipts	37,457.			37,457.
2	2 Less: Contributions	12,110.			12,110.
3	Gross income (line 1 minus line 2)	25,347.			25,347.
4	4 Cash prizes				
5	5 Noncash prizes	9,273.			9,273.
6	6 Rent/facility costs	672.			672.
	7 Food and beverages	3,215.			3,215.
5 8	B Entertainment				
9	Other direct expenses				
1	0 Direct expense summary. Add lines 4 throug	h 9 in column (d)		►	13,160.
	1 Net income summary. Subtract line 10 from				12,187.
Part	Gaming. Complete if the organization \$15,000 on Form 990-EZ, line 6a.	answered "Yes" on Form	1 990, Part IV, line 19, or i	reported more than	
svenue		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Ð					

nue				bing	o/progressive bingo	(0	J Other gaming	col. (a) through col. (c)
Revenue								
£	1	Gross revenue						
S	2	Cash prizes						
Jse:								
per	3	Noncash prizes						
Direct Expenses								
rec	4	Rent/facility costs						
ā								
	5	Other direct expenses						
			Yes %		Yes %		Yes%	
	6	Volunteer labor	Νο		No		No	
	7	Direct expense summary. Add lines 2 through	5 in column (d)				►	
	8	Net gaming income summary. Subtract line 7	from line 1, column (d)				>	
9		ter the state(s) in which the organization condu						
		he organization licensed to conduct gaming ac						YesNo
b	lf "	No," explain:						
		ere any of the organization's gaming licenses re				ear?		Ves No
b) If "	Yes," explain:						

132082 10-21-21

Schedule G (Form 990) 2021

Sch	edule G (Form 990) 2021	NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-60	8681	9	Page 3
11	Does the organization conduct ga	aming activities with nonmembers?		· ·	Yes	No
		eficiary or trustee of a trust, or a member of a partnership or other entity formed				
	to administer charitable gaming?			· .	Yes	No No
13	Indicate the percentage of gamin					
а	The organization's facility			13a		%
				13b		%
		e person who prepares the organization's gaming/special events books and records:				
	Name 🕨					
	Address 🕨					
15a	Does the organization have a con	tract with a third party from whom the organization receives gaming revenue?		, I	Yes	🗌 No
b		ning revenue received by the organization 🕨 💲 and the amoun	ıt			
		e third party ▶\$				
С	If "Yes," enter name and address	of the third party:				
	Name ►					
	Address 🕨					
16	Gaming manager information:					
	5 5					
	Name 🕨					
	Coming manager companyation					
	Gaming manager compensation	• • •				
	Description of services provided					
	Director/officer					
		Employee Independent contractor				
17	Mandatory distributions:					
а	Is the organization required unde	r state law to make charitable distributions from the gaming proceeds to				
	retain the state gaming license?			· .	Yes	🗌 No
b	Enter the amount of distributions	required under state law to be distributed to other exempt organizations or spent in t	he			
_	organization's own exempt activit					
Pa		mation. Provide the explanations required by Part I, line 2b, columns (iii) and (v); ar	nd Part	III, line	es 9,	9b, 10b,
	15b, 15c, 16, and 17b, as	s applicable. Also provide any additional information. See instructions.				
_						
13208	33 10-21-21		chedul	le G (l	Form	990) 2021
		41				

Part IV	Supplemental Information	(continued)
		Schedule G (Form 990)
132084 11-18-	21	

SCHEDULE I (Form 990) Department of the Treasury Internal Revenue Service		Grants and Oth overnments, an aplete if the organization Go to www.i	nd Individua	ls in the Ŭn i ' on Form 990, Pa m 990.	ited States Int IV, line 21 or 22.		OMB No. 1545-0047 2021 Open to Public Inspection Employer identification number
0	THERN ILLINOIS UNIVERS	SITY FOUNDATION					36-6086819
Part I General Information	n on Grants and Assistance						
criteria used to award the g 2 Describe in Part IV the org Part II Grants and Other A	ntain records to substantiate grants or assistance? anization's procedures for mo ssistance to Domestic Orga	nitoring the use of grant nizations and Domesti	funds in the United c Governments.	d States. Complete if the org			X Yes No
1 (a) Name and address of c or government	ed more than \$5,000. Part II c organization (b) EIN	an be duplicated if addit (c) IRC section (if applicable)	(d) Amount of cash grant	ed. (e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
NORTHERN ILLINOIS UNIVER 1515 W LINCOLN HIGHWAY DEKALB, IL 60015		PUBLIC 0 UNIVERSITY	3,180,496.	269,374.	воок	EQUIPMENT	TO PROVIDE GRANTS TO NORTHERN ILLINOIS UNIVERSITY
2 Enter total number of secti	on 501(c)(3) and government	organizations listed in th	l ne line 1 table	1	I		▶ <u>1.</u>
	r organizations listed in the lir						0.
	<u>u</u>						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2021

Page 2

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered "Yes" on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non- cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
SCHOLARSHIPS, FELLOWSHIPS & AWARDS	2049	2,755,944.	0.	FMV	

Part IV Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

PART I, LINE 2:

RECORDS ARE MAINTAINED THROUGH ACCOUNTING SYSTEM SHARED WITH THE RECIPIENT,

NORTHERN ILLINOIS UNIVERSITY.

SCHEDULE	Compensation Information	OMB No. 1545	5-0047
(Form 990)	For certain Officers, Directors, Trustees, Key Employees, and Highest	000	
· · ·	Compensated Employees	202	
	Complete if the organization answered "Yes" on Form 990, Part IV, line 23.	Open to P	ublic
Department of the T Internal Revenue Se		Inspecti	
Name of the or	anization	Employer identification	number
	NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
Part I Q	estions Regarding Compensation		
		Y	es No
1a Check the	appropriate box(es) if the organization provided any of the following to or for a person listed on Form 9	ə90,	
Part VII, S	ction A, line 1a. Complete Part III to provide any relevant information regarding these items.		
First	ass or charter travel Housing allowance or residence for person	nal use	
Trav	for companions Payments for business use of personal resi	idence	
	demnification and gross-up payments Health or social club dues or initiation fees	,	
Disc	tionary spending account Personal services (such as maid, chauffeur	r, chef)	
-	boxes on line 1a are checked, did the organization follow a written policy regarding payment or		
	ent or provision of all of the expenses described above? If "No," complete Part III to explain	1b	_
	anization require substantiation prior to reimbursing or allowing expenses incurred by all directors,		
trustees,	d officers, including the CEO/Executive Director, regarding the items checked on line 1a?		_
	ich, if any, of the following the organization used to establish the compensation of the organization's		
	tive Director. Check all that apply. Do not check any boxes for methods used by a related organization	n to	
	ompensation of the CEO/Executive Director, but explain in Part III.		
	ensation committee Written employment contract		
	endent compensation consultant		
Form	090 of other organizations Approval by the board or compensation co	ommittee	
4 Durina the	year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing		
-	n or a related organization:		
-	everance payment or change-of-control payment?	4a	x
	in or receive payment from a supplemental nonqualified retirement plan?		x
	in or receive payment from an equity-based compensation arrangement?	4.	x
-	any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.		
Only sect	n 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.		
5 For perso	listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation	ו	
continger	on the revenues of:		
a The orgar	ation?	<u>5a</u>	x
	organization?		X
lf "Yes" o	line 5a or 5b, describe in Part III.		
6 For perso	listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation	ו .	
continger	on the net earnings of:		
a The orgar	ation?	6a	x
	organization?		X
	line 6a or 6b, describe in Part III.		
	listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments		
not descr	ed on lines 5 and 6? If "Yes," describe in Part III	7	X
8 Were any	mounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the	e la	
initial con	act exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III		X
	ine 8, did the organization also follow the rebuttable presumption procedure described in		
	section 53.4958-6(c)?		
LHA For Pap	work Reduction Act Notice, see the Instructions for Form 990.	Schedule J (Form 9	90) 2021

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Schedule J (Form 990) 2021

36-6086819

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W	-2 and/or 1099-MISC compensation	and/or 1099-NEC	(C) Retirement and other deferred	other deferred benefits		(F) Compensation in column (B)
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation			reported as deferred on prior Form 990
(1) CATHERINE SQUIRES	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
PRESIDENT AND CEO, SECRETARY	(ii)	294,351.	Ο.	0.	22,851.	31,832.	349,034.	0.
(2) MICHAEL J. DAIGLER	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	177,727.	Ο.	0.	19,550.	34,593.	231,870.	0.
(3) RAYMOND LOWELL JACKSON	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	196,548.	Ο.	0.	13,317.	21,541.	231,406.	0.
(4) REYNALDO BUSTINZA	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	182,268.	Ο.	0.	13,319.	16,758.	212,345.	0.
(5) JEAN GODLEWSKI	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
DIRECTOR OF FINANCE & STRATEGIC PRIC	(ii)	135,944.	Ο.	0.	17,067.	18,638.	171,649.	0.
(6) ADAM NEAL	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
HIGHEST COMPENSATED EMPLOY	(ii)	123,834.	Ο.	0.	13,622.	31,510.	168,966.	0.
(7) KYM KOWALSKI	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
CONTROLLER	(ii)	122,595.	Ο.	0.	14,382.	30,984.	167,961.	0.
(8) KRISTIN CLARK MILLER	(i)	Ο.	Ο.	0.	0.	0.	0.	0.
HIGHEST COMPENSATED EMPLOY	(ii)	140,580.	Ο.	0.	10,188.	244.	151,012.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 3:

THE NIU FOUNDATION'S BOARD OF DIRECTORS ARE NOT COMPENSATED. NIU

FOUNDATION ASSIGNED EMPLOYEES ARE NOT PAID DIRECTLY BY THE NIU FOUNDATION.

THE NIU FOUNDATION ASSIGNED EMPLOYEES ARE PAID BY THE UNIVERSITY AND THE

NIU FOUNDATION REIMBURSES THE UNIVERSITY. NIU MAINTAINS A REGULAR

COMPENSATION PROCESS FOR THE FOUNDATION PRESIDENT, WHICH INCLUDES THE USE

OF COMPARABLE DATA. IN CONSULTATION WITH THE UNIVERSITY PRESIDENT AND IN

ACCORDANCE WITH UNIVERSITY POLICIES AND PRACTICE, THE COMPENSATION

COMMITTEE RECOMMENDS APPROPRIATE COMPENSATION LEVELS FOR THE NIU FOUNDATION

PRESIDENT AND CEO TO THE BOARD FOR APPROVAL.

Schedule J (Form 990) 2021

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

ſ

Employer identification number

36-6086819

Department of the Treasury Internal Revenue Service

Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30. Attach to Form 990. ►

1 ZUZ **Open to Public** Inspection

Name of the organization	
--------------------------	--

Go to www.irs.gov/Form990 for instructions and the latest information.

NORTHERN	ILLINOIS	UNIVERSITY	FOUNDATION

Pa	rt I Types of Property							
		(a)	(b)	(c)	(d)			
		Check if	Number of contributions or	Noncash contribution amounts reported on	Method of de			_
		applicable		Form 990, Part VIII, line 1g	noncash contribu	tion am	ounts	5
1	Art - Works of art	х	14	12,372.	FMV			
2	Art - Historical treasures							
3	Art - Fractional interests							
4	Books and publications			20,000.	FMV			
5	Clothing and household goods							
6	Cars and other vehicles		1	8,000.	FMV			
7	Boats and planes							
8	Intellectual property							
9	Securities - Publicly traded							
10	Securities - Closely held stock							
11	Securities - Partnership, LLC, or							
	trust interests							
12	Securities - Miscellaneous							
13	Qualified conservation contribution -							
	Historic structures							
14	Qualified conservation contribution - Other							
15	Real estate - Residential							
16	Real estate - Commercial							
17	Real estate - Other							
18	Collectibles							
19	Food inventory							
20	Drugs and medical supplies							
21	Taxidermy							
22	Historical artifacts							
23	Scientific specimens							
24	Archeological artifacts							
25	Other (MISCELLANEOUS) X	18	61,620.				
26	Other (EQUIPMENT) X	5	40,895.	FMV			
27	Other ()						
28	Other ()						
29	Number of Forms 8283 received by the orga							
	for which the organization completed Form	8283, Part V, D	onee Acknowledg	ement 29				
							Yes	No
30a	During the year, did the organization receive							
	must hold for at least three years from the d	10		•		00-		х
	exempt purposes for the entire holding period					30a		
	If "Yes," describe the arrangement in Part II Does the organization have a gift acceptance		quires the review	of any nonstandard contribut	ions?	24	x	
31 220			-	•		31	Δ	
J∠a	Does the organization hire or use third partic		•			32a		x
h	contributions? If "Yes," describe in Part II.					Sza		
ы 33	If the organization didn't report an amount in	n column (c) fo	r a type of proport	(for which column (a) is cho	ked			
00	describe in Part II.	0/10						

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990. Schedule M (Form 990) 2021

132141 11-17-21

<u>chedule M</u>	(Form 990) 2021 NORTHERN ILL	INOIS UNIVERSITY FOUNDATION	36-6086819	Page
Part II	Supplemental Information. is reporting in Part I, column (b), the this part for any additional informati	Provide the information required by Part I, lines 30b, 32 e number of contributions, the number of items received, ion.	b, and 33, and whether the organizatic or a combination of both. Also comple	on ete
142 11-17-2	1		Schedule M (Form 9	90) 2

14041109 147228 133621-0

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

SCHEDULE O	
(Form 990)	

Department of the Treasury

Name of the organization

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for the latest information.



Employer identification number 36-6086819

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

FORM 990, PART VI, SECTION A, LINE 2:

CYNTHIA CROCKER AND JEFFREY LIESENDAHL PERSONALLY USE THE SERVICES OF

SAVANT CAPITAL, FOR WHICH BOARD MEMBER BRENT BRODESKI SERVES AS THE CEO.

FORM 990, PART VI, SECTION B, LINE 11B:

THE BOARD HAS ADOPTED THE PRACTICE THAT THE AUDIT COMMITTEE, THE

PRESIDENT/CEO, DIRECTOR OF FINANCE AND STRATEGIC PRIORITIES AND CONTROLLER

REVIEW THE FORM 990. THE BOARD MEMBERS ARE THEN PROVIDED A COPY OF THE FORM

990 BEFORE IT IS FILED. IT IS REVIEWED AT THE BOARD MEETING PRIOR TO

FILING.

FORM 990, PART V, LINE 2A

THE FOUNDATION DID NOT COMPENSATE THE INDIVIDUALS LISTED IN PART VII.

NIU, AN ORGANIZATION THAT DOES NOT MEET THE RELATED ORGANIZATION

REQUIREMENTS OF THE FORM 990 INSTRUCTIONS, PAYS THE INDIVIDUALS AND

ISSUES THEIR W-2S.

FORM 990, PART VI, SECTION B, LINE 12C:

ALL DIRECTORS, BOARD MEMBERS AND KEY EMPLOYEES ARE GIVEN A COPY THE

CONFLICT OF INTEREST POLICY. THEY ARE ALSO REQUIRED TO COMPLETE AND SIGN A

CONFLICT OF INTEREST DISCLOSURE REPORT. THE AUDIT COMMITTEE REVIEWS THE

CONFLICT OF INTEREST REPORTS ANNUALLY. POTENTIAL CONFLICTS ARE SHARED WITH

THE BOARD CHAIR AND ALL COMMITTEE CHAIR MEMBERS RECUSE THEMSELVES FROM

DISCUSSIONS AND VOTES ON RELATED MATTERS. AT THE BEGINNING OF EACH BOARD

MEETING THE BOARD CHAIR CALLS FOR ANY CONFLICTS ON AGENDA ITEMS TO BE

DISCLOSED. POTENTIAL CONFLICTS ARE SHARED AND THAT BOARD MEMBER RECUSES

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

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	Employer identification number
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819
HEMSELVES FROM DISCUSSIONS AND VOTES ON RELATED MATTERS.	
FORM 990, PART VI, SECTION B, LINE 15:	
THE NIU FOUNDATION'S BOARD OF DIRECTORS ARE NOT COMPENSATED. NIU	
OUNDATION ASSIGNED EMPLOYEES ARE NOT PAID DIRECTLY BY THE NIU FOUNDATION.	
THE NIU FOUNDATION ASSIGNED EMPLOYEES ARE PAID BY THE UNIVERSITY AND THE	
IIU FOUNDATION REIMBURSES THE UNIVERSITY. NIU MAINTAINS A REGULAR	
COMPENSATION PROCESS FOR THE FOUNDATION PRESIDENT, WHICH INCLUDES THE USE	
OF COMPARABLE DATA. IN CONSULTATION WITH THE UNIVERSITY PRESIDENT AND IN	
ACCORDANCE WITH UNIVERSITY POLICIES AND PRACTICE, THE COMPENSATION	
COMMITTEE RECOMMENDS APPROPRIATE COMPENSATION LEVELS FOR THE NIU FOUNDATION	
PRESIDENT AND CEO TO THE BOARD FOR APPROVAL.	
FORM 990, PART VI, SECTION C, LINE 19:	
THE FOUNDATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND	
INANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST.	
FORM 990, PART VII, LINE 1A	
THE FOUNDATION AND UNIVERSITY DO NOT MEET THE DEFINITION OF RELATED	
RGANIZATIONS AS DEFINED IN THE INSTRUCTIONS TO THE FORM 990. THE	
INIVERSITY PAYS THE INDIVIDUALS LISTED IN PART VII. DUE TO THE	
SIGNIFICANCE OF THE RELATIONSHIP, THE FOUNDATION CHOOSES TO REPORT THE	
RELATIONSHIP.	

FORM 990, PART IX, LINES 5 AND 7

THE FOUNDATION DID NOT COMPENSATE INDIVIDUALS. NIU, AN ORGANIZATION

THAT DOES NOT MEET THE RELATED ORGANIZATION REQUIREMENTS OF THE FORM

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Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification number 36-6086819
990 INSTRUCTIONS, PAYS THE INDIVIDUALS. BECAUSE OF THIS, THE SALARIES	
OF THE INDIVIDUALS ARE SHOWN AS OTHER FEES FOR SERVICES.	
PART IX; STATEMENT OF FUNCTIONAL EXPENSE	
NORTHERN ILLINOIS UNIVERSITY PROVIDES IN-KIND SERVICE CONTRIBUTIONS	
WHICH ARE REFLECTED IN THE AUDITED FINANCIAL STATEMENTS BUT ARE NOT	
RECOGNIZED FOR TAX REPORTING PURPOSES. THESE AMOUNTS ARE NOT INCLUDED	
IN THE STATEMENT OF FUNCTIONAL EXPENSES BUT ARE BROKEN OUT AS PROGRAM	
\$895,905, MANAGEMENT \$877,294 AND FUNDRAISING \$1,920,369. THE TOTAL	
AMOUNT OF \$3,693,568 IS INCLUDED AS A RECONCILING ITEM ON SCHEDULE D.	
FORM 990, PART IV, LINE 34	
NORTHERN ILLINOIS UNIVERSITY (NIU) IS NOT CLASSIFIED AS RELATED	
ORGANIZATIONS ACCORDING TO THE FORM 990 GLOSSARY AND IN LINE 34	
INSTRUCTIONS. IN TRANSPARENCY, THE FOUNDATION REPORTS THE FOLLOWING:	
STACEY BARSEMA, NIU FOUNDATION BOARD MEMBER, IS SPOUSE OF DENNIS	
BARSEMA, MEMBER OF NIU BOARD OF TRUSTEES AND FORMER CHAIR OF NIU BOARD	
OF TRUSTEES. WHEELER COLEMAN AND CHERILYN MURER, BOTH CURRENT NIU	
FOUNDATION BOARD MEMBERS ARE FORMER CHAIRS OF THE NIU BOARD OF	
TRUSTEES.	
PART III LINE 4A NARRATIVE CONTINUATION	
WHO WE ARE	
AT THE NIU FOUNDATION, WE PURSUE EXCEPTIONAL OUTCOMES FOR OUR DONORS,	
OUR UNIVERSITY, AND THE COMMUNITIES WE SERVE.	
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Name of the organization

Schedule O (Form 990) 2021 Name of the organization	Page 2 Employer identification number
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819
THE NIU FOUNDATION IS A NOT-FOR-PROFIT ENTITY THAT ENGAGES FRIENDS AND	
ALUMNI AND SECURES AND MANAGES GIFTS IN SUPPORT OF NORTHERN ILLINOIS	
UNIVERSITY.	
CREATING LIFE-CHANGING OPPORTUNITIES FOR OUR HARDWORKING, DEDICATED	
STUDENTS AND FACULTY IS THE NIU FOUNDATION'S HIGHEST PRIORITY. EACH	
YEAR, THOUSANDS OF ALUMNI AND DONORS JOIN US IN THIS ENDEAVOR.	
CONNECTING OUR ALUMNI	
NIU HAS A FIERCELY PROUD ALUMNI BASE OF MORE THAN 250,000 HUSKIES. THEY	
BOAST ACCOMPLISHED CAREERS IN A DIVERSE ARRAY OF PROFESSIONS AND	
PRIMARILY RESIDE IN THE NORTHERN ILLINOIS REGION. THESE GRADUATES	
CREATE DYNAMIC PROFESSIONAL NETWORKS FOR STUDENTS AND FACULTY AND	
PROVIDE ECONOMIC VITALITY TO THE REGION AND STATE. ALUMNI ALSO	
REPRESENT A VAST PIPELINE OF SUPPORT FOR THE UNIVERSITY.	
IN FY22, THE NIU FOUNDATION HOSTED MORE THAN 100 ENGAGEMENT EVENTS	
ALLOWING ALUMNI AND DONORS TO CONNECT WITH NIU, EACH OTHER, AND THE	
STUDENTS WHO BENEFIT FROM THEIR SUPPORT. THESE EVENTS ALSO PROVIDE	
VALUABLE OPPORTUNITIES TO MEET WITH NIU PRESIDENT LISA C. FREEMAN AND	
OTHER KEY UNIVERSITY LEADERS TO LEARN MORE ABOUT THE UNIVERSITY'S	
VISION AND STRATEGIC DIRECTION. MORE THAN 2,100 PEOPLE ATTENDED THESE	
EVENTS IN FY22, 340 OF WHOM WERE FIRST-TIME EVENT ATTENDEES.	
FUNDING THE FUTURE	
THE NIU FOUNDATION WORKS TO FIND THE INTERSECTION OF DONORS'	
PHILANTHROPIC GOALS AND THE STRATEGIC PRIORITIES OF THE UNIVERSITY.	

Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification number 36-6086819
THE FOUNDATION MEASURES THE PRODUCTIVITY OF ITS FUNDRAISING EFFORTS	
ACROSS ALL AREAS OF THE UNIVERSITY THROUGH "NEW GIFTS AND COMMITMENTS,"	
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NHICH INCLUDE GIFTS OF CASH, GIFTS-IN-KIND, PLEDGES, UNREALIZED	
BEQUESTS, AND OTHER PLANNED GIFT COMMITMENTS.	
IN FY22, DONORS PROVIDED \$23.3M IN NEW GIFTS AND COMMITMENTS. THIS	
NUMBER INCLUDES DONATIONS MADE THROUGH TWO HIGHLY SUCCESSFUL VIRTUAL	
GIVING CAMPAIGNS, HUSKIES UNITED AND THOUSANDS STRONG, WHICH BROUGHT IN	
\$1.1M AND \$1.98M RESPECTIVELY.	
SCHOLARSHIPS: THE PATH FOR SOCIAL MOBILITY	
ACCORDING TO A REPORT FROM THE BROOKINGS INSTITUTION, NIU WAS AMONG A	
SELECT GROUP OF THE NATION'S PUBLIC UNIVERSITIES CITED FOR	
SIMULTANEOUSLY PRODUCING IMPORTANT RESEARCH WHILE ALSO ENHANCING THE	
SOCIAL MOBILITY OF ITS STUDENTS FROM LOW-INCOME HOUSEHOLDS: THE TWO	
MOST CELEBRATED PURPOSES OF THE AMERICAN PUBLIC UNIVERSITY SYSTEM. IN	
FACT, NIU RECEIVED THE HIGHEST RANK OF ALL PUBLIC UNIVERSITIES IN THE	
STATE OF ILLINOIS.	
IN FALL OF 2022, NIU SAW ITS NEW FRESHMAN ENROLLMENT CLIMB BY NEARLY 7%	
OVER THE SAME TIME LAST YEARTHE SIXTH STRAIGHT YEAR OF INCREASES IN THE	
SIZE OF THE FRESHMAN CLASS. THIS HAS BEEN THE LARGEST FRESHMAN CLASS	
SINCE 2014. ADDITIONALLY, THE FRESHMAN HUSKIES ARRIVED WITH AN	
IMPRESSIVE AVERAGE HIGH SCHOOL GPA OF 3.42, WHICH IS THE HIGHEST	
AVERAGE FOR NEW FRESHMEN ON RECORD.	
LOOKING AT OTHER CHARACTERISTICS OF THE FALL 2022 CLASS, NIU CONTINUES	
TO HAVE SUCCESS RECRUITING STUDENTS OF COLOR, WITH INCREASES IN THE	

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NORTHERN ILLINGIS UNIVERSITY POUNDATION SGENGLARSHIP SUPPORT BY THE UNIVERSITY FOUNDATION NORTHERN ILLING'S UNIVERSITY POUNDATION SGENGLARSHIP SUPPORT BY THE UNIVERSITY IS UNIVERSITY POUNDATION AGAIN OF PRESENTATION NORTHERN THE UNIVERSITY IS WORKING TOWARD A GOAL OF BECOMING A DESIGNATED HISPANIC SERVING INSTITUTION (HSI) WITHIN THE NEXT SEVERAL YEARS. NUU TAKES FRIDE IN PROVIDING AN ACCESSIBLE, AFFORDABLE, AND HIGH-QUALITY EDUCATION TO STUDENTS FROM ALL WALKS OF LIFE. IN FACT, MORE THAN HALF OF NIU STUDENTS ARE THE FIRST IN THEIR FAMILIES TO ATTEND COLLEGE, THREE IN FOUR STUDENTS ARE FROM AN UNDERREPRESENTED GROUP, AND 86% RELY ON SOME FORM OF FINANCIAL AID. SCHOLARSHIPS PLAY AN IMPORTANT ROLE IN MAKING A COLLEGE EDUCATION A REALITY FOR MANY OF THESE STUDENTS. THIS SUPPORT HAS ALSO PROVEN KEY TO PRESISTENCE, MELPING STUDENTS BECOME PROUD HUSKIE ALUMNT. IN FACT, NINE OUT OF 10 STUDENTS WHO RECEIVE NIU FOUNDATION SCHOLARSHIPS GRADUATE. THE FOUNDATION HAS BEEN WORKING TOWARD A GOAL OF RAISING \$50M IN SCHOLARSHIP SUPPORT BY THE END OF 2023. AT CLOSE OF FY22, WE HAD RAISED \$37.2M TOWARD THAT GOAL.	Schedule O (Form 990) 2021 Name of the organization	Page 2
AMONG BLACK STUDENTS, THE NEW PRESEMAN CLASS IS THE MOST DIVERSE IN UNIVERSITY HISTORY. THE UNIVERSITY IS WORKING TOWARD A GOAL OF BECOMING A DESIGNATED HISPANIC SERVING INSTITUTION (HSI) WITHIN THE NEXT SEVERAL YEARS. NUU TAKES PRIDE IN PROVIDING AN ACCESSIBLE, AFFORDABLE, AND HIGH-QUALITY EDUCATION TO STUDENTS FROM ALL WALKS OF LIFE. IN FACT, MORE THAN HALF OF NIU STUDENTS ARE THE FIRST IN THEIR FAMILIES TO ATTEND COLLEGE, THREE IN FOUR STUDENTS ARE FROM AN UNDERREPRESENTED GROUP, AND 86% RELY ON SOME FORM OF FINANCIAL AID. SCHOLARSHIPS PLAY AN IMPORTANT ROLE IN MAKING A COLLEGE EDUCATION A REALITY FOR MANY OF THESE STUDENTS. THIS SUPPORT HAS ALSO FROVEN KEY TO PERSISTENCE, HELFING STUDENTS BECOME FROUD HUSKIE ALUMNI. IN FACT, NINE DUT OF 10 STUDENTS WHO RECEIVE NIU FOUNDATION SCHOLARSHIPS GRADUATE. THE FOUNDATION HAS BEEN WORKING TOWARD A GOAL OF RAISING \$50M IN SCHOLARSHIP SUPPORT BY THE END OF 2023. AT CLOSE OF FY22, WE HAD RAISED \$37.2M TOWARD THAT GOAL.	5	
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	SCHOLARSHIP SUPPORT BY THE END OF 2023. AT CLOSE OF FY22, WE HAD RAISED	
IN FY22, THE NIU FOUNDATION PROVIDED \$2,755,944 TO STUDENTS FOR	\$37.2M TOWARD THAT GOAL.	
IN FY22, THE NIU FOUNDATION PROVIDED \$2,755,944 TO STUDENTS FOR		
	IN FY22, THE NIU FOUNDATION PROVIDED \$2,755,944 TO STUDENTS FOR	

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COMMITTED TO EQUITY AND INCLUSION

NIU IS COMMITTED TO DOING THE WORK NECESSARY TO ADDRESS SYSTEMIC

INEQUITIES AND FOSTER A MORE INCLUSIVE CAMPUS CULTURE WHERE EVERY

HUSKIE FEELS VALUED AND HAS THE OPPORTUNITY TO THRIVE.

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EQUITY, INCLUSION AND SOCIAL JU	USTICE, EFFORTS THAT HAVE EARNED THE
UNIVERSITY RECOGNITION AS A NAT	TIONAL LEADER IN THESE AREAS.
NIU WAS AMONG A SELECT GROUP OF	F INSTITUTIONS RECEIVING THE HIGHER
EDUCATION EXCELLENCE IN DIVERSI	ITY (HEED) AWARD FROM INSIGHT INTO
DIVERSITY MAGAZINE THE OLDEST	AND LARGEST DIVERSITY-FOCUSED
PUBLICATION IN HIGHER EDUCATION	Ν.
IN ADDITION, THE ASSOCIATION OF	F AMERICAN COLLEGES AND UNIVERSITIES
(AAC&U) HAS SELECTED NIU TO HOS	ST A TRUTH, RACIAL HEALING, AND
TRANSFORMATION (TRHT) CENTER. N	NIU IS ONE OF ONLY 49 SUCH CENTERS
NATIONWIDE.	
NIU AGAIN EARNED NATIONAL RECOG	GNITION WHEN ITS CENTER FOR BLACK STUDIES
WAS NAMED CILITIDAL CENTER OF TH	HE VEAD BY THE ACCOUNTANIAN OF BLACK
	HE YEAR BY THE ASSOCIATION OF BLACK
WAS NAMED CULTURAL CENTER OF TH	HE YEAR BY THE ASSOCIATION OF BLACK
CULTURAL CENTERS (ABCC).	HE YEAR BY THE ASSOCIATION OF BLACK
CULTURAL CENTERS (ABCC).	HE YEAR BY THE ASSOCIATION OF BLACK
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CULTURAL CENTERS (ABCC). FACULTY WHO TEACH AND DO NIU'S NATIONALLY AND INTERNATIC TO ADVANCING THEIR ACADEMIC DIS	ONALLY RECOGNIZED FACULTY ARE DEDICATED
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NORTHERN ILLINOIS UNIVERSITY FOUNDATION

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Name of the organization

Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification numb 36-6086819
OCIOPOLITICAL INEQUITY IMPACTING OUR REGION AND THE WORLD, AND	
ONNECTS RESEARCH AND INNOVATION TO ADVANCE SUSTAINABILITY IN FOOD	
YSTEMS, WATER RESOURCES AND ENVIRONMENTAL ADAPTATION WHILE ALSO	
ROMOTING SCIENCE-BASED POLICIES AND PRACTICES FOR COMMUNITIES	
ICCS IS A HUB IN THE ILLINOIS INNOVATION NETWORK. THE NETWORK CONNECTS	
LLINOIS PUBLIC UNIVERSITIES AND SUPPORTS COLLABORATION TO DRIVE	
NCLUSIVE INNOVATION, EQUITABLE WORKFORCE DEVELOPMENT, AND SUSTAINABLE	
CONOMIC GROWTH THROUGHOUT OUR STATE.	
NU FACULTY ARE ALSO CONDUCTING CUTTING-EDGE, TRANSDISCIPLINARY	
RESEARCH IN RELATIVELY NEW FIELDS SUCH AS URBAN-REGIONAL MODELING,	
ISASTER ATTRIBUTION, CONTROLLED ENVIRONMENT AGRICULTURE, PRAIRIE	
RESTORATION AND FOREVER CHEMICALS. MOREOVER, WE ARE FORGING TIES	
BETWEEN UNIVERSITY, COMMUNITY, GOVERNMENT AND BUSINESSES, WITH PROJECTS	
INDERWAY BETWEEN NIU AND THE U.S. GEOLOGICAL SURVEY, ARGONNE NATIONAL	
ABORATORY, THE DEKALB COUNTY COMMUNITY GARDENS, THE UNIVERSITY OF	
LLINOIS, AND SOUTHERN ILLINOIS UNIVERSITY.	
HESE AND MYRIAD OTHER PROJECTS PROVIDE THE OPPORTUNITY FOR STUDENTS TO	
PARTICIPATE IN CUTTING-EDGE RESEARCH ALONGSIDE FACULTY WHO ARE LEADERS	
IN THEIR FIELDS. THESE OPPORTUNITIES ARE A HALLMARK OF THE	
INDERGRADUATE EXPERIENCE AT NIU.	
ROM THE MOMENT THEY STEP ON CAMPUS, STUDENTS ARE ENCOURAGED TO APPLY	
NHAT THEY'VE LEARNED IN THE CLASSROOM TO THEIR OWN FACULTY GUIDED	
RESEARCH OR ARTISTRY PROJECT. WORKING CLOSELY WITH THEIR FACULTY	
MENTORS, STUDENTS PRESENT AT CONFERENCES, WIN AWARDS FOR THEIR	

Schedule O (Form 990) 2021

14041109 147228 133621-0

Schedule O (Form 990) 2021 Name of the organization	Employer identification number
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819
RESEARCH, AND HAVE ATTRACTED MEDIA ATTENTION WITH THEIR FINDINGS.	
PRIVATE DONATIONS HELP MAKE THESE EXPERIENCES POSSIBLE BY SUPPORTING	
INITIATIVES LIKE RESEARCH ROOKIES, A PROGRAM THAT LINKS FIRST-YEAR AND	
SOPHOMORE STUDENTS WITH FACULTY MENTORS IN THEIR AREAS OF INTEREST TO	
CONDUCT SMALL SCALE RESEARCH PROJECTS. EVIDENCE STRONGLY INDICATES THAT	
STUDENT RESEARCH PARTICIPATION INCREASES RETENTION AND BOLSTERS JOB AND	
GRADUATE SCHOOL OPPORTUNITIES.	
IN FY22, THE NIU FOUNDATION SUPPLIED \$5,325,420 TO SUPPORT CAMPUS	
DEPARTMENTS, PROGRAMS AND PROFESSORSHIPS.	
CAPITAL PROJECTS	
OVER THE PAST 20 YEARS, NIU DONORS HAVE LITERALLY CHANGED THE LANDSCAPE	
OF THE UNIVERSITY BY PROVIDING STATE-OF-THE-ART FACILITIES THAT SIMPLY	
WOULD NOT EXIST WITHOUT PRIVATE SUPPORT.	
CAMPUS LANDMARKS SUCH AS BARSEMA HALL, THE BARSEMA ALUMNI AND VISITORS	
CENTER, THE YORDON CENTER, AND THE KENNETH AND ELLEN CHESSICK PRACTICE	
FACILITY WERE MADE POSSIBLE THROUGH THE GENEROSITY OF DONORS.	
IN FY22 OVER \$269,374 WAS SPENT ON BUILDING PROJECTS AND EQUIPMENT TO	
ENHANCE THE LEARNING AND LIVING ENVIRONMENT AT NIU.	
PART III LINE 4A NARRATIVE CONTINUATION	
INVESTING FOR THE FUTURE	
THE NIU FOUNDATION ENDOWMENT PROVIDES A STRATEGIC BASE OF FUNDING TO	

58

THE NIU FOUNDATION ENDOWMENT PROVIDES A STRATEGIC BASE OF FUNDING TO

SUSTAIN EXCELLENCE AT NIU. AS OF JUNE 30, 2022, THE NIU FOUNDATION HELD

132212 11-11-21

Schedule O (Form 990) 2021

Schedule O (Form 990) 2021	Page 2
Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification number 36-6086819
NET ASSETS OF \$155.5M, \$89.7M OF WHICH WERE ENDOWMENT.	
· · ·	
THE NIU FOUNDATION ENDOWMENT FUND IS OVERSEEN BY MEMBERS OF THE NIU	
FOUNDATION BOARD OF DIRECTORS, ALL OF WHOM ARE GENEROUS DONORS	
THEMSELVES.	
THE BOARD'S INVESTMENT COMMITTEE CONDUCTS A THOROUGH PROCESS TO SELECT	
AND ENGAGE AN INDEPENDENT INVESTMENT CONSULTANT AND TO SET AND EXECUTE	
INVESTMENT POLICY AIMED TO ATTAIN THE HIGHEST POSSIBLE TOTAL RATE OF	
RETURN, COMMENSURATE WITH AN APPROPRIATE DEGREE OF RISK; PROVIDE GROWTH	
OF THE MARKET VALUE OF THE ENDOWMENT'S PRINCIPAL TO PROTECT AGAINST	
INFLATION; AND GENERATE CASH FLOW TO FUND SCHOLARSHIPS AND OTHER	
PRIORITIES.	
ANOTHER IMPORTANT INVESTMENT MADE BY THE NIU FOUNDATION IS IN THE	
CREATION OF OPPORTUNITIES FOR STUDENTS TO BE INVOLVED IN THE LIFE OF	
OUR WORK.	
THE NIU FOUNDATION BOARD OF DIRECTORS HAS TWO ACTIVE, VOTING MEMBERS	
FROM THE STUDENT BODY WHOSE INPUT IS HIGHLY SOUGHT AND VALUED, AND	
DOZENS OF STUDENTS GAIN VALUABLE EXPERIENCE WORKING IN THE FOUNDATION'S	
VARIOUS DEPARTMENTS FROM ALUMNI RELATIONS, ACCOUNTING, COMMUNICATIONS,	
AND DONOR RELATIONS.	
IN ADDITION, THE FOUNDATION SPONSORS A STUDENT-MANAGED PORTFOLIO	
PROGRAM IN PARTNERSHIP WITH OUR NATIONALLY RANKED COLLEGE OF BUSINESS	
THAT PROVIDES STUDENT ANALYSTS REAL-WORLD EXPERIENCE IN INVESTMENT AND	
PORTFOLIO MANAGEMENT. STUDENTS MEET WEEKLY TO MANAGE A PORTION OF THE	
132212 11-11-21	Schedule O (Form 990) 2021

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59 2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Schedule O (Form 990) 2021	Page
Name of the organization	Employer identification number 36-6086819
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	30-0000019
NIU FOUNDATION PORTFOLIO. THEIR RESULTS ARE OUTSTANDING AS EVIDENCED IN	
THEIR YEAR-OVER-YEAR PERFORMANCE. STUDENTS PROVIDE PRESENTATIONS TO THE	
NIU FOUNDATION BOARD OF DIRECTORS, EXPERIENCES THAT ARE PIVOTAL TO	
THEIR CAREER DEVELOPMENT. EMPLOYERS REPORT THAT THESE STUDENTS ARE	
PREPARED TO WORK QUICKLY IN THE REAL WORLD AND HIGHLY VALUED.	
THE FUTURE LOOKS BRIGHT	
A UNIVERSITY WITH THE ENERGY AND MOMENTUM OF NIU REQUIRES AN	
EVER-INCREASING LEVEL OF SUPPORT.	
IN ANTICIPATION OF THESE NEEDS, THE NIU FOUNDATION IS CURRENTLY	
PLANNING FOR A COMPREHENSIVE CAMPAIGN TO ENSURE THAT POSITIVE ENERGY,	
AND LIFE-CHANGING MOMENTUM CONTINUE FOR GENERATIONS OF HARDWORKING,	
DEDICATED HUSKIES.	
THE ENGAGEMENT AND SUPPORT OF NIU DONORS AND ALUMNI ARE ALWAYS	
INVALUABLE, BUT EVEN MORE SO AS THE NIU FOUNDATION NEARS LAUNCHING	
NIU'S MOST ASPIRATIONAL MULTIYEAR COMPREHENSIVE FUNDRAISING CAMPAIGN.	
THROUGH A UNITED EFFORT AND A VISIONARY PLAN FOR THE FUTURE, OUR	
ABILITY TO MEET THE NEEDS OF OUR STUDENTS AND SOCIETY'S CHALLENGES WILL	
BE ENHANCED AND ENERGIZED DUE TO THE CONTINUED GENEROSITY OF OUR ALUMNI	
AND DONORS.	

SCHEDULE R

(Form 990) Department of the Treasury Internal Revenue Service

Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Go to www.irs.gov/Form990 for instructions and the latest information.

2021 Open to Public

Employer identification number

36-6086819

OMB No. 1545-0047

Inspection

Name of the organization

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a)	(b)	(c)	(d)	(e)	(f)			
Name, address, and EIN (if applicable)	Primary activity	Legal domicile (state or	Total income	End-of-year assets	Direct controlling			
of disregarded entity		foreign country)			entity			
<i>c ,</i>		loroign country)						
ALUMNI ASSOCIATION TRAVEL PROGRAM LLC -								
85-3230744, 595 COLLEGE AVENUE, ALTGLD HALL					NORTHERN ILLINOIS			
135, DEKALB, IL 60115	ALUMNI TRAVEL PROGRAM	ILLINOIS	460,877.	245,804.	UNIVERSITY FOUNDATION			
]							

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity			(e) Public charity status (if section	(f) Direct controlling entity	cont	g) 512(b)(13) rolled tity?
				501(c)(3))		Yes	No
NORTHERN ILLINOIS UNIVERSITY - 36-6008480							
1515 W LINCOLN HIGHWAY							
DEKALB, IL 60015	PUBLIC UNI.	ILLINOIS	GOVT				х
NIU REAL ESTATE FOUNDATION - 84-2917334					NORTHERN ILLINOIS		
595 COLLEGE AVENUE, ALTGLD HALL 135					UNIVERSITY		
DEKALB, IL 60015	REAL ESTATE HOLDING	ILLINOIS	501(C)(3)	LINE 12A, I	FOUNDATION	X	
	_						
	_						

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule R (Form 990) 2021

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

organizationo troated do a pa												
(a)	(b)	(c)	(d)	(e)	(f)	(g)	()	h)	(i)	(j		(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling entity	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Share of total income	Share of end-of-year assets		ortionate itions?	Code V-UBI amount in box 20 of Schedule	Gener mana partr	ral or F ging her?	Percentage ownership
		country)		sections 512-514)		455615	Yes	No	K-1 (Form 1065)	Yes	No	
	1											
	-											
	-											
	-											
	1											
	1											
				1					I	1		

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign	(d) Direct controlling entity	(C corp, S corp, income end-of-ye		(h) Percentage ownership	Sec 512(t contr ent	(i) ction b)(13) rolled tity?
		country)					Yes	No
	1							
	1							

Part V Transactions With Related Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.						
1	During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?					
а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity	1a		х		
	Gift, grant, or capital contribution to related organization(s)	1b		Х		
с	Gift, grant, or capital contribution from related organization(s)	1c		Х		
d	Loans or loan guarantees to or for related organization(s)	1d		Х		
е	Loans or loan guarantees by related organization(s)	1e		Х		
f	Dividends from related organization(s)	1f		х		
g	Sale of assets to related organization(s)	1g		х		
	Purchase of assets from related organization(s)	1h		х		
i	Exchange of assets with related organization(s)	1i		Х		
j	Lease of facilities, equipment, or other assets to related organization(s)	1j	х			
-						
k	Lease of facilities, equipment, or other assets from related organization(s)	1k		х		
Т	Performance of services or membership or fundraising solicitations for related organization(s)	11	х			
m	Performance of services or membership or fundraising solicitations by related organization(s)	1m		Х		
	Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n	X			
	Sharing of paid employees with related organization(s)	10	X			
р	Reimbursement paid to related organization(s) for expenses	1p		х		
q	Reimbursement paid by related organization(s) for expenses	1q		х		
r	Other transfer of cash or property to related organization(s)	1r	х			
	Other transfer of cash or property from related organization(s)	1s		х		
2	If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds					

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
<u>(4)</u>			
(5)			
<u>(6)</u>			

Schedule R (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(-)	(1-)	(-)	(-1)			(6)	()	0		(:)	(1)		(1.)
(a)	(b)	(c)	(d)	(€ Are	a ll	(f)	(g)	(ľ	1)	(i)	(j)		(k)
Name, address, and EIN	Primary activity	Legal domicile	Predominant income	partnei 501(i org	rs sec.	Share of	Share of	Dispr tior allocat	opor- iate	Code V-UBI	Genera	al or P iina	Percentage
of entity		(state or foreign	excluded from tax under	org		total			ions?	of Schedule K-1	partne	er?	ownership
		country)	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Yes	No	income	assets	Yes	No	Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	Yes I	NO	
												+	

Schedule R (Form 990) 2021

Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R. See instructions.

SCHEDULE R, PART II

THE UNIVERSITY DOES NOT MEET THE DEFINITION OF RELATED ORGANIZATION AS

DEFINED IN THE INSTRUCTIONS TO THE FORM 990. THE UNIVERSITY PAYS THE

INDIVIDUALS LISTED IN FORM 990 PART VII AND THEREFORE THE FOUNDATION

HAS LISTED THE UNIVERSITY AS A RELATED ORGANIZATION FOR PURPOSES OF

REPORTING THIS COMPENSATION.

SCHEDULE R, PART II, COLUMN B

EDUCATIONAL, RELATIONSHIP BUILDING, AND CHARITABLE ASSOCIATION

132165 11-17-21

CARRYOVER DATA TO 2022

Name NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer Identification 36-6086819	ation Number .9			
Based on the information provided with this return, the following are possible carryover amounts to next	year.				
FEDERAL POST-2017 NET OPERATING LOSS - PARTNERSHIP INVESTMEN		53,805.			
FEDERAL CONTRIBUTION - 50% CASH		3,153,505.			
CA CONTRIBUTION - 50% CASH		202.			
		-			

Name	: NORTHERN ILLI	NOIS UNIVERSIT	Y FOUNDAT							FEIN:	36-6086819		
Type	Type and Entity: PARTNERSHIP INVESTMENT POST-2017 NO DETAIL CARRYOVER SCHEDULE Section 382 Annual Limitation Section 382 Carryover												
Year Origi- nated	Original Carryover	Total Amount Used	Amount Used for 06/30/22	Amount Used for									
	0 71,417.	17,612.	17,612.										
2020													
/ Detai Type	E Amount S Used for B C —	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for		

112571 04-01-21

Name	NORTHERN ILLI	NOIS UNIVERSIT	Y FOUNDAT							FEIN:	36-6086819		
	Type and Entity: ALUMNI TRAVEL TOURS AN POST-2017 NO DETAIL CARRYOVER SCHEDULE Section 382 Annual Limitation Section 382 Carryover DETAIL CARRYOVER SCHEDULE												
Year Origi- nated	Original Carryover Amount	Total Amount Used	Amount Used for 06/30/22	Amount Used for									
	47,956.	47,956.	47,956.										
Detail Type	E Amount S Used for B C —	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for		

112571 04-01-21

Ivanie	E NORTHERN ILL	INOIS UNIVERSI	ITY FOUNDAT							FEIN:	36-6086819		
Type	Type and Entity: CONTRIBUTION 50% CASH CA DETAIL CARRYOVER SCHEDULE Section 382 Annual Limitation Section 382 Carryover Section 382 Carryover												
Year Origi- nateo	Original Carryover Amount	Total Amount Used	Amount Used for										
2021 2022 202 202 202 202 202 202 202 202 202 202 202 202	0 101 1 101												
y V Detai Type	E Amount I S Used for B C	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for		

Name:	NORTHERN ILLI	NOIS UNIVERSI	TY FOUNDAT							FEIN:	36-6086819		
	Type and Entity: CONTRIBUTION - 50% CASH FED DETAIL CARRYOVER SCHEDULE Section 382 Annual Limitation Section 382 Carryover												
Year Origi- nated	Original Carryover Amount	Total Amount Used	Amount Used for										
2021	3,153,505.												
2021													
/		A	A second	A	A	Arran	A	A	Arran		A		
Detail	E Amount S Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for		
Туре	B C												
	С												
i													
/													

Form 99	0-T	E	∎ L	OMB No. 1545-0047							
		(and proxy tax under section 6033(e)) For calendar year 2021 or other tax year beginning JUL 1, 2021 , and ending JUN 30, 2022									
		For cal	·	2021							
Department of Internal Reven	f the Treasury nue Service	 B Go to www.irs.gov/Form990T for instructions and the latest information. Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3). 									
	eck box if dress changed.		Name of organization (Check box if name changed and see instructions.)	DEmplo	oyer identification number						
B Exempt	under section	Print	NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819							
X 501(4	c)(3) e) 220(e)	or Type	Number, street, and room or suite no. If a P.O. box, see instructions. ALTGELD HALL 134	E Group exemption number (see instructions)							
408A			City or town, state or province, country, and ZIP or foreign postal code DEKALB, IL 60115	F	Check box if						
			ok value of all assets at end of year 169,106,712.		an amended return.						
G Check	organization t	type 🕨	X 501(c) corporation 501(c) trust 401(a) trust Other trust								
H Check	if filing only to		Claim credit from Form 8941 Claim a refund shown on Form 2439								
I Check	if a 501(c)(3) o	organiz	ation filing a consolidated return with a 501(c)(2) titleholding corporation								
J Enter t	the number of	attache	ed Schedules A (Form 990-T)		2						
-	• •		e corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group?		Yes X No						
L The bo				15-75	3-1663						
Part I	Total Unr	elate	d Business Taxable Income								
1 Tota	l of unrelated	busines	ss taxable income computed from all unrelated trades or businesses (see								
instr	uctions)			1	109,367.						
2 Rese	erved			2							
-	lines 1 and 2			3	109,367.						
4 Cha	ritable contribu	utions (see instructions for limitation rules) STMT 1 STMT 2	4	27,092.						
5 Tota	I unrelated bu	siness	taxable income before net operating losses. Subtract line 4 from line 3	5	82,275.						
6 Ded	uction for net o	ng loss. See instructions	6								
7 Tota	I of unrelated	busines	ss taxable income before specific deduction and section 199A deduction.								
Sub	tract line 6 froi	m line 5	5	7	82,275.						
8 Spe	cific deductior	n (gener	ally \$1,000, but see instructions for exceptions)	8	1,000.						
9 Trus	sts. Section 19	99A deo	duction. See instructions	9							
	al deductions.			10	1,000.						
11 Unre	elated busine	ss taxa	ble income. Subtract line 10 from line 7. If line 10 is greater than line 7,								
	r zero			11	81,275.						
Part II	Tax Com	putati	on								
1 Orga	anizations tax	able a	s corporations. Multiply Part I, line 11 by 21% (0.21)	1	17,068.						
2 Trus	sts taxable at	trust ra	ates. See instructions for tax computation. Income tax on the amount on								
Part	I, line 11 from	: [Tax rate schedule or Schedule D (Form 1041)	2							
3 Prox	ky tax. See ins	struction		3							
4 Othe	er tax amounts	s. See ir	nstructions	4							
5 Alter	rnative minimu	ım tax (trusts only)	5							
6 Tax	on noncompl	iant fa	cility income. See instructions	6							
7 Tota	al. Add lines 3	throug	h 6 to line 1 or 2, whichever applies	7	17,068.						
LHA For	Paperwork F	Reducti	ion Act Notice, see instructions.		Form 990-T (2021)						

Form 9	90-T (2021)		Р	age 2	
Part	III Tax and Payments				
1a	Foreign tax credit (corporations attach Form 1118; trusts attach Form 1116) 1a				
b	Other credits (see instructions) 1b				
с	General business credit. Attach Form 3800 (see instructions)				
d	Credit for prior year minimum tax (attach Form 8801 or 8827) 1d				
е	Total credits. Add lines 1a through 1d	1e			
2	Subtract line 1e from Part II, line 7	2	17,	068.	
3	Other amounts due. Check if from: Form 4255 Form 8611 Form 8697 Form 8866	3			
4	Total tax. Add lines 2 and 3 (see instructions).				
	section 1294. Enter tax amount here	4	17,	068.	
5	Current net 965 tax liability paid from Form 965-A or Form 965-B, Part II, column (k), line 4	5		٥.	
6a	Payments: A 2020 overpayment credited to 2021				
b	2021 estimated tax payments. Check if section 643(g) election applies 6b				
с	Tax deposited with Form 8868 6c				
d	Foreign organizations: Tax paid or withheld at source (see instructions)				
е	Backup withholding (see instructions) 6e				
f	Credit for small employer health insurance premiums (attach Form 8941)				
g	Other credits, adjustments, and payments: Form 2439				
	□ Form 4136 Other Total ▶ 6g				
7	Total payments. Add lines 6a through 6g	7	72,	068.	
8	Estimated tax penalty (see instructions). Check if Form 2220 is attached	8			
9	Tax due. If line 7 is smaller than the total of lines 4, 5, and 8, enter amount owed	9			
10	Overpayment. If line 7 is larger than the total of lines 4, 5, and 8, enter amount overpaid	10	55,	000.	
	Enter the amount of line 10 you want: Credited to 2022 estimated tax 55,000. Refunded	11		0.	
Part	IV Statements Regarding Certain Activities and Other Information (see instructions)				
1	At any time during the 2021 calendar year, did the organization have an interest in or a signature or other authority		Yes	No	
	over a financial account (bank, securities, or other) in a foreign country? If "Yes," the organization may have to file				
	FinCEN Form 114, Report of Foreign Bank and Financial Accounts. If "Yes," enter the name of the foreign country				
	here			Х	
2	During the tax year, did the organization receive a distribution from, or was it the grantor of, or transferor to, a				
	foreign trust?			Х	
	If "Yes," see instructions for other forms the organization may have to file.				
3	Enter the amount of tax-exempt interest received or accrued during the tax year				
4	Enter available pre-2018 NOL carryovers here 🕨 \$ Do not include any post-2017 NOL carr	yover			
	shown on Schedule A (Form 990-T). Don't reduce the NOL carryover shown here by any deduction reported on Part	I, line 4.			
5	Post-2017 NOL carryovers. Enter available Business Activity Code and post-2017 NOL carryovers. Don't reduce				
	the amounts shown below by any NOL claimed on any Schedule A, Part II, line 17 for the tax year. See instructions.				
	Business Activity Code Available post-2017 NOL ca	irryover			
	900000 \$	71,417.			
	560000 \$	47,956.			
6a	Did the organization change its method of accounting? (see instructions)			Х	
b If 6a is "Yes," has the organization described the change on Form 990, 990-EZ, 990-PF, or Form 1128? If "No,"					
	explain in Part V				
Part	V Supplemental Information				

Provide the explanation required by Part IV, line 6b. Also, provide any other additional information. See instructions.

		examined this return, including accompanying sc (other than taxpayer) is based on all information o			vledge	and belief, it is true,
Here		PI	PRESIDENT AND CEO		May the IRS discuss this return the preparer shown below (se	
	Signature of officer	Date Titl	е		instru	ctions)? X Yes No
	Print/Type preparer's name	Preparer's signature	Date	Check	if	PTIN
Paid				self- employe	ed	
Preparer	DORI J. EGGETT	DORI J. EGGETT	11/09/22			P00645252
Use Only		Firm's EIN		38-1357951		
eee enig		FTS AVE, SUITE 600				
	Firm's address DENVER, CO 80237			Phone no.	303-	-740 - 9400
123711 01-31-2	22					Form 990-T (2021)

FORM 990-T	CONTRIBUTIONS	STATEMENT 1
DESCRIPTION/KIND OF PROPERTY	METHOD USED TO DETERMINE FMV	AMOUNT
CHARITABLE CONTRIBUTIONS - NORTHERN ILLINOIS UNIVERSITY	N/A	3,180,496.
CHARITABLE CONTRIBUTIONS - NORTHGATE PRIVATE EQUITY PARTNERS II, LP	N/A	80.
CHARITABLE CONTRIBUTIONS - AMBERBROOK VI, LLC CHARITABLE CONTRIBUTIONS -	N/A N/A	2.
AMBERBROOK VII LP CHARITABLE CONTRIBUTIONS -	N/A	5.
AMBERBROOK VIII LP CHARITABLE CONTRIBUTIONS - ROCKLAND POWER PARTNERS III,	N/A	2.
LP CHARITABLE CONTRIBUTIONS - RIVERCREST CAPITAL PARTNERS	N/A	11.
TOTAL TO FORM 990-T, PART I, I	LINE 4	3,180,597.

ORM 990-T CONTRIBUTIONS SUMMA	ARY	STATEMENT 2
QUALIFIED CONTRIBUTIONS SUBJECT TO 100% LIMIT QUALIFIED CONTRIBUTIONS SUBJECT TO 25% LIMIT		
CARRYOVER OF PRIOR YEARS UNUSED CONTRIBUTIONS FOR TAX YEAR 2016 FOR TAX YEAR 2017 FOR TAX YEAR 2018 FOR TAX YEAR 2019 FOR TAX YEAR 2020		
TOTAL CARRYOVER TOTAL CURRENT YEAR 10% CONTRIBUTIONS	101	
TOTAL CONTRIBUTIONS AVAILABLE TAXABLE INCOME LIMITATION AS ADJUSTED	3,180,597 27,092	_
EXCESS CONTRIBUTIONS EXCESS 100% CONTRIBUTIONS TOTAL EXCESS CONTRIBUTIONS	3,153,505 0 3,153,505	_
ALLOWABLE CONTRIBUTIONS DEDUCTION		_ 27,092
TOTAL CONTRIBUTION DEDUCTION		27,092

SCHEDULE A (Form 990-T)

Department of the Treasury

Internal Revenue Service

Unrelated Business Taxable Income From an Unrelated Trade or Business

Go to www.irs.gov/Form990T for instructions and the latest information.

Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

OMB No. 1545-0047

2021

Open to Public Inspection for 501(c)(3) Organizations Only

2

Α	Name	of the	organization	
---	------	--------	--------------	--

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

B Employer identification number 36 - 6086819

1

of

D Sequence:

900000 C Unrelated business activity code (see instructions)

E Describe the unrelated trade or business PARTNERSHIP INVESTMENTS

Pa	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1a b	Gross receipts or sales c Balance ►	1c			
2 3	Cost of goods sold (Part III, line 8) Gross profit. Subtract line 2 from line 1c	2			
4 a	Capital gain net income (attach Sch D (Form 1041 or Form 1120)). See instructions	4a	43,092.		43,092.
b c	Net gain (loss) (Form 4797) (attach Form 4797). See instructions) Capital loss deduction for trusts	4b 4c			
5	Income (loss) from a partnership or an S corporation (attach statement) STATEMENT 3	5	16,218.		16,218.
6 7	Rent income (Part IV) Unrelated debt-financed income (Part V)	6 7			
8	Interest, annuities, royalties, and rents from a controlled organization (Part V)	8			
9	Investment income of section 501(c)(7), (9), or (17) organizations (Part VII)	9			
10 11	Exploited exempt activity income (Part VIII)	10 11			
12	Other income (see instructions; attach statement) <u>STMT 4</u>	12	271.		271.
13	Total. Combine lines 3 through 12	13	59,581.		59,581.

Part II Deductions Not Taken Elsewhere See instructions for limitations on deductions. Deductions must be directly connected with the unrelated business income

1	1 Compensation of officers, directors, and trustees (Part X)			1	
2	Salaries and wages			2	
3	Repairs and maintenance			3	
4	Bad debts			4	
5	Interest (attach statement). See instructions			5	
6	Taxes and licenses			6	
7	Depreciation (attach Form 4562). See instructions	7			
8	Less depreciation claimed in Part III and elsewhere on return			8b	
9	Depletion			9	
10	Contributions to deferred compensation plans			10	
11	Employee benefit programs			11	
12	Excess exempt expenses (Part VIII)			12	
13	Excess readership costs (Part IX)			13	
14	Other deductions (attach statement) SEE	STA	TEMENT 5	14	37,566.
15	Total deductions. Add lines 1 through 14			15	37,566.
16	Unrelated business income before net operating loss deduction. Subtract line 15 from	Part	I, line 13,		
	column (C)			16	22,015.
17	Deduction for net operating loss. See instructions	STAT	EMENT 6	17	17,612.
18	Unrelated business taxable income. Subtract line 17 from line 16			18	4,403.
LHA	For Paperwork Reduction Act Notice, see instructions.			Schedu	le A (Form 990-T) 2021

123741 01-28-22

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Sched	ule A (Form 990-T) 2021				- Page 2
Part	III Cost of Goods Sold Enter meti	nod of inventory valuat	ion 🕨		
1	Inventory at beginning of year			1	
2	Purchases				
3	Cost of labor				
4	Additional section 263A costs (attach statement)				
5	Other costs (attach statement)				
6	Total. Add lines 1 through 5				
7	Inventory at end of year				
8	Cost of goods sold. Subtract line 7 from line 6. Enter h				Yes No
9 Part	Do the rules of section 263A (with respect to property p IV Rent Income (From Real Property and				
1	Description of property (property street address, city, s	•	-		
•	A				
	в 🗌				
	c 🗌				
	D				
		Α	В	С	D
2	Rent received or accrued				
а	From personal property (if the percentage of				
	rent for personal property is more than 10%				
	but not more than 50%)				
b	From real and personal property (if the				
	percentage of rent for personal property exceeds				
	50% or if the rent is based on profit or income)				
с	Total rents received or accrued by property.				
	Add lines 2a and 2b, columns A through D				
3	Total rents received or accrued. Add line 2c columns A	through D. Enter here	and on Part I, line 6, c	olumn (A) 🕨 🕨	0.
_	Deductions directly connected with the income				
4	in lines 2(a) and 2(b) (attach statement)				
5	Total deductions. Add line 4 columns A through D. En	tor have and an Dort I	line 6 column (D)	•	0.
Part		ae instructions)			••
1	Description of debt-financed property (street address, o		heck if a dual-use. See	instructions	
•	A	, state, 21 - 6646). e			
	в 🗌				
	c 🗌				
	D				
		А	В	С	D
2	Gross income from or allocable to debt-financed				
	property				
3	Deductions directly connected with or allocable				
	to debt-financed property				
а	Straight line depreciation (attach statement)				
b	Other deductions (attach statement)				
С	Total deductions (add lines 3a and 3b,				
	columns A through D)				
4	Amount of average acquisition debt on or allocable				
	to debt-financed property (attach statement)				
5	Average adjusted basis of or allocable to debt-				
_	financed property (attach statement)				
6	Divide line 4 by line 5	%	%	%	%
7	Gross income reportable. Multiply line 2 by line 6			`	^
8	Total gross income (add line 7, columns A through D)	. ∟nter here and on Pa	π ι, line /, column (A)	▶	0.
9	Allocable deductions, Multiply line 25 by line 6	[]			
9 10	Allocable deductions. Multiply line 3c by line 6 Total allocable deductions. Add line 9, columns A thr	ough D. Enter horo on	h on Part L line 7, och r	mn (B)	0.
11	Total dividends-received deductions included in line				0.
123721 (A (Form 990-T) 2021
		77		2010030	

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77 2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Sabad	ule A (Form 990-T) 2021									Doc	1
Part	VI Interest, Annu	ities, Royalties, and	Rents fror	n Control	led Or	ganizations	s (se	e instruct	ions)	Fay	ge <u>3</u>
					Exempt Controlled Organizations						
	1. Name of controlled	d 2. Employer	r 3. Net	unrelated	4. Tota	al of specified		rt of colur		6. Deductions direct	tly
	organization	identification	n incor	ne (loss)	payn	nents made		included olling orga		connected with	
		number	(see ins	structions)				gross inc		income in column	5
(1)											
(2)											
(3)											
<u>(4)</u>											
			Nonexempt (•	ons					
7	. Taxable Income	8. Net unrelated		otal of specif		10. Part of that is inc			11.	Deductions directly	/
		income (loss)	pa	yments mad	е	controlling				connected with	
		(see instructions)				gross	incom	е	inc	come in column 10	
<u>(1)</u>											
(2)											
<u>(3)</u>											
(4)											
						Add colum Enter here				l columns 6 and 11 er here and on Part	-
						line 8, c		,		ine 8, column (B)	',
Totals					•			0.			Ο.
Part	VII Investment I	ncome of a Section	501(c)(7) ((9) or (17)	Orgar	l hization (a	oo inot	ructions)			<u> </u>
		cription of income		2. Amou	-	3. Deductio		4. Set-	acidos	5. Total deduct	ions
				incor		directly conne		(attach st		nt) and set-aside	es
						(attach stater	ment)			(add cols 3 and	14)
(1)											
(2)											
(3)											
(4)											
				Add amo						Add amounts	
				column 2 here and o						column 5. Ent here and on Pa	
				line 9, colu	,					line 9, column	
Totals			►		0.						٥.
Part	VIII Exploited E	xempt Activity Incor	me, Other 1	Than Adve	ertising	g Income (see ins	structions)			
1	Description of exploite	/									
2	Gross unrelated busine	ess income from trade or t	ousiness. Ente	r here and o	n Part I,	line 10, colum	n (A)		2		
3	Expenses directly con	nected with production of	unrelated bus	iness income	e. Enter l	here and on Pa	art I,				
									3		
4	. ,	unrelated trade or busine									
									4		
5		tivity that is not unrelated							5		
6		to income entered on line							6		
7		ses. Subtract line 5 from li									
	4. Enter here and on P	art II, line 12							7		

Schedule A (Form 990-T) 2021

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	ule A (Form 990-T) 2021				Page 4
Part	IX Advertising Income				
1	Name(s) of periodical(s). Check box if reportin	ng two or more periodicals on a	consolidated basis	i.	
	A [
	в				
	c				
	D				
Enter a	amounts for each periodical listed above in the	corresponding column.	T		
		A	В	С	D
2	Gross advertising income				
	Add columns A through D. Enter here and or	n Part I, line 11, column (A)		▶	0.
а			1		
3	Direct advertising costs by periodical				
а	Add columns A through D. Enter here and or	n Part I, line 11, column (B)		►	0.
			1		
4	Advertising gain (loss). Subtract line 3 from li	ne			
	2. For any column in line 4 showing a gain,				
	complete lines 5 through 8. For any column i				
	line 4 showing a loss or zero, do not complet				
_	lines 5 through 7, and enter zero on line 8				
5	Readership costs				
6	Circulation income				
7	Excess readership costs. If line 6 is less than				
	line 5, subtract line 6 from line 5. If line 5 is le				
8	than line 6, enter zero Excess readership costs allowed as a				
0	deduction. For each column showing a gain	on			
	line 4, enter the lesser of line 4 or line 7				
а	Add line 8, columns A through D. Enter the g		l tal or zoro horo any		
a					0.
Part	Part II, line 13 X Compensation of Officers, Di	rectors, and Trustees	ee instructions)		
	•			3. Percentage	4. Compensation
	1. Name	2. Title		of time devoted	attributable to
				to business	unrelated business
(1)				%	
(2)				%	
(3)				%	
(4)				%	
	. Enter here and on Part II, line 1				0.
Part	XI Supplemental Information (se	ee instructions)			

FORM 990-T (A) INCOME (LOSS) FROM PARTNERSHIPS	STATEMENT 3
DESCRIPTION	NET INCOME OR (LOSS)
HARVEST MLP INCOME FUND II LLC - ORDINARY BUSINESS INCOME	
(LOSS) HARVEST MLP INCOME FUND II LLC - ORDINARY BUSINESS INCOME	-35.
(LOSS) ROCKLAND POWER PARTNERS III, LP - ORDINARY BUSINESS INCOME	-56. -65,520.
(LOSS) NORTHGATE IV, LP - ORDINARY BUSINESS INCOME (LOSS) NORTHGATE PRIVATE EQUITY PARTNERS II, LP - ORDINARY	-05,520. 303.
BUSINESS INCOME (LOSS) NORTHGATE PRIVATE EQUITY PARTNERS II, LP - NET RENTAL REAL	1,455.
ESTATE INCOME	-102.
NORTHGATE PRIVATE EQUITY PARTNERS II, LP - INTEREST INCOME AMBERBROOK VI, LLC - ORDINARY BUSINESS INCOME (LOSS)	16. 86.
AMBERBROOK VI, LLC - NET RENTAL REAL ESTATE INCOME	-8.
AMBERBROOK VI, LLC - INTEREST INCOME	19.
AMBERBROOK VI, LLC - DIVIDEND INCOME	30.
AMBERBROOK VI, LLC - ROYALTIES	2.
AMBERBROOK VI, LLC - OTHER PORTFOLIO INCOME (LOSS) AMBERBROOK VI, LLC - OTHER INCOME (LOSS)	81. 153.
AMBERBROOK VII LP - ORDINARY BUSINESS INCOME (LOSS)	2,504.
AMBERBROOK VII LP - NET RENTAL REAL ESTATE INCOME	-25.
AMBERBROOK VII LP - OTHER NET RENTAL INCOME (LOSS)	13.
AMBERBROOK VII LP - INTEREST INCOME	1,712.
AMBERBROOK VII LP - DIVIDEND INCOME	58.
AMBERBROOK VII LP - ROYALTIES AMBERBROOK VII LP - OTHER PORTFOLIO INCOME (LOSS)	4. 75.
AMBERBROOK VII LP - OTHER INCOME (LOSS)	95.
AMBERBROOK VIII LP - ORDINARY BUSINESS INCOME (LOSS)	1,245.
AMBERBROOK VIII LP - NET RENTAL REAL ESTATE INCOME	-8.
AMBERBROOK VIII LP - OTHER NET RENTAL INCOME (LOSS)	2.
AMBERBROOK VIII LP - INTEREST INCOME	22. 48.
AMBERBROOK VIII LP - DIVIDEND INCOME AMBERBROOK VIII LP - ROYALTIES	40. 1.
AMBERBROOK VIII LP - OTHER PORTFOLIO INCOME (LOSS)	-1.
AMBERBROOK VIII LP - OTHER INCOME (LOSS)	444.
THE ENERGY & MINERALS GROUP FUND II, LP - ORDINARY	
BUSINESS INCOME (LOSS)	62,304.
ACCOLADE PARTNERS VII, P.L ORDINARY BUSINESS INCOME (LOSS)	-1,026.
ACCOLADE PARTNERS VII, P.L INTEREST INCOME	1,020.
ACCOLADE PARTNERS VII, P.L DIVIDEND INCOME	135.
ACCOLADE PARTNERS VII, P.L OTHER PORTFOLIO INCOME	
(LOSS)	18.
RIVERCREST CAPITAL PARTNERS LP - ROYALTIES	15,737.
RIVERCREST CAPITAL PARTNERS LP - OTHER PORTFOLIO INCOME (LOSS)	205.
SRE OPPORTUNITY FUND III - NET RENTAL REAL ESTATE INCOME	1,853.
WCP NEWCOLD LP - ORDINARY BUSINESS INCOME (LOSS)	-5,417.
COMMONFUND CAPITAL PARTNERS 2000, LP - ORDINARY BUSINESS	•
INCOME (LOSS)	-349.
COMMONFUND CAPITAL PARTNERS 2000, LP - OTHER INCOME (LOSS)	31.

STATEMENT(S) 3

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

TOTAL INCLUDED ON SCHEDULE A, PART I, LINE 5

STATEMENT 4 FORM 990-T (A) OTHER INCOME AMOUNT DESCRIPTION CANCELLATION OF DEBT - AMBERBROOK VI, LLC 34. CANCELLATION OF DEBT - AMBERBROOK VII LP 204. CANCELLATION OF DEBT - AMBERBROOK VIII LP 32. CANCELLATION OF DEBT - THE ENERGY & MINERALS GROUP FUND II, \mathbf{LP} 1. 271. TOTAL TO SCHEDULE A, PART I, LINE 12 FORM 990-T (A) OTHER DEDUCTIONS STATEMENT 5 DESCRIPTION AMOUNT OTHER DEDUCTIONS FROM PASSTHROUGH K-1S 37,566. 37,566. TOTAL TO SCHEDULE A, PART II, LINE 14 FORM 990-T (A) POST 2017 NOL SCHEDULE STATEMENT 6 PRIOR YEAR POST CARRYFORWARD OF 2017 NOL NOL DEDUCTION POST 2017 NOL 71,417. 17,612. 53,805. 990-T SCH A POST-2017 NET OPERATING LOSS DEDUCTION STATEMENT 7 LOSS PREVIOUSLY LOSS AVAILABLE LOSS SUSTAINED TAX YEAR APPLIED REMAINING THIS YEAR 06/30/21 71,417. Ο. 71,417. 71,417. NOL CARRYOVER AVAILABLE THIS YEAR 71,417. 71,417.

> 81 STATEMENT(S) 3, 4, 5, 6, 7 2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

36-6086819

16,218.

Name

Department of the Treasury Internal Revenue Service

Capital Gains and Losses Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T. Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

2021

Employer identification number

36-6086819

Did the corporation dispose of any investment(s) in a qualified opportunity fund during the tax year?									
If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss.									
Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less									
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	in 49, g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the							
round off cents to whole dollars.	(sales price)	(or other basis)	, , , ,		result with column (g)				
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b									
1b Totals for all transactions reported on									
Form(s) 8949 with Box A checked									
2 Totals for all transactions reported on									
Form(s) 8949 with Box B checked									
3 Totals for all transactions reported on									
Form(s) 8949 with Box C checked					3,535.				
4 Short-term capital gain from installment sales				4					
5 Short-term capital gain or (loss) from like-kind				5					
6 Unused capital loss carryover (attach computa	ition)			6	()				
7 Net short-term capital gain or (loss). Combine	e lines 1a through 6 in column	h h		7	3,535.				
Part II Long-Term Capital Gai	ns and Losses - Ass				(1) 0 : (1)				
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to ga or loss from Form(s) 894 Part II, line 2, column (49,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)				
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b									
8b Totals for all transactions reported on									
Form(s) 8949 with Box D checked									
9 Totals for all transactions reported on Form(s) 8949 with Box E checked									
10 Totals for all transactions reported on Form(s) 8949 with Box F checked					38,040.				
				11	1,517.				
12 Long-term capital gain from installment sales	from Form 6252 line 26 or 23			12					
12 Long-term capital gain form installment sales13 Long-term capital gain or (loss) from like-kind	13								
4. Oppital agin distributions	14								
15 Net long-term capital gain or (loss). Combine	15	39,557.							
Part III Summary of Parts I and		<u>וה ווייי</u>		10					
16 Enter excess of net short-term capital gain (lin	ne 7) over net long-term capita	l loss (line 15)		16	3,535.				
17 Net capital gain. Enter excess of net long-term				17	39,557.				
18 Add lines 16 and 17. Enter here and on Form	18 Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns								

Note: If losses exceed gains, see Capital Losses in the instructions.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2021

121051 12-17-21

Form 8949						
Department of the Treasury Internal Revenue Service						

Name(s) shown on return

Sales and Other Dispositions of Capital Assets

Go to www.irs.gov/Form8949 for instructions and the latest information.
 File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

Attachment Sequence No. 12A

Social security number or taxpayer identification no.

NORTHERN ILLINOIS UNI	VERSITY FOUN	DATION				36-60	086819			
Before you check Box A, B, or C below statement will have the same information broker and may even tell you which b	ow, see whether ation as Form 109	you received any 99-B. Either will s	r Form(s) 1099-B show whether you	or substitute statem Ir basis (usually you	ent(s) fron r cost) was	n your broker. A su s reported to the IR	bstitute 'S by your			
Part I Short-Term. Transact		al assets you held	1 year or less are ge	enerally short-term (see	e instruction	s). For long-term				
transactions, see page 2. Note: You may aggregate all	I short-term transac	tions reported on F	Form(s) 1099-B shov	ving basis was reporte	d to the IRS	and for which no ad				
codes are required. Enter the You must check Box A, B, or C below.										
If you have more short-term transactions than will	I fit on this page for on	e or more of the boxes	, complete as many for	ms with the same box che	cked as you n	eed.				
(A) Short-term transactions rep					Note ab	ove)				
(B) Short-term transactions rep	-	-	-	eported to the IRS						
X (C) Short-term transactions no	t reported to you	u on Form 1099-E	3	1						
1 (a) Description of property (Example: 100 sh. XYZ Co.)	ption of property Date acquired Date sold or Proceeds Cost or other in column (g), enter a code in Gain or (loss).									
	(110., ady, yr.)	(Mo., day, yr.)		Note below and see Column (e) in the instructions	(f) Code(s)	(g) Amount of adjustment	from column (d) & combine the result with column (g)			
AMBERBROOK VI, LLC						aujustment	3.	С		
AMBERBROOK VII LP							23.	c		
AMBERBROOK VIII LP							37.			
ACCOLADE PARTNERS VII, P.L.							1,111.			
SRE OPPORTUNITY FUND III							2,361.			
								•		
				1						
2 Totals. Add the amounts in colur	nns (d), (e), (a) a	nd (h) (subtract		1						
negative amounts). Enter each to										
Schedule D, line 1b (if Box A abo		-								
above is checked), or line 3 (if B		•					3,535.			
Note: If you checked Box A above b			was incorrect. ent	er in column (e) the	basis as r	reported to the IRS	, ,			
adjustment in column (g) to correct t						-				

Form 8949 (2021)				Attachn	nent Sequer	nce No. 12A	Page 2
Name(s) shown on return. Name and	I SSN or taxpaye	er identification n	o. not required if			Social secur	ity number or ntification no.
NORTHERN ILLINOIS UNI	VERSITY FOUN	DATION				36-6	086819
Before you check Box D, E, or F belo statement will have the same information	ow, see whether y ation as Form 109	ou received any 99-B. Either will s	Form(s) 1099-B how whether you	or substitute statem ur basis (usually you	ent(s) from y r cost) was r	our broker. A sure reported to the IF	bstitute IS by your
broker and may even tell you which b Part II Long-Term. Transaction see page 1.		al assets you held n	nore than 1 year are	e generally long-term (s	ee instruction	s). For short-term t	ransactions,
Note: You may aggregate all codes are required. Enter the							
You must check Box D, E, or F below. C	Check only one bo	x. If more than one be	ox applies for your long	g-term transactions, compl	ete a separate F	orm 8949, page 2, for	
If you have more long-term transactions than will (D) Long-term transactions rep					-		
(E) Long-term transactions rep					Note abov	(6)	
(E) Long-term transactions not							
1 (a) Description of property	(b) Date acquired	(c) Date sold or	(d) Proceeds (sales price)	(e) Cost or other	loss. If you	, if any, to gain or I enter an amount g), enter a code in	(h) Gain or (loss).
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of	(sales price)	basis. See the Note below and	column (f).	See instructions.	Subtract column (e) from column (d) &
		(Mo., day, yr.)		see <i>Column (e)</i> in the instructions	(f) Code(s)	(g) Amount of adjustment	combine the result with column (g)
NORTHGATE PRIVATE EQUITY							
PARTNERS II, LP							25,882.
AMBERBROOK VI, LLC							344.
AMBERBROOK VIII LP							11.
ACCOLADE PARTNERS VII, P.L.							10,962.
-							
2 Totals. Add the amounts in colun	nns (d). (e). (a). a	nd (h) (subtract					
negative amounts). Enter each to							
Schedule D, line 8b (if Box D abo		-					
above is checked), or line 10 (if E							38,040.
Note: If you checked Box D above b			vas incorrect, en	ter in column (e) the	basis as re	ported to the IRS	, and enter an
adjustment in column (g) to correct t	he basis. See C	o <i>lumn (g</i>) in the s	eparate instruct	ions for how to figur	e the amou	nt of the adjustm	ent.
123012 12-14-21							orm 8949 (2021)

Form	4797	
. .		

Department of the Treasury Internal Revenue Service Name(s) shown on return

Sales of Business Property (Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2)) ► Attach to your tax return. ► Go to www.irs.gov/Form4797 for instructions and the latest information.

OMB	No.	1545	-0184
_	_	-	-

Attachment Sequence No. 27

		, ,
NORTHERN ILLINOIS UNIVERSITY FOUNDATION		36-6086819
1a Enter the gross proceeds from sales or exchanges reported to you for 2021 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20	1a	
b Enter the total amount of gain that you are including on lines 2, 10, and 24 due to the partial dispositions of MACRS assets	1b	
c Enter the total amount of loss that you are including on lines 2 and 10 due to the partial dispositions of MACRS assets	1c	

 Part I
 Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft-Most Property Held More Than 1 Year (see instructions)

2 SEE	(a) Description of property S STATEMENT 12	(b) Date acquired (mo., day, yr.)	(C) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or other basis, plus improvements and expense of sale		(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)		
							_			
							_			
	Gain, if any, from Form 4684, line 39									
	Section 1231 gain from installment sa									
5	Section 1231 gain or (loss) from like-k									
6	Gain, if any, from line 32, from other t						-	1,517.		
7	Combine lines 2 through 6. Enter the	•	-			7	_	1,517.		
	Partnerships and S corporations. R line 10, or Form 1120-S, Schedule K,				r Form 1065, Sche	dule K,				
		·								
	Individuals, partners, S corporation									
	from line 7 on line 11 below and skip 1231 losses, or they were recaptured		•	•						
	the Schedule D filed with your return				ig term oupliar gai					
~			0				Т			
	Nonrecaptured net section 1231 loss				- 7 an line 10 hala					
9	Subtract line 8 from line 7. If zero or le line 9 is more than zero, enter the am			•						
	capital gain on the Schedule D filed w			•				1,517.		
_		•						1,01,.		
Pa	rt II Ordinary Gains and I	-OSSES (see in	structions)							
10	Ordinary gains and losses not includ	ed on lines 11 th	nrough 16 (inclue	de property held 1	year or less):					
11	Loss, if any, from line 7	•		•		1	I ()		
	Gain, if any, from line 7 or amount fro						2			
13	Gain, if any, from line 31						3			
14	Net gain or (loss) from Form 4684, line									
15	Ordinary gain from installment sales f		5							
16	Ordinary gain or (loss) from like-kind e		5							
17			7							
18										
	a and b below. For individual returns, complete lines a and b below.									
а	If the loss on line 11 includes a loss fr	om Form 4684,	line 35, column ((b)(ii), enter that pa	rt of the loss here.	Enter the				
	loss from income-producing property									
	as an employee.) Identify as from "Fo					-	a			
b	Redetermine the gain or (loss) on line									
	(Form 1040), Part I, line 4	- 			<u></u>		b			
LH/	For Paperwork Reduction Act No.	otice, see separ	ate instructions	5.				Form 4797 (2021)		

118011 12-17-21

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Page 2

9 (a) Description	of section 1245, 1250, 1252, 1254,	or 1255	property:			(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)
Α							
В							
С							
D							
These colum lines 19A thro	ns relate to the properties on ough 19D.		Property A	Property	/ B	Property C	Property [
Gross sales pric	e (Note: See line 1a before completing.)	20					
Cost or other I	basis plus expense of sale	21					
	or depletion) allowed or allowable	22					
Adjusted basis	s. Subtract line 22 from line 21	23					
	btract line 23 from line 20	24					
If section 124	5 property:						
a Depreciation a	allowed or allowable from line 22	25a					
	Iller of line 24 or 25a	25b					
	O property: If straight line depreciation -0- on line 26g, except for a corporation on 291.						
	ciation after 1975. See instructions	26a					
	rcentage multiplied by the smaller ne 26a. See instructions	26b					
	26a from line 24. If residential rental ne 24 isn't more than line 26a, skip 26e	26c					
d Additional depre	ciation after 1969 and before 1976	26d					
e Enter the sma	aller of line 26c or 26d	26e					
f Section 291 a	mount (corporations only)	26f					
	, 26e, and 26f	26g					
dispose of farm a partnership.	property: Skip this section if you didn't and or if this form is being completed for						
	d land clearing expenses	27a					
	ied by applicable percentage	27b					
	Iller of line 24 or 27b	27c					
for development	4 property: g and development costs, expenditures t of mines and other natural deposits, ion costs, and depletion. See instructions	28a					
	ller of line 24 or 28a	28b					
 If section 125 a Applicable per from income u 	5 property: centage of payments excluded inder section 126. See instructions	29a					
b Enter the sma	ller of line 24 or 29a. See instructions	29b					
	art III Gains. Complete property of						
a. gaino 101							
	columns A through D, lines 25b, 26g,						
	31 from line 30. Enter the portion from		ty or theft on Form 40	684, line 33. Ent	er the p		
art IV Reca	n casualty or theft on Form 4797, line pture Amounts Under Sectio	e 6 ons 17	9 and 280F(b)(2)	When Busir	iess l	Jse Drops to 50%	or Less
(see ins	structions)					(a) Section	(b) Sectior
						179	280F(b)(2)
Section 179 ex	xpense deduction or depreciation allo	owable ii	n prior years		33		
– – – – – – – – – – – – – – – – – – –	depreciation. See instructions				34		1
Recomputed of		• • • • • • • • • • • • • • •	• • • • • • • • • • • • • • • • • • • •		01		

Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255 (see instructions)

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SCHEDULE A (Form 990-T)

Department of the Treasury

Internal Revenue Service

Unrelated Business Taxable Income From an Unrelated Trade or Business

► Go to www.irs.gov/Form990T for instructions and the latest information.

Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

OMB No. 1545-0047

Open to Public Inspection for 501(c)(3) Organizations Only

2

2 <u>of</u>

B Employer identification number

36 - 6086819

D Sequence:

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

560000 C Unrelated business activity code (see instructions)

EC	Describe the unrelated trade or business ALUMNI TRAVEL TOUR	S AND	AFFINITY PROGRAM		
	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1a	Gross receipts or sales				
b	Less returns and allowances c Balance >	1c			
2	Cost of goods sold (Part III, line 8)	2			
3	Gross profit. Subtract line 2 from line 1c	3			
4 a	Capital gain net income (attach Sch D (Form 1041 or Form				
	1120)). See instructions	4a			
b	Net gain (loss) (Form 4797) (attach Form 4797). See instructions)	4b			
с	Capital loss deduction for trusts	4c			
5	Income (loss) from a partnership or an S corporation (attach				
	statement)	5			
6	Rent income (Part IV)	6			
7	Unrelated debt-financed income (Part V)	7			
8	Interest, annuities, royalties, and rents from a controlled				
	organization (Part VI)	8			
9	Investment income of section 501(c)(7), (9), or (17)				
	organizations (Part VII)	9			
10	Exploited exempt activity income (Part VIII)	10			
11	Advertising income (Part IX)	11			
12	Other income (see instructions; attach statement) STMT 8	12	177,920.		177,920.
13	Total. Combine lines 3 through 12	13	177,920.		177,920.
Pa	t II Deductions Not Taken Elsewhere See instruction directly connected with the unrelated business inc	come			ns must be
1	Compensation of officers, directors, and trustees (Part X)				
2	Salaries and wages				
3	Repairs and maintenance				
4	Bad debts				
5	Interest (attach statement). See instructions				
6	Taxes and licenses	6			
7	Depreciation (attach Form 4562). See instructions				
8	Less depreciation claimed in Part III and elsewhere on return	8b			
9	Depletion				
10	Contributions to deferred compensation plans				
11	Employee benefit programs				
12	Excess exempt expenses (Part VIII)				
13	Excess readership costs (Part IX)	13			

	For Demonstrative Act Nation and instructions		Cale a dud a	(Farma 000 T) 0001
18	Unrelated business taxable income. Subtract line 17 from line 16		18	104,964.
17	Deduction for net operating loss. See instructions	STATEMENT 10	17	47,956.
	column (C)		16	152,920.
16	Unrelated business income before net operating loss deduction. Subtract line 1	5 from Part I, line 13,		
15	Total deductions. Add lines 1 through 14		15	25,000.
14	Other deductions (attach statement)	SEE STATEMENT 9	14	25,000.

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule A (Form 990-T) 2021

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	ule A (Form 990-T) 2021				2 Page 2
Part		hod of inventory valua	ion 🕨		
1	Inventory at beginning of year			1	
2	Purchases				
3	Cost of labor				
4	Additional section 263A costs (attach statement)				
5	Other costs (attach statement)			5	
6	Total. Add lines 1 through 5				
7	Inventory at end of year				
8	Cost of goods sold. Subtract line 7 from line 6. Enter	here and in Part I, line	2		
9	Do the rules of section 263A (with respect to property				Yes No
Part	IV Rent Income (From Real Property and	d Personal Prope	ty Leased with	Real Property)	
1	Description of property (property street address, city, s	state, ZIP code). Check	if a dual-use. See ins	structions.	
	A				
	в				
	c				
	D				
		A	В	C	D
2	Rent received or accrued				
а	From personal property (if the percentage of				
	rent for personal property is more than 10%				
_	but not more than 50%)				
b	From real and personal property (if the				
	percentage of rent for personal property exceeds				
	50% or if the rent is based on profit or income)				
С	Total rents received or accrued by property.				
	Add lines 2a and 2b, columns A through D				
3 4	Total rents received or accrued. Add line 2c columns A Deductions directly connected with the income in lines 2(a) and 2(b) (attach statement)		and on Part I, line 6,		0.
5 Dout	Tatal de ducationes A del line 4 de luneme A de version D. Cu		ling & column (P)		
Part	Total deductions. Add line 4 columns A through D. Er	iter here and on Part I,			υ.
	V Unrelated Debt-Financed Income (s	ee instructions)			0.
1	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, d	ee instructions)			0.
1	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, o	ee instructions)			
1	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, o A B	ee instructions)			
1	V Unrelated Debt-Financed Income (S Description of debt-financed property (street address, or A	ee instructions)			
1	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, o A B	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
	V Unrelated Debt-Financed Income (S Description of debt-financed property (street address, o A	ee instructions)			0.
1	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, o A	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
2	V Unrelated Debt-Financed Income (S Description of debt-financed property (street address, o A	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
2 3	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A B C D Gross income from or allocable to debt-financed property Deductions directly connected with or allocable to debt-financed property	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
2 3 a	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or all or al	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
2 3 b	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or all or al	ee instructions) city, state, ZIP code). (Check if a dual-use. S	ee instructions.	
2 3 a	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or all or al	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	
2 3 b c	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or all or al	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	
2 3 b	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or a strength of a stren	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	
2 3 b c 4	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	
2 3 b c	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	
2 3 b c 4 5	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or all of the stress) (s (s) <	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	D
2 3 b c 4 5 6	V Unrelated Debt-Financed Income (S Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	Check if a dual-use. S	ee instructions.	D
2 3 b c 4 5 6 7	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	B	ee instructions.	D
2 3 b c 4 5 6	V Unrelated Debt-Financed Income (S Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	B	ee instructions.	
2 3 b c 4 5 6 7 8	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A	B	ee instructions.	D
2 3 b c 4 5 6 7	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A A . Enter here and on Pa	B B rt I, line 7, column (A	ee instructions.	D
2 3 b c 4 5 6 7 8 9	V Unrelated Debt-Financed Income (s Description of debt-financed property (street address, or A	ee instructions) city, state, ZIP code). (A A . Enter here and on Pa	B B rt I, line 7, column (A	ee instructions.	D 9%

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Sched	ule A (Form 990-T) 2021 VI Interest, Annu	uities. Re	ovalties, and Re	ents fror	n Control	led Or	ganization	S (s	ee instruct	tions		Page 3
. art							Exempt Contro	(,		
	organization identification i		3. Net unrelated income (loss) (see instructions)		al of specified	pecified 5. Part of column 4		mn 4 in the aniza-	con	uctions directly nected with ne in column 5		
(1)									s gross me			
(2)												
(3)												
(4)												
			No	nexempt (Controlled O	rganizati	ons					
7	7. Taxable Income 8. Net unrelated 9 income (loss) (see instructions)			otal of specif syments mad		10. Part of that is inconstruction of the controlling gross	luded	in the zation's		connec	tions directly cted with column 10	
(1)												
(2)												
(3)												
(4)												
	Add columns 5 and 10. Enter here and on Part I, line 8, column (A)			n Part I, n (A)	Ente	er here a	ns 6 and 11. and on Part I, olumn (B)					
Totals Part			of a Castion 50	4(_)/7) ((0) er (17)	>			0.			0.
Fart		cription of	of a Section 50	T(C)(7), (tructions)	• •	БТ	otal deductions
	I. Dest		income		2. Amou incor		3. Deduction directly connection (attach stater	ected	4. Set- (attach st	asides tatemer	nt) ai	nd set-asides d cols 3 and 4)
(1)												
(2)												
(3)												
<u>(4)</u>					Add amor column 2 here and o line 9, colu	. Enter n Part I,					co her	dd amounts in blumn 5. Enter e and on Part I, e 9, column (B)
Totals				►		0.						0.
Part	VIII Exploited E	xempt A	ctivity Income,	, Other 1	Than Adve	ertising	g Income ((see in	structions))		
1	Description of exploite	ed activity:										
2	Gross unrelated busin									2		
3	Expenses directly con											
	line 10, column (B)									3		
4	Net income (loss) from											
_	lines 5 through 7									4		
5	Gross income from ac									5		
6	Expenses attributable									6		
7	Excess exempt expen									_		
	4. Enter here and on F	art II, line	12			<u></u>				7		

Schedule A (Form 990-T) 2021

2

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	ule A (Form 990-T) 2021				Page 4
Part	IX Advertising Income				
1	Name(s) of periodical(s). Check box if reportin	ng two or more periodicals on a	consolidated basis	i.	
	A [
	в				
	c				
	D				
Enter a	amounts for each periodical listed above in the	corresponding column.	T		
		A	В	С	D
2	Gross advertising income				
	Add columns A through D. Enter here and or	n Part I, line 11, column (A)		▶	0.
а			1		
3	Direct advertising costs by periodical				
а	Add columns A through D. Enter here and or	n Part I, line 11, column (B)		►	0.
			1		
4	Advertising gain (loss). Subtract line 3 from li	ne			
	2. For any column in line 4 showing a gain,				
	complete lines 5 through 8. For any column i				
	line 4 showing a loss or zero, do not complet				
_	lines 5 through 7, and enter zero on line 8				
5	Readership costs				
6	Circulation income				
7	Excess readership costs. If line 6 is less than				
	line 5, subtract line 6 from line 5. If line 5 is le				
8	than line 6, enter zero Excess readership costs allowed as a				
0	deduction. For each column showing a gain	on			
	line 4, enter the lesser of line 4 or line 7				
а	Add line 8, columns A through D. Enter the g		l tal or zoro horo any		
a					0.
Part	Part II, line 13 X Compensation of Officers, Di	rectors, and Trustees	ee instructions)		
	•			3. Percentage	4. Compensation
	1. Name	2. Title		of time devoted	attributable to
				to business	unrelated business
(1)				%	
(2)				%	
(3)				%	
(4)				%	
	. Enter here and on Part II, line 1				0.
Part	XI Supplemental Information (se	ee instructions)			

36-6086819

FORM 990-T	(A)		OTHER	INCOME			STATEMEN	T 8
DESCRIPTIO	N						AMOUN	т
ALUMNI TRA AFFINITY P		RS						51,440. 126,480.
TOTAL TO S	CHEDULE	A, PART I	, LINE 12					177,920.
FORM 990-T	(A)		OTHER	DEDUCTIO	DNS		STATEMEN	т 9
DESCRIPTIO	N						AMOUN	т
SPONSORSHI	P EXPEN	SES						25,000.
TOTAL TO S	CHEDULE	A, PART I	I, LINE 14					25,000
	(A) R POST	A, PART I	I, LINE 14 POST 2017 NOL DEDU	NOL SCHI	DULE	CARRYFOI POST 203	STATEMEN RWARD OF 17 NOL	
FORM 990-T PRIOR YEA	(A) R POST	A, PART I	POST 2017	NOL SCHI	DULE		RWARD OF	25,000. TT 10
FORM 990-T PRIOR YEA 2017 NO	(A) R POST L 47,956.		POST 2017 NOL DEDU	NOL SCHE CTION 47,956.		POST 20:	RWARD OF 17 NOL 0.	T 10
FORM 990-T PRIOR YEA	(A) R POST L 47,956. A		POST 2017	NOL SCHE CTION 47,956. ERATING I S USLY		POST 20:	RWARD OF 17 NOL	T 10 T 11 E
FORM 990-T PRIOR YEA 2017 NO 	(A) R POST L 47,956. A	POST-2	POST 2017 NOL DEDU 017 NET OP LOS PREVIO	NOL SCHE CTION 47,956. ERATING I S USLY	LOSS DEDUC	POST 20:	RWARD OF 17 NOL 0. STATEMEN AVAILABL THIS YEA	T 10 T 11 E

- -

FORM 4797	PRO	PERTY HEL	D MORE THAN	N ONE YEAR	ST	ATEMENT 12
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS
NORTHGATE PRIVATE						
EQUITY PARTNERS II, LP AMBERBROOK VI,						-43.
LLC						16.
AMBERBROOK VII LP AMBERBROOK VIII						222.
LP ACCOLADE PARTNERS						646.
VII, P.L. COMMONFUND CAPITAL PARTNERS						375.
2000, LP						301.
TOTAL TO 4797, PA	RT I, LINE	2				1,517.

Department of the Treasury Internal Revenue Service

Capital Gains and Losses Attach to Form 1120, 1120-FC, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-RIC, 1120-RIC, 1120-SF, or certain Forms 990-T. ▶ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Employer identification number

		Yes	X	No
--	--	-----	---	----

Name				Empl	oyer identification number
NORTHERN ILLINOIS UNIVERSI	ΓΥ ΓΟΙΙΝΠΑΤΤΟΝ			36-	-6086819
Did the corporation dispose of any investme		aity fund during the tax y	0012		
If "Yes," attach Form 8949 and see its instru	.,	, , ,			
Part I Short-Term Capital Ga					
See instructions for how to figure the amounts to enter on the lines below.	(d)	(e)	(g) Adjustments to ga		(h) Gain or (loss) Subtract column (e) from
This form may be easier to complete if you round off cents to whole dollars.	Proceeds (sales price)	Cost (or other basis)	or loss from Form(s) 89 Part I, line 2, column		column (d) and combine the result with column (g)
 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b 					
1b Totals for all transactions reported on					
Form(s) 8949 with Box A checked					
2 Totals for all transactions reported on					
Form(s) 8949 with Box B checked					
3 Totals for all transactions reported on					
Form(s) 8949 with Box C checked					3,535.
4 Short-term capital gain from installment sales				4	
5 Short-term capital gain or (loss) from like-kin	d exchanges from Form 8824			5	
6 Unused capital loss carryover (attach comput	ation)			6	()
				_	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
7 Net short-term capital gain or (loss). Combin	ie lines 1a through 6 in columr	<u>1 h</u>		7	3,535.
7 Net short-term capital gain or (loss). Combin Part II Long-Term Capital Gai	ie lines 1a through 6 in column Ins and Losses - Ass	ets Held More Tha	n One Year	7	1
See instructions for how to figure the amounts	(d)	(e)	(g) Adjustments to ga	ain	(h) Gain or (loss)
				ain 949,	1
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you	(d) Proceeds (sales price)	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89	ain 949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to 	(d) Proceeds (sales price)	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89	ain 949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 	(d) Proceeds (sales price)	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89	ain 949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on 	(d) Proceeds (sales price)	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89	ain 949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 	(d) Proceeds (sales price)	(e) Cost	(g) Adjustments to ga or loss from Form(s) 89	ain 949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the
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 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 9 Totals for all transactions reported on Form(s) 8949 with Box E checked 10 Totals for all transactions reported on Form(s) 8949 with Box F checked 	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to ga or loss from Form(s) 85 Part II, line 2, column	ain 149, (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 9 Totals for all transactions reported on Form(s) 8949 with Box E checked 10 Totals for all transactions reported on Form(s) 8949 with Box F checked 11 Enter gain from Form 4797, line 7 or 9 	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to g or loss from Form(s) 85 Part II, line 2, column	ain)49, (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 9 Totals for all transactions reported on Form(s) 8949 with Box E checked 10 Totals for all transactions reported on Form(s) 8949 with Box F checked 11 Enter gain from Form 4797, line 7 or 9 12 Long-term capital gain or (loss) from like-kin 14 Capital gain distributions 	(d) Proceeds (sales price) s from Form 6252, line 26 or 3 d exchanges from Form 8824 e lines 8a through 14 in colum	(e) Cost (or other basis)	(g) Adjustments to g or loss from Form(s) 85 Part II, line 2, column	ain 149, (g) 11 12 13	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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 See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 9 Totals for all transactions reported on Form(s) 8949 with Box E checked 10 Totals for all transactions reported on Form(s) 8949 with Box F checked 11 Enter gain from Form 4797, line 7 or 9 12 Long-term capital gain or (loss) from like-kin 14 Capital gain distributions 	(d) Proceeds (sales price) s from Form 6252, line 26 or 3 d exchanges from Form 8824 e lines 8a through 14 in colum d II	(e) Cost (or other basis) 7	(g) Adjustments to ga or loss from Form(s) 85 Part II, line 2, column	ain 049, (g) 11 12 13 14	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) 38,040. 1,517.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Note: If losses exceed gains, see Capital Losses in the instructions.

18 Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns

Schedule D (Form 1120) 2021

43,092.

121051 12-17-21

Form 8	949
Department of	of the Treasury
Internal Reve	nue Service

Name(s) shown on return

Sales and Other Dispositions of Capital Assets

► Go to www.irs.gov/Form8949 for instructions and the latest information. File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D. OMB No. 1545-0074

12A Seauence No

Social security number or taxpayer identification no.

NORTHERN ILLINOIS UNI	VERSITY FOUN	IDATION				36-6	086819
Before you check Box A, B, or C belo statement will have the same informa broker and may even tell you which b	ation as Form 10	you received any 99-B. Either will s	r Form(s) 1099-B show whether you	or substitute statem r basis (usually you	nent(s) fron r cost) was	n your broker. A su s reported to the IF	bstitute S by your
Part I Short-Term. Transacti	<i>DOX TO CHECK.</i> ions involving capit	al assets you held	1 vear or less are de	nerally short-term (see	instruction	s) For long-term	
transactions, see page 2.							
Note: You may aggregate all codes are required. Enter the							
You must check Box A, B, or C below.	Check only one bo	x. If more than one b	ox applies for your shor	t-term transactions, comp	lete a separat	e Form 8949, page 1, for	
If you have more short-term transactions than will							
(A) Short-term transactions rep					Note ab	ove)	
(B) Short-term transactions rep	,	,	0	eported to the IRS			
C Short-term transactions no		u on Form 1099-E	3	1			
1 (a)	(b)	(c)	(d) Proceeds	(e)		nt, if any, to gain or ou enter an amount	(h)
Description of property	Date acquired	Date sold or	(sales price)	Cost or other basis. See the	in column	(g), enter a code in	Gain or (loss). Subtract column (e)
(Example: 100 sh. XYZ Co.)	(Mo., day, yr.)	disposed of		Note below and). See instructions.	from column (d) &
		(Mo., day, yr.)		see Column (e) in	(f)	(g) Amount of	combine the result
				the instructions	Code(s)	adjustment	with column (g)
AMBERBROOK VI, LLC							3.
AMBERBROOK VII LP							23.
AMBERBROOK VIII LP							37.
ACCOLADE PARTNERS VII, P.L.							1,111.
SRE OPPORTUNITY FUND III							2,361.
							<u> </u>
							
				 			ļ
2 Totals. Add the amounts in colur	nns (d), (e), (g), a	nd (h) (subtract					
negative amounts). Enter each to	tal here and inclu	ude on your					
Schedule D, line 1b (if Box A abo	ove is checked),	line 2 (if Box B					
above is checked), or line 3 (if B	ox C above is ch	necked)					3,535.
Note: If you checked Box A above b	out the basis repo	orted to the IRS v	was incorrect. ent	er in column (e) the	basis as r	eported to the IRS	and enter an

adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

94

123011 12-14-21 LHA For Paperwork Reduction Act Notice, see your tax return instructions.

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Form 8949 (2021)				Attachn	nent Seque	nce No. 12A	Page 2
Name(s) shown on return. Name and	I SSN or taxpaye	er identification n	o. not required if	shown on page 1			rity number or entification no.
NORTHERN ILLINOIS UNI	VERSITY FOUN	IDATION				36-6	086819
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	w, see whether ation as Form 109	you received any 99-B. Either will s	Form(s) 1099-B o show whether you	or substitute statem Ir basis (usually you	ent(s) from r cost) was	your broker. A su reported to the IF	bstitute RS by your
Part II Long-Term. Transaction see page 1.	ons involving capita						
Note: You may aggregate all codes are required. Enter the	l long-term transact totals directly on \$	tions reported on Fe Schedule D, line 8a	orm(s) 1099-B show ; you aren't required	ring basis was reported to report these trans	d to the IRS a actions on Fo	and for which no adj orm 8949 (see instru	ustments or ictions).
You must check Box D, E, or F below. O If you have more long-term transactions than will							each applicable box.
(D) Long-term transactions rep					-		
(E) Long-term transactions rep	orted on Form(s) 1099-B showing	g basis wasn't re	eported to the IRS			
(F) Long-term transactions not	reported to you	on Form 1099-B		1			1
1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of	(d) Proceeds (sales price)	(e) Cost or other basis. See the	loss. If yo in column (t, if any, to gain or u enter an amount (g), enter a code in See instructions.	(h) Gain or (loss). Subtract column (e)
		(Mo., day, yr.)		Note below and see <i>Column (e)</i> in the instructions	(f)	(g) Amount of adjustment	from column (d) & combine the result with column (g)
NORTHGATE PRIVATE EQUITY							
PARTNERS II, LP							25,882.
AMBERBROOK VI, LLC							344.
AMBERBROOK VIII LP							11.
ACCOLADE PARTNERS VII, P.L.							10,962.
2 Totals. Add the amounts in colur	nns (d), (e). (a). a	nd (h) (subtract					
negative amounts). Enter each to							
Schedule D, line 8b (if Box D abo	ove is checked),	line 9 (if Box E					
above is checked), or line 10 (if E	Box F above is cl	necked)					37,199.
Note: If you checked Box D above b				. ,		•	•
adjustment in column (g) to correct t	ne basis. See C	oiumn (g) in the s	separate instructi	ons for now to figur	e me amou		
123012 12-14-21						I	orm 8949 (2021)

Form	4797
. .	

Department of the Treasury Internal Revenue Service Name(s) shown on return

Sales of Business Property (Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2)) ► Attach to your tax return. ► Go to www.irs.gov/Form4797 for instructions and the latest information.

OMB	No.	1545	-0184
_	_	-	-

Attachment Sequence No. 27

NORTHERN ILLINOIS UNIVERSITY FOUNDATION		36-6086819
1a Enter the gross proceeds from sales or exchanges reported to you for 2021 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20	1a	
b Enter the total amount of gain that you are including on lines 2, 10, and 24 due to the partial dispositions of MACRS assets	1b	
c Enter the total amount of loss that you are including on lines 2 and 10 due to the partial dispositions of MACRS assets	1c	

 Part I
 Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft-Most Property Held More Than 1 Year (see instructions)

	man oucdury of more	moorriope		e man i rea	(See instruction	15)		
2 SEI	(a) Description of property S STATEMENT 13	(b) Date acquired (mo., day, yr.)	(C) Date sold (mo., day, yr.)	(d) Gross sales price	(e) Depreciation allowed or allowable since acquisition	(f) Cost or oth basis, plus improvements a expense of sale	nd	(g) Gain or (loss) Subtract (f) from the sum of (d) and (e)
<u> </u>	Cain if any from Form 4684 line 20					l	2	
3	Gain, if any, from Form 4684, line 39						3	
4	Section 1231 gain from installment sa						4	
5	Section 1231 gain or (loss) from like-k						5	
6	Gain, if any, from line 32, from other t						<u>6</u> 7	1,517.
7	Combine lines 2 through 6. Enter the		-				<u>/</u>	1,517.
	Partnerships and S corporations. R line 10, or Form 1120-S, Schedule K,				r Form 1065, Sche	aule K,		
	Individuals, partners, S corporation							
	from line 7 on line 11 below and skip 1231 losses, or they were recaptured		•					
	the Schedule D filed with your return				ig-term capital gai			
						-		
8	Nonrecaptured net section 1231 loss						8	
9	Subtract line 8 from line 7. If zero or le			•				
	line 9 is more than zero, enter the am			and enter the gair	n from line 9 as a lo	ong-term		
	capital gain on the Schedule D filed w	vith your return.	See instructions				9	1,517.
Pa	rt II Ordinary Gains and I	.osses (see in	structions)					
10	Ordinary gains and losses not includ	ed on lines 11 th	nrough 16 (includ	le property held 1	year or less):			
11	Loss, if any, from line 7						11	()
12	Gain, if any, from line 7 or amount fro	m line 8, if applie	cable				12	
13	Gain, if any, from line 31					L	13	
14	Net gain or (loss) from Form 4684, line						14	
15	Ordinary gain from installment sales f	rom Form 6252,	line 25 or 36				15	
16	Ordinary gain or (loss) from like-kind e						16	
17							17	
18	For all except individual returns, enter							
	a and b below. For individual returns,				-			
а	If the loss on line 11 includes a loss fr			b)(ii), enter that pa	rt of the loss here.	Enter the		
-	loss from income-producing property							
	as an employee.) Identify as from "For						l8a	
h	Redetermine the gain or (loss) on line						.54	
5	(Form 1040), Part I, line 4	0					8b	
IН	A For Paperwork Beduction Act No							Form 4797 (2021)

118011 12-17-21

Form **4797** (202

36-6086819

Page 2

(a) Description of section 1245, 1250, 1252, 1254, c	or 1255	property:			(b) Date acqui (mo., day, yr		(c) Date solo (mo., day, yr.
Α							
В							
C							
D							
These columns relate to the properties on lines 19A through 19D.		Property A	Property	/ B	Property	c	Property
		Порену А	Troperty		Troperty	<u> </u>	Troperty
Gross sales price (Note: See line 1a before completing.)	20						
Cost or other basis plus expense of sale	21						
Depreciation (or depletion) allowed or allowable	22						
Adjusted basis. Subtract line 22 from line 21	23						
Total gain. Subtract line 23 from line 20	24						
If section 1245 property:							
a Depreciation allowed or allowable from line 22	25a						
b Enter the smaller of line 24 or 25a	25b						
If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.							
a Additional depreciation after 1975. See instructions	26a						
b Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b						
c Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c						
d Additional depreciation after 1969 and before 1976	26d						
e Enter the smaller of line 26c or 26d	26e						
f Section 291 amount (corporations only)	26f						
g Add lines 26b, 26e, and 26f If section 1252 property: Skip this section if you didn't	26g						
dispose of farmland or if this form is being completed for a partnership.							
a Soil, water, and land clearing expenses	27a						
b Line 27a multiplied by applicable percentage	27b						
c Enter the smaller of line 24 or 27b	27c						
 If section 1254 property: a Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions 	28a						
b Enter the smaller of line 24 or 28a	28b						
 If section 1255 property: a Applicable percentage of payments excluded from income under section 126. See instructions 	29a						
b Enter the smaller of line 24 or 29a. See instructions	29b						
ummary of Part III Gains. Complete property c	•	A through D through	line 29b before	aoina	to line 30.		
Total gains for all properties. Add property columns		unte D. Hin e O.4				30	
		-g,					
Add property columns A through D, lines 25b, 26g,	27c, 28	3b, and 29b. Enter her	re and on line 13	3		31	
2 Subtract line 31 from line 30. Enter the portion from	casual	ty or theft on Form 46	84, line 33. Ent	er the p	portion		
from other than casualty or theft on Form 4797, line	6		<u></u>	<u></u>		32	
Part IV Recapture Amounts Under Sectio (see instructions)	ons 17	9 and 280F(b)(2)	When Busir	ness l	Jse Drops to	50%	or Less
					(a) Sectior 179	ו	(b) Section 280F(b)(2)
Section 179 expense deduction or depreciation allo	wable i	n prior years		33			
Decements defense sisting. One instructions				34			
Recapture amount. Subtract line 34 from line 33. Se				35			
							Form 4797

Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255 (see instructions)

14041109 147228 133621-0

FORM 4797	PRO	PERTY HEL	D MORE THAN	N ONE YEAR	ST	ATEMENT 13
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS
NORTHGATE PRIVATE EQUITY PARTNERS						
II, LP AMBERBROOK VI,						-43.
LLC						16.
AMBERBROOK VII LP AMBERBROOK VIII						222.
LP ACCOLADE PARTNERS						646.
VII, P.L. COMMONFUND CAPITAL PARTNERS						375.
2000, LP						301.
TOTAL TO 4797, PA	RT I, LINE	2				1,517.

orm	8	6 21	Information Return by a Shareholder Investment Company or Qualifie	of a Passive Foreign	OMB No. 1545-1002
Rev. Depai ntern	Decemb tment of	er 2018) f the Treasury nue Service	Go to www.irs.gov/Form8621 for instructions and	•	Attachment Sequence No. 69
		areholder		Identifying number (see instructions)	
			IS UNIVERSITY FOUNDATION	36-6086819	
		HALL 134	m or suite no. If a P.O. box, see instructions.		r tax year beginning N 30 2022
City	or towr	n, state, and Z	ZIP code or country	, and choing	, ,
-		IL 6011	.5		
			ler filing the return: IndividualX Corporation Partnership pecified Foreign Financial Assets are reported on this form. See instructions	p S Corporation Nongrantor	Trust Estate
Qual	fying lı	nsurance Cor	rporation Election-I, a shareholder of stock of a foreign corporation, elect to tre		
			Inder the alternative facts and circumstances test within the meaning of section passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
	-		TIONAL HOLDINGS	(
Addr	ess (Er	iter number,	street, city or town, and country.)	Reference ID number (see instructions) BOSIDENG	
JNI	r 570)9, FL 57	7 99 QUEEN'S RD	Tax year of foreign corporation, PFIC, or QEF	: Calendar year
ION	g kon	IG, HONG	KONG	or other tax year beginning JAN 1	2021 ,
D	- ut I	C. man	non of Appuel Information (assistentions)	and ending DEC 21	, 2021 .
	art I		mary of Annual Information (see instructions)		
100-1		-	formation with respect to all shares of the PFIC held by the shareholder: ch class of shares held by the shareholder:		
'		¬ '	hares jointly owned with spouse.		
2	Date		ired during the tax year, if applicable:		
•	Num	har of abaras	a hald at the and of the tay year.		
3	NUITI	Der OFSTIATES	s held at the end of the tax year:		
4	Value	e of shares h	eld at the end of the tax year (check the appropriate box, if applicable):		
	(a)	\$0-50		(d) (150,001-200,000)	
	(e)	If more than	\$200,000, list value:		
-	т			den en 11 en 100 de la companya de l	0
5			amount of any excess distribution or gain treated as an excess distribution une deduction under section 1296 (check all boxes that apply):	der section 1291, inclusion under section 129	3,
	(a)		on 1291 \$		
	(±) (b)		on 1293 (Qualified Electing Fund) \$		
	(C)		on 1296 (Mark to Market) \$		
P	art II		ions (see instructions)		
Α			Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as		
В		Election To of the QEF L	Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the until this election is terminated. Complete lines as through 9c of Part III to	time for payment of tax on the undistributed	1 earnings and profits
		Note: If any	until this election is terminated. <i>Complete lines 8a through 9c of Part III to</i> / portion of line 6a or line 7a of Part III is includible under section 951, you may d 1294(f) and the related regulations for events that terminate this election.	y not make this election. Also, see sections	
С			Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark	tet the PEIC stock that is marketable within the	e meaning of section
-			omplete Part IV.		
D			ale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF,	, elect to recognize gain on the deemed sale of	f my interest in the
Е			r gain or loss on line 15f of Part V. i vidend Election . I, a shareholder on the first day of a PFIC's first tax year as a	OFF that is a controlled foreign corporation ((EC) elect to treat an
-			al to my share of the post-1986 earnings and profits of the CFC as an excess of		
			tribution is greater than zero, also complete line 16 of Part V.		
F		distribution	Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC of the gain recognized on the deemed sale of my interest in the PFIC on the last of the 15f of Part V.	or a PFIC to which section 1297(d) applies, ele day of its last tax year as a PFIC under section	ect to treat as an excess 1297(a). <i>Enter</i>
G		Deemed Div	vidend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a	a section 1297(e) PFIC, within the meaning of	Regulations section
G		1.1297-3(a) PFIC include), elect to make a deemed dividend election with respect to the Section 1297(e) les the CFC qualification date, as defined in Regulations section 1.1297-3(d). <i>E</i>) PFIC. My holding period in the stock of the S Inter the excess distribution on line 15e. F	ection 1297(e) Part V. If the excess
		distributior	n is greater than zero, also complete line 16, Part V.		
н		elect to mak defined in R	vidend Election With Respect to a Former PFIC. I, a shareholder of a former ke a deemed dividend election with respect to the former PFIC. My holding peri Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, line 16, Part V.	iod in the stock of the former PFIC includes th	e termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	621 Information Return by a Shareholde Investment Company or Qualif	of a Passive Foreign	OMB No. 1545-1002
		f the Treasury In the Service Go to www.irs.gov/Form8621 for instructions a	•	Attachment Sequence No. 69
		areholder	Identifying number (see instructions)	Sequence No. 00
NOR	THERN	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		reet, and room or suite no. If a P.O. box, see instructions.		r tax year beginning
		HALL 134	JUL 1 , 2021 and ending JU	N 30 , 2022
		n, state, and ZIP code or country IL 60115		
		of shareholder filing the return: 🔲 Individual 🛛 Corporation 🗌 Partners	hip S Corporation Nongrantor	Trust 📃 Estate
		y Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to t Corporation under the alternative facts and circumstances test within the meaning of secti		
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	·····
		INARY SALE TECHNOLOGY LTD		
Addr	ess (Ei	nter number, street, city or town, and country.)	Reference ID number (see instructions) CHINABINARY	
NO	1 BUI	ILDING, FL 3 TAI YUE YUAN	Tax year of foreign corporation, PFIC, or QEF	
BEI	JING	, CHINA	or other tax year beginning JAN 1	2021 ,
		Commons of Annual Information (assisted by a	and ending DEC 31	, 2021 .
	art I	Summary of Annual Information (see instructions)		
Prov 1		following information with respect to all shares of the PFIC held by the shareholder: cription of each class of shares held by the shareholder:		
'		Check if shares jointly owned with spouse.		
2	Date	shares acquired during the tax year, if applicable:		
3	Num	ber of shares held at the end of the tax year:		
4		e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) $$50,001-100,000$ (c) $$100,001-150,000$		
	(a) (a)	\$0-50,000 \$50,001-100,000 \$100,001-150,000 If more than \$200,000, list value:	(d) (1) \$150,001-200,000	
	(0)			
5	Туре	e of PFIC and amount of any excess distribution or gain treated as an excess distribution ι	nder section 1291, inclusion under section 129	3,
	and	inclusion or deduction under section 1296 (check all boxes that apply):		
	(a)	Section 1291 \$		
	(b)	Section 1293 (Qualified Electing Fund) \$		
D	(c) art II	Elections (see instructions)		
A		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC a	S 2 OFF Opener late lines of the survey Ta of D	
B	H	Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend		
_		of the QEF until this election is terminated. Complete lines 8a through 9c of Part III i Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m	to calculate the tax that may be deferred.	a samingo ana promo
		1294(c) and 1294(f) and the related regulations for events that terminate this election.	ay not make this election. Also, see sections	
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-ma	rket the PFIC stock that is marketable within th	e meaning of section
D		1296(c). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QE	E alact to recognize gain on the deemed cale of	f my interact in the
U		PFIC. Enter gain or loss on line 15f of Part V.	i , elect to recognize gain on the deemed sale o	The still de
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as		
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess	distribution. Enter this amount on line 15e	of Part V. If the
_		excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the las gain on line 15f of Part V.	C or a PFIC to which section 1297(d) applies, el t day of its last tax year as a PFIC under sectior	ect to treat as an excess 1297(a). <i>Enter</i>
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).	e) PFIC. My holding period in the stock of the S	Section 1297(e)
н		distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding po- defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15 complete line 16, Part V.	er PFIC, within the meaning of Regulations secti eriod in the stock of the former PFIC includes th	on 1.1298-3(a), le termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

Form 8621 (Rev. 12-2018) Page 4									
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections								
	Complete a se	parate column for eac	ch outstanding elect	tion.					
	Complete lines 17 through								
	20 to report the status of								
	outstanding prior year								
	section 1294 elections.								
		(i)	(ii)	(iii)	(iv)	(v)	(vi)		
17	Tax year of outstanding								
	election								
18	Undistributed earnings to								
	which the election relates								
19	Deferred tax								
	Interest accrued on deferred								
	tax (line 19) as of the filing								
	date								
	Complete lines 21 through								
	24 only if a section 1294								
	election is terminated in								
	the current year.								
21	Event terminating election								
22	Earnings distributed or								
	deemed distributed during								
	the tax year								
23	Deferred tax due with this								
	return								
24	Accrued interest due with								
	this return								
	Complete lines 25 and 26								
	only if there is a partial								
	termination of a section								
	1294 election in the								
	current tax year.								
25	Deferred tax outstanding								
	after partial termination of								
	election. Subtract line 23								
	from line 19								
26	Interest accrued after partial								
	termination of election.								
	Subtract line 24 from line 20								

Form	8	621	Infor	matio	on Re	eturr	n by	a Sl	hare	eholde	r of a	a Pa	ssiv		oreig	gn	OMB I	No. 1545	-1002
(Rev. December 2016) Department of the Treasury Internal Revenue Service Go to www.irs.gov/Form8621 for instructions a						-						Attachment Sequence No. 69							
		areholder											iber (see		ctions)				
NOR	התבסא		TO IINTVE	סמדייע ד	ייענוועי	TON					36-	608681	٥						
NORTHERN ILLINOIS UNIVERSITY FOUNDATION Number, street, and room or suite no. If a P.O. box, see instructions.											ar: calenda	ar vear		or other	tax year	beginnin	na		
ALTGELD HALL 134										JUL	-	, 2021	-	l ending		130)22 <u>.</u>	
		n, state, and Z IL 6011		country															
		of sharehold		return:		dividual	X (Corporati	ion 🗌	Partnersh	nip] S Corp	oration		Nongra	antor T	rust [Es	state
		y Excepted S	-		cial Asset					nstructions									
		nsurance Co	-					-							Qualifyin	g			
		Corporation u								ning of section					r (if on	·····	<u></u>	<u></u>	
		gn corporation, JTFITTERS				(PFIC), or o	qualified e	electing fur	nd (QEF)		Employ		ification	numbe	n (n ang	y)			
Addr	ess (Er	nter number,	r, street, city	or town, a	nd country	т у.)						nce ID n	umber (s tter	see inst	ructions	6)			
		N 1225 TC									Tax year of foreign corporation, PFIC, or QEF: Calendar year								
SHA	NGHAI	I, CHINA	200333									-	r beginni	ing	JAN 1 DEC		2	021 , 2021	
P	art I	Sumn	mary of	Annual	Inform	nation	(see	instru	ctions)	and en	aing			DEC	51	,	2021	•
Prov	ide the	following inf	-							/									
1	Desc	ription of ea	ach class of	shares held	d by the s	harehold	ler:												
•			shares jointly		-														
2	Date	shares acqu	uired during	the tax yea	ar, if applie	cable:													
3	Num	ber of shares	es held at th	end of the	e tax year:	:													
4	Valu	e of shares h	held at the e	nd of the ta	ax vear (c!	heck the	appropr	riate box,	, if applic	able):									
	(a)	\$0-50			\$50,001-					1-150,000	(d)	\$	150,001-	200,00	0				
	(e)	If more than	n \$200,000,	list value:															
5	Type	of PFIC and	d amount of		e dietrihut	tion or aa	ain treate	ne se ha	avcass (listribution u	nder secti	ion 1201	inclusio	an unde	or sactio	n 1203	2		
J		inclusion or o				•					1001 3001	1011 1231	, morusic		1 300110	11 1230	',		
	(a)		ion 1291 \$						/-										
	(b) Section 1293 (Qualified Electing Fund) \$																		
	(c)		ion 1296 (M																
-	art II		tions (se		,														
A B	\square									at the PFIC a ct to extend					-			hne an	nrofite
5		of the QEF u Note: If any	until this ele y portion of 1d 1294(f) a	ection is ter line 6a or l	rminated. line 7a of l	Comple Part III is	ete lines s includil	s <i>8a thro</i> ible under	ough 9c r section	of Part III t 951, you m	o calcula ay not ma	ate the take this e	ax that r election.	may be Also, se	e <i>deferr</i> ee sectio	red. ons	Carrini	ys anu	pronts
С			o Mark-to-N Complete P		Stock. I,	, a shareh	holder o	of a PFIC,	elect to	mark-to-ma	rket the P	FIC stocl	k that is r	marketa	able with	nin the	meanir	ng of se	ection
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.																	
E Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If								ect to tr	eat an										
		amount equ	jual to my sh	nare of the	post-1986	6 earning	gs and pr	rofits of t	the CFC	as an excess									
_			stribution i	0		,	•												
F		distribution		cognized o						former PFIC C on the last									
G		Deemed Di 1.1297-3(a)	ividend Ele a), elect to m	ction With nake a deen	ned divide	end electi	tion with	respect t	to the Se	hareholder o ection 1297(.1297-3(d).	e) PFIC. N	/lv holdir	a period	in the	stock of	the Se	ection 1	1297(e)	
		distributio	on is greate	er than zer	ro, also co	complete	e line 16	6, Part V	<i>'</i> .										
н		elect to mal	ake a deeme	d dividend	election w	with respe	ect to th	ne former	PFIC. M	er of a forme ly holding pe n <i>on line 15</i> e	riod in th	e stock o	of the for	mer PFI	IC inclue	des the	e termir	nation d	late, as

complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	62.1 Information Return by a Shareholde Investment Company or Qualifi	r of a Passive Foreign	OMB No. 1545-1002
		of the Treasury nue Service Go to www.irs.gov/Form8621 for instructions a	-	Attachment Sequence No. 69
		hareholder	Identifying number (see instructions)	
NOR	THERI	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
Num	ber, st	reet, and room or suite no. If a P.O. box, see instructions.	Shareholder tax year: calendar year or othe	r tax year beginning
ALT	GELD	HALL 134	JUL 1 , 2021 and ending JU	N 30 , 2022
		n, state, and ZIP code or country IL 60115		
		of shareholder filing the return: Individual X Corporation Partnersh y Excepted Specified Foreign Financial Assets are reported on this form. See instructions	nip S Corporation Nongrantor	Trust Estate
		Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to t Corporation under the alternative facts and circumstances test within the meaning of section		
Name	of forei	ign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) EACHFAITH WIRELESS COMM TECH LTD	Employer identification number (if any)	
Addı	ess (Ei	nter number, street, city or town, and country.)	Reference ID number (see instructions) 162	
RM	1303	, STE B, NO 13 1 BEIYUAN RD	Tax year of foreign corporation, PFIC, or QEF	: Calendar year
BEI	JING	, CHINA	or other tax year beginning JAN 1	2021 ,
	a #1	Cummers of Annual Information (assisted attack)	and ending DEC 31	, 2021 .
_	art I	Summary of Annual Information (see instructions)		
		e following information with respect to all shares of the PFIC held by the shareholder:		
1	Dest	cription of each class of shares held by the shareholder:		
2	Date	e shares acquired during the tax year, if applicable:		
-	Duto			
3	Num	ber of shares held at the end of the tax year:		
4	(a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000 If more than \$200,000, list value:	(d) \$150,001-200,000	
5		e of PFIC and amount of any excess distribution or gain treated as an excess distribution u	nder section 1291, inclusion under section 129	3,
		inclusion or deduction under section 1296 (check all boxes that apply):		
	(a)	Section 1291 \$		
	(b)	Section 1293 (Qualified Electing Fund) \$		
Р	(c) art II	Section 1296 (Mark to Market) \$ Elections (see instructions)		
Ā		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	s 2 OFF Complete lines Co through Zo of D	out III
В		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III t</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m 1294(c) and 1294(f) and the related regulations for events that terminate this election.	the time for payment of tax on the undistribute	
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-ma 1296(e). Complete Part IV.	rket the PFIC stock that is marketable within the	e meaning of section
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QE PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	F, elect to recognize gain on the deemed sale o	f my interest in the
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as amount equal to my share of the post-1986 earnings and profits of the CFC as an excess		
		excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	c or a PFIC to which section 1297(d) applies, el t day of its last tax year as a PFIC under sectior	ect to treat as an excess 1297(a). Enter
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder o 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).	e) PFIC. My holding period in the stock of the S	Section 1297(e)
н		distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a forme elect to make a deemed dividend election with respect to the former PFIC. My holding pe defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 156 complete line 16, Part V.	riod in the stock of the former PFIC includes th	e termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	Investment Company or Qualifi	r of a Passive Foreign	OMB No. 1545-1002
	ent of the Treasury evenue Service Go to www.irs.gov/Form8621 for instructions ar	-	Attachment Sequence No. 69
	f shareholder	Identifying number (see instructions)	
NORTH	ERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
	r, street, and room or suite no. If a P.O. box, see instructions.		r tax year beginning
	LD HALL 134		N 30 , 2022
	town, state, and ZIP code or country B, IL 60115		
Check t	ype of shareholder filing the return: 🛛 Individual 🕱 Corporation 💭 Partnersh	hip S Corporation Nongrantor	Trust 🗌 Estate
	f any Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
-	ng Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tr		
	ce Corporation under the alternative facts and circumstances test within the meaning of sectio foreign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	<u></u>
	STCO AB		
Address	s (Enter number, street, city or town, and country.)	Reference ID number (see instructions) CIBVEST	
CARNE	GIE INVESTMENT BANK	Tax year of foreign corporation, PFIC, or QEF	Calendar year
STOCK	HOLM 103 38, SWEDEN	or other tax year beginning JAN 1	2021 ,
		and ending DEC 31	, 2021.
Par			
	the following information with respect to all shares of the PFIC held by the shareholder:		
1 [Description of each class of shares held by the shareholder: <u>COMMON</u> Check if shares jointly owned with spouse.		
2 [Date shares acquired during the tax year, if applicable:		
3 1	lumber of shares held at the end of the tax year:		
4 \	/alue of shares held at the end of the tax year (check the appropriate box, if applicable):		
•	a) X \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d)\$150,001-200,000	
(e) If more than \$200,000, list value:		
5 7	ype of PFIC and amount of any excess distribution or gain treated as an excess distribution ur	nder section 1291 inclusion under section 129	13
	ind inclusion or deduction under section 1296 (check all boxes that apply):		.,
-	a) Section 1291 \$		
(b) Section 1293 (Qualified Electing Fund) \$		
	c) Section 1296 (Mark to Market) \$	SEE STATEMENT	14
Par			
A L B T	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as		
	Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend t of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you ma 1294(c) and 1294(f) and the related regulations for events that terminate this election.	calculate the tax that may be deferred. ay not make this election. Also, see sections	u earnings and pronts
C	 Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mar 1296(e). Complete Part IV. 	ket the PFIC stock that is marketable within the	e meaning of section
D	Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF	F, elect to recognize gain on the deemed sale o	f my interest in the
ЕГ	PFIC. Enter gain or loss on line 15f of Part V. Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a	a OFF that is a controlled foreign corporation ()	CEC) elect to treat an
	amount equal to my share of the post-1986 earnings and profits of the CFC as an excess excess distribution is greater than zero, also complete line 16 of Part V.		
FΓ	 Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC 	or a DEIC to which agotion 1207(d) applies at	ant to tract as an avaaa
• _	distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.		
G	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). L	e) PFIC. My holding period in the stock of the S	Section 1297(e)
нГ	 distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former 	r PFIC, within the meaning of Regulations secti	on 1.1298-3(a),
•• ∟	elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e complete line 16, Part V.	riod in the stock of the former PFIC includes th Part V. If the excess distribution is great	e termination date, as er than zero, also

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<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	521 Information Return by a Shareholder Investment Company or Qualifie	of a Passive Foreign	OMB No. 1545-1002
(Rev. Depa	Decemb rtment o al Rever	the Treasury us Service Go to www.irs.gov/Form8621 for instructions an	•	Attachment Sequence No. 69
		areholder	Identifying number (see instructions)	
NOR	THERI	ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		eet, and room or suite no. If a P.O. box, see instructions.		tax year beginning
		HALL 134		N 30 , 2022 .
		i, state, and ZIP code or country IL 60115		
		of shareholder filing the return: Individual X Corporation Partnershi		
		surance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tre		
Insu	rance (orporation under the alternative facts and circumstances test within the meaning of section	1297(f)(2). See instructions	
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
Addı	ess (Ei	ter number, street, city or town, and country.)	Reference ID number (see instructions) 207	
247	FL 2	, DWARKAPURI CLNY	Tax year of foreign corporation, PFIC, or QEF	
PUJ	AGUTT	PA, INDIA 500082	or other tax year beginning JAN 1	2021 ,
D	art I	Summary of Annual Information (see instructions)	and ending DEC 31	, 2021.
		following information with respect to all shares of the PFIC held by the shareholder:		
1		ription of each class of shares held by the shareholder: PREFERRED		
		Check if shares jointly owned with spouse.		
2	Date	shares acquired during the tax year, if applicable:		
3	Num	ber of shares held at the end of the tax year:		
4	(a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): Image: Start	(d) \$150,001-200,000	
5		of PFIC and amount of any excess distribution or gain treated as an excess distribution un	der section 1291, inclusion under section 129	3,
		nclusion or deduction under section 1296 (check all boxes that apply):		
	(a)	Section 1291 \$		
	(b) (c)	Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$	SEE STATEMENT	15
Р	art II	Elections (see instructions)		
Α		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	a QEF. Complete lines 6a through 7c of Pa	art III.
В		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III to</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may 1294(c) and 1294(f) and the related regulations for events that terminate this election.	ne time for payment of tax on the undistributed	
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	xet the PFIC stock that is marketable within the	meaning of section
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF PFIC. <i>Enter gain or loss on line 15f of Part V</i> .	, elect to recognize gain on the deemed sale of	my interest in the
Ε		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a amount equal to my share of the post-1986 earnings and profits of the CFC as an excess of		
_		excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC of distribution the gain recognized on the deemed sale of my interest in the PFIC on the last of gain on line 15f of Part V.		
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). <i>E distribution is greater than zero, also complete line 16, Part V.</i>	PFIC. My holding period in the stock of the S	ection 1297(e)
н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding periodefined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e,	iod in the stock of the former PFIC includes th	e termination date, as

complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	621 Information Return by a Shareholde Investment Company or Qualifi	r of a Passive Foreign	OMB No. 1545-1002
		f the Treasury	_	Attachment Sequence No. 69
		areholder	Identifying number (see instructions)	Sequence No. 00
		N ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		reet, and room or suite no. If a P.O. box, see instructions. HALL 134	THT 1 0001 TH	r tax year beginning N 30 2022
		n, state, and ZIP code or country	JUL I , 2021 and ending JU	, 2022
		IL 60115		
Cheo	k type	of shareholder filing the return: Individual X Corporation Partners	hip S Corporation Nongrantor	Trust 🔲 Estate
		y Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to t Corporation under the alternative facts and circumstances test within the meaning of section		
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
		LDINGS LIMITED		
Addı	ess (Ei	nter number, street, city or town, and country.)	Reference ID number (see instructions) FANG01	
TWR	A NO	0 2 GUOGONGZHUANG MIDDLE ST	Tax year of foreign corporation, PFIC, or QEF	- Calondar voar
		, CHINA	or other tax year beginning JAN 1	2021 ,
			and ending DEC 31	, 2021 .
Ρ	art I	Summary of Annual Information (see instructions)		
		following information with respect to all shares of the PFIC held by the shareholder:		
1	Desc	rription of each class of shares held by the shareholder:		
2	Date	shares acquired during the tax year, if applicable:		
-	Duit			
3	Num	ber of shares held at the end of the tax year:		
4	Valu (a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) $$50,001-100,000$ (c) $$100,001-150,000$	(d) \$150,001-200,000	
	• • •	If more than \$200,000, list value:	(d) [] \$150,001-200,000	
	(•)			
5	Туре	e of PFIC and amount of any excess distribution or gain treated as an excess distribution u	nder section 1291, inclusion under section 129	З,
	and	inclusion or deduction under section 1296 (check all boxes that apply):		
	(a)			
	(b) (c)	Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$		
Ρ	art II			
Α		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC a	s a QEF. Complete lines 6a through 7c of P	art III.
В		Election To Extend Time For Payment of Tax. I. a shareholder of a QEF, elect to extend	the time for payment of tax on the undistribute	
		of the QEF until this election is terminated. Complete lines 8a through 9c of Part III t Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m	o calculate the tax that may be deferred. ay not make this election. Also, see sections	
~		1294(c) and 1294(f) and the related regulations for events that terminate this election.		
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-ma 1296(e). Complete Part IV.	rket the PFIC stock that is marketable within the	e meaning of section
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QE	E, elect to recognize gain on the deemed sale o	f my interest in the
		PFIC. Enter gain or loss on line 15f of Part V.	-,	
Е		$\ensuremath{\textbf{Deemed}}$ Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as		
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess	distribution. Enter this amount on line 15e	of Part V. If the
F		excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	t day of its last tax year as a PFIC under section	1297(a). Enter
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder o	f a section 1297(e) PFIC, within the meaning of	Regulations section
9		1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).	e) PFIC. My holding period in the stock of the S Enter the excess distribution on line 15e.	ection 1297(e)
		distribution is greater than zero, also complete line 16, Part V.		
н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). <i>Enter the excess distribution on line 156 complete line 16, Part V.</i>	eriod in the stock of the former PFIC includes th	e termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	3621 Information Return by a Shareholde		OMB No. 1545-1002
	Investment Company or Qualif	-	Attachment
	shareholder	Identifying number (see instructions)	Sequence No. 69
	RN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
	street, and room or suite no. If a P.O. box, see instructions. D HALL 134		r tax year beginning N 30 , 2022
	wn, state, and ZIP code or country , IL 60115		
	, be of shareholder filing the return: Individual Corporation Partners	hip S Corporation Nongrantor	Trust Estate
51	any Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
Qualifying	g Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to	treat such stock as the stock of a Qualifying	
Insurance	e Corporation under the alternative facts and circumstances test within the meaning of secti	on 1297(f)(2). See instructions	<u></u>
	reign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) LDINGS LIMITED	Employer identification number (if any)	
Address	(Enter number, street, city or town, and country.)	Reference ID number (see instructions) 221	
21F, C	HINA HK TWR, 8-12 HENNESSY RD	Tax year of foreign corporation, PFIC, or QEF	
HONG K	ONG, HONG KONG	or other tax year beginning JAN 1	2021 ,
		and ending DEC 31	, 2021 .
Part	I Summary of Annual Information (see instructions)		
	he following information with respect to all shares of the PFIC held by the shareholder:		
1 De	escription of each class of shares held by the shareholder:		
L 2 Da	Check if shares jointly owned with spouse. ate shares acquired during the tax year, if applicable:		
2 Da	ite shares acquired during the tax year, if applicable.		
3 Nu	umber of shares held at the end of the tax year:		
4 Va	lue of shares held at the end of the tax year (check the appropriate box, if applicable):		
(a) \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000	
(e) If more than \$200,000, list value:		
	rpe of PFIC and amount of any excess distribution or gain treated as an excess distribution u	under section 1291, inclusion under section 129	3,
	id inclusion or deduction under section 1296 (check all boxes that apply):		
(a			
(b			
Part			
A	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC a	as a OFF Complete lines for through Zo of F	lort III
B	Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend		
	of the QEF until this election is terminated. Complete lines 8a through 9c of Part III Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m	to calculate the tax that may be deferred.	a oarningo ana promo
	Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m 1294(c) and 1294(f) and the related regulations for events that terminate this election.	hay not make this election. Also, see sections	
C	Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-ma 1296(e). Complete Part IV.	arket the PFIC stock that is marketable within the	e meaning of section
D	Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QE	FF elect to recognize gain on the deemed sale of	f my interest in the
	PFIC. Enter gain or loss on line 15f of Part V.		
ΕΓ	Deemed Dividend Election . I, a shareholder on the first day of a PFIC's first tax year as	a OFF that is a controlled foreign corporation (CFC), elect to treat an
	amount equal to my share of the post-1986 earnings and profits of the CFC as an excess		
	excess distribution is greater than zero, also complete line 16 of Part V.		
F 🗌	Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the las gain on line 15f of Part V.		
<u>م</u> –	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of the section 1297 (e) PFIC.	of a section 1297(e) PFIC. within the meaning o	Regulations section
G	1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297 PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).	(e) PFIC. My holding period in the stock of the S	Section 1297(e)
н 🗆	 distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding pudefined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15 	eriod in the stock of the former PFIC includes the	e termination date, as

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complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	521 Information Return by a Shareholder Investment Company or Qualified	of a Passive Foreign	OMB No. 1545-1002
(Rev. Depa Intern	Decemb rtment o al Rever	the Treasury us Service Go to www.irs.gov/Form8621 for instructions an	•	Attachment Sequence No. 69
		areholder	Identifying number (see instructions)	'
NOD			26 6006010	
		ILLINOIS UNIVERSITY FOUNDATION eet, and room or suite no. If a P.O. box, see instructions.	36-6086819 Shareholder tax year: calendar year or other	tax year beginning
		HALL 134		N 30 , 2022
		, state, and ZIP code or country IL 60115		
		of shareholder filing the return: 📃 Individual 🕱 Corporation 📃 Partnershi	p 🔲 S Corporation 🔲 Nongrantor 7	Trust 🔲 Estate
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tre orporation under the alternative facts and circumstances test within the meaning of sectior		
		in corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
IMA	GI IN	TERNATIONAL HOLDINGS LTD		
Add		to number street site or town and sountry.)	Deference ID number (and instructiona)	
Auur	ess (Ei	ter number, street, city or town, and country.)	Reference ID number (see instructions) 163	
110	5, к.	WAH CTR, 191 JAVA RD	Tax year of foreign corporation, PFIC, or QEF	: Calendar year
HON	g koi	G, HONG KONG	or other tax year beginning JAN 1 and ending DEC 31	2021 , 2021 .
Р	art I	Summary of Annual Information (see instructions)	and ending DEC 31	, 2021.
_		following information with respect to all shares of the PFIC held by the shareholder:		
1	Desc	ription of each class of shares held by the shareholder:		
•		Check if shares jointly owned with spouse.		
2	Dale	shares acquired during the tax year, if applicable:		
3	Num	ber of shares held at the end of the tax year:		
	M-L.			
4	vaiu (a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) \$\$50,001-100,000 (c) \$\$100,001-150,000	(d) (150,001-200,000	
	• •	f more than \$200,000, list value:		
5		of PFIC and amount of any excess distribution or gain treated as an excess distribution un nclusion or deduction under section 1296 (check all boxes that apply):	der section 1291, inclusion under section 129	3,
	(a)	Section 1291 \$		
	(u) (b)	Section 1293 (Qualified Electing Fund) \$		
	(c)	Section 1296 (Mark to Market) \$		
_	art II	Elections (see instructions)		
A B		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as		
D		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III to</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may	calculate the tax that may be deferred.	rearnings and proms
		Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you ma 1294(c) and 1294(f) and the related regulations for events that terminate this election.	y not make this election. Also, see sections	
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark	ket the PFIC stock that is marketable within the	meaning of section
Р		1296(e). Complete Part IV.		
D		Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	, elect to recognize gain on the deemed sale of	my interest in the
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a		
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess of a support of the transfer the source of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the CFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the cFC as an excess of the post-1986 earnings and profits of the post-1986 earnings and post-1986 ear	distribution. Enter this amount on line 15e	of Part V. If the
F		excess distribution is greater than zero, also complete line 16 of Part V. Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC	or a DEIC to which section 1207(d) applies al	act to trast as an aveas
•		distribution the gain recognized on the deemed sale of my interest in the PFIC on the last		
		gain on line 15f of Part V.	a cartian 1907(a) DEIC within the meaning of	Degulations section
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) DEEMED 1297(e) 12) PFIC. My holding period in the stock of the S	ection 1297(e)
		PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). E distribution is greater than zero, also complete line 16, Part V.	Inter the excess distribution on line 15e, F	Part V. If the excess
н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e,	iod in the stock of the former PFIC includes th	e termination date, as

complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8621	Information Return by a Shareho Investment Company or Qu	older of a Passive Foreign	OMB No. 1545-1002
(Rev. Dece Department	ember 2018) nt of the Treasury evenue Service	Go to www.irs.gov/Form8621 for instruct		Attachment Sequence No. 69
	shareholder		Identifying number (see instructions)	
		S UNIVERSITY FOUNDATION	36-6086819	
	, street, and roo LD HALL 134	m or suite no. If a P.O. box, see instructions.		er tax year beginning N 30 2022
		ZIP code or country	JUL I , 2021 and ending JU	, 2022
	3, IL 6011			
,	•	° <u> </u>	artnership S Corporation Nongrantor	
		pecified Foreign Financial Assets are reported on this form. See instru		
-	-	rporation Election-I, a shareholder of stock of a foreign corporation, el Inder the alternative facts and circumstances test within the meaning o		
		passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	<u></u>
KONGZH	HONG CORPOR	ATION		
Address	(Enter number,	street, city or town, and country.)	Reference ID number (see instructions) 166	
FL 35	, NO. 138 X	IIZHIMENWAI ST	Tax year of foreign corporation, PFIC, or QEF	- Calendar vear
BEIJIN	NG, CHINA		or other tax year beginning JAN 1	2021 ,
			and ending DEC 31	, 2021 .
Part		nary of Annual Information (see instructions)		
	-	formation with respect to all shares of the PFIC held by the shareholde ch class of shares held by the shareholder:	n:	
[hares jointly owned with spouse.		
2 D		ired during the tax year, if applicable:		
3 N	umber of share	s held at the end of the tax year:		
(a (e	a) \$0-50 e) If more than	eld at the end of the tax year (check the appropriate box, if applicable) 0,000 (b) \$50,001-100,000 (c) \$100,001-150 \$200,000, list value:	0,000 (d) (b) \$150,001-200,000)3,
a	nd inclusion or (deduction under section 1296 (check all boxes that apply):		
•		on 1291 \$		
(t		on 1293 (Qualified Electing Fund) \$		
(a Part		on 1296 (Mark to Market) \$ ions (see instructions)		
A		Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the	PFIC as a QEF. Complete lines 6a through 7c of F	Part III
B [Election To of the QEF Note: If any	Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to until this election is terminated. <i>Complete lines 8a through 9c of P y</i> portion of line 6a or line 7a of Part III is includible under section 951, d 1294(f) and the related regulations for events that terminate this election 95 and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events that terminate the section 951, d 1294(f) and the related regulations for events the section 951, d 1294(f) and the related regulations for events the section 951, d 1294(f) and the related regulations for events the section 951, d 1294(f) and f 1294(f) a	extend the time for payment of tax on the undistribute art III to calculate the tax that may be deferred, you may not make this election. Also, see sections	
C		Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark omplete Part IV.	t-to-market the PFIC stock that is marketable within th	e meaning of section
D 🗌	Deemed Sa	ale Election. I, a shareholder on the first day of a PFIC's first tax year	as a QEF, elect to recognize gain on the deemed sale o	f my interest in the
ΕΓ		r gain or loss on line 15f of Part V. vidend Election. I, a shareholder on the first day of a PFIC's first tax y	war as a OEE that is a controlled foreign cornoration (CEC) elect to treat an
	amount equ	al to my share of the post-1986 earnings and profits of the CFC as an	excess distribution. Enter this amount on line 15e	of Part V. If the
		tribution is greater than zero, also complete line 16 of Part V.		
F 🗌	distribution	Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a form the gain recognized on the deemed sale of my interest in the PFIC on <i>e 15f of Part V.</i>	er PFIC or a PFIC to which section 1297(d) applies, el the last day of its last tax year as a PFIC under sectior	ect to treat as an excess 1 1297(a). <i>Enter</i>
G 🗌	I.1297-3(a) PFIC includ	vidend Election With Respect to a Section 1297(e) PFIC. I, a shareh), elect to make a deemed dividend election with respect to the Section es the CFC qualification date, as defined in Regulations section 1.1297	1297(e) PFIC. My holding period in the stock of the S	Section 1297(e)
Н	Deemed Di elect to mal defined in F	n is greater than zero, also complete line 16, Part V. vidend Election With Respect to a Former PFIC. I, a shareholder of a ke a deemed dividend election with respect to the former PFIC. My hol Regulations section 1.1298-3(d). Enter the excess distribution on I line 16, Part V.	ding period in the stock of the former PFIC includes the	ne termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	621 Information Return by a Shareholder Investment Company or Qualified	r of a Passive Foreign	OMB No. 1545-1002
(Rev. Depa	Decemb tment o	f the Treasury		Attachment Sequence No. 69
		areholder	Identifying number (see instructions)	Sequence No. 00
	0 01 01		······,	
NOR	THERI	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		reet, and room or suite no. If a P.O. box, see instructions.		tax year beginning
		HALL 134	JUL 1 , 2021 and ending JU	N 30 , 2022 .
		n, state, and ZIP code or country IL 60115		
	51	of shareholder filing the return: 🗌 Individual 🕱 Corporation 🗌 Partnersh	· •	
		y Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tr		
-		Corporation under the alternative facts and circumstances test within the meaning of section gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
		ENERGY LIMITED		
Addr	ess (Ei	nter number, street, city or town, and country.)	Reference ID number (see instructions)	
mun			222	
		STE 4, FL 21 3 XINYUAN S RD , CHINA	Tax year of foreign corporation, PFIC, or QEF	Calendar year : 2021
	51110		or other tax year beginning JAN 1 and ending DEC 31	2021
P	art I	Summary of Annual Information (see instructions)	and onding	, .
Prov	ide the	following information with respect to all shares of the PFIC held by the shareholder:		
1	Desc	ription of each class of shares held by the shareholder:		
		Check if shares jointly owned with spouse.		
2	Date	shares acquired during the tax year, if applicable:		
3	Num	har of abaraa hald at the and of the tay year.		
0	Null	ber of shares held at the end of the tax year:		
4	Valu	e of shares held at the end of the tax year (check the appropriate box, if applicable):		
	(a)		(d) (150,001-200,000)	
	(e)	If more than \$200,000, list value:		
5		of PFIC and amount of any excess distribution or gain treated as an excess distribution ur	nder section 1291, inclusion under section 129	3,
		inclusion or deduction under section 1296 (check all boxes that apply):		
	(a) (b)	Section 1291 \$ Section 1293 (Qualified Electing Fund) \$		
	(C)	Section 1296 (Mark to Market) $\$$		
P	art II			
Α		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	a QEF. Complete lines 6a through 7c of P	art III.
В		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend t	he time for payment of tax on the undistribute	d earnings and profits
		of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you ma	b calculate the tax that may be deferred. By not make this election. Also, see sections	
~		1294(c) and 1294(f) and the related regulations for events that terminate this election.		
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	ket the PFIC stock that is marketable within the	e meaning of section
D		Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF	elect to recognize gain on the deemed sale o	f my interest in the
U		PFIC. Enter gain or loss on line 15f of Part V.	, elect to recognize gain on the deemed sale o	
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a	a QEF that is a controlled foreign corporation ((CFC), elect to treat an
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess	distribution. Enter this amount on line 15e	of Part V. If the
		excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	or a PFIC to which section 1297(d) applies, el day of its last tax year as a PFIC under section	ect to treat as an excess 1297(a). <i>Enter</i>
~		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of	a section 1297(e) PFIC, within the meaning of	Regulations section
G		1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).) PFIC. My holding period in the stock of the S	ection 1297(e)
		distribution is greater than zero, also complete line 16, Part V.		
н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e complete line 16, Part V.	riod in the stock of the former PFIC includes th	e termination date, as

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Rev. December 2016 1 Information Return by a Shareho Investment Company or Qu	older of a Passive Foreign	OMB No. 1545-1002
Department of the Treasury	-	Attachment
Internal Revenue Service Go to www.irs.gov/Form8621 for instruct Name of shareholder	Identifying number (see instructions)	Sequence No. 69
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
Number, street, and room or suite no. If a P.O. box, see instructions.		er tax year beginning
ALTGELD HALL 134	JUL 1 , 2021 and ending JU	JN 30 , 2022
City or town, state, and ZIP code or country DEKALB, IL 60115		
· · · · · · · · · · · · · · · · · · ·	Partnership S Corporation Nongrantor	
Check if any Excepted Specified Foreign Financial Assets are reported on this form. See instru		
Qualifying Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, e Insurance Corporation under the alternative facts and circumstances test within the meaning		
Name of foreign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	·····
LEYSHON RESOURCES LIMITED		
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions)	
023 11 E EVOIL DELITING TRANSITO AVE	164	
03A-11-F EXCH BEIJING, JIANGUO AVE BEIJING, CHINA	Tax year of foreign corporation, PFIC, or QE	E: Calendar year 2021
	or other tax year beginning JAN 1 and ending DEC 31	,
Part I Summary of Annual Information (see instructions)	and onling	, -
Provide the following information with respect to all shares of the PFIC held by the sharehold	er:	
1 Description of each class of shares held by the shareholder:		
Check if shares jointly owned with spouse.		
2 Date shares acquired during the tax year, if applicable:		
3 Number of shares held at the end of the tax year:		
4 Value of shares held at the end of the tax year (check the appropriate box, if applicable):	
(a) \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-15	50,000 (d) (\$150,001-200,000	
(e) If more than \$200,000, list value:		
	huties under costion 1001 inclusion under costion 10	20
5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution and inclusion or deduction under section 1296 (check all boxes that apply):	Jution under section 1291, inclusion under section 128	13,
(a) Section 1291 \$		
(b) Section 1293 (Qualified Electing Fund) \$		
(c) Section 1296 (Mark to Market) \$		
Part II Elections (see instructions)		
A Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the		
B Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to of the QEF until this election is terminated. Complete lines 8a through 9c of F	extend the time for payment of tax on the undistribute Part III to calculate the tax that may be deferred	ed earnings and profits
of the QEF until this election is terminated. Complete lines 8a through 9c of F Note: If any portion of line 6a or line 7a of Part III is includible under section 951 1294(c) and 1294(f) and the related regulations for events that terminate this ele	, you may not make this election. Also, see sections	
C Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark		e meaning of section
1296(e). Complete Part IV.		o mouning of ocotion
D Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year	as a QEF, elect to recognize gain on the deemed sale of	of my interest in the
PFIC. Enter gain or loss on line 15f of Part V.		
E Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax		
amount equal to my share of the post-1986 earnings and profits of the CFC as ar excess distribution is greater than zero, also complete line 16 of Part V.	excess distribution. Enter this amount on line 15e	e of Part V. If the
F Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a form	ner DEIC or a DEIC to which section 1207(d) applies a	lact to treat as an excess
distribution the gain recognized on the deemed sale of my interest in the PFIC on	the last day of its last tax year as a PFIC under section	n 1297(a). Enter
gain on line 15f of Part V.		
G Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a sharel 1.1297-3(a), elect to make a deemed dividend election with respect to the Section	nolder of a section 1297(e) PFIC, within the meaning o	f Regulations section
PFIC includes the CFC qualification date, as defined in Regulations section 1.129	7-3(d). Enter the excess distribution on line 15e,	Part V. If the excess
distribution is greater than zero, also complete line 16, Part V.		
elect to make a deemed dividend election with respect to the former PFIC. My ho	Iding period in the stock of the former PFIC includes the	he termination date, as
defined in Regulations section 1.1298-3(d). Enter the excess distribution on complete line 16, Part V.	line 15e, Part V. If the excess distribution is great	ter than zero, also

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form	8	521 Information Return by a Shareholde Investment Company or Qualifi	r of a Passive Foreign	OMB No. 1545-1002
(Rev. Depa Intern	Decemb tment of al Rever	The Treasury us Service Go to www.irs.gov/Form8621 for instructions a	-	Attachment Sequence No. 69
Nam	e of sh	areholder	Identifying number (see instructions)	
NOR	THERN	I ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		eet, and room or suite no. If a P.O. box, see instructions.		r tax year beginning
		HALL 134	JUL 1 , 2021 and ending JU	N 30 , 2022 .
		n, state, and ZIP code or country IL 60115		
		of shareholder filing the return: 🗌 Individual 🕱 Corporation 🔲 Partnersl	ip S Corporation Nongrantor	Trust 🔲 Estate
		Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to t corporation under the alternative facts and circumstances test within the meaning of section		
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
		COMMODITY MANAGEMENTPRIVATE LIMITED		
A .1.1	· · · · / Г ·			
Addr	ess (Er	ter number, street, city or town, and country.)	Reference ID number (see instructions) 206	
		1 NAYA BAZAAR	Tax year of foreign corporation, PFIC, or QEF	
DEL	HI,	INDIA 110006	or other tax year beginning APR 1	2020,
P	art I	Summary of Annual Information (see instructions)	and ending MAR 31	, 2021.
_		following information with respect to all shares of the PFIC held by the shareholder:		
1		ription of each class of shares held by the shareholder:		
		Check if shares jointly owned with spouse.		
2	Date	shares acquired during the tax year, if applicable:		
3	Num	ber of shares held at the end of the tax year:		
4	(a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000 If more than \$200,000, list value:	(d) \$150,001-200,000	
5	Туре	of PFIC and amount of any excess distribution or gain treated as an excess distribution u	nder section 1291, inclusion under section 129	3,
		nclusion or deduction under section 1296 (check all boxes that apply):		
	(a)	Section 1291 \$		
	(b) (c)	Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$		
Ρ	art II	Elections (see instructions)		
Α		$\label{eq:electron} Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC a shareholder of a PFIC a shareholder of a PFIC, elect to treat the PFIC a shareholder of a PFIC, elect to treat the PFIC a shareholder of a PFIC a s$		
В		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III t</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you m 1294(c) and 1294(f) and the related regulations for events that terminate this election.	the time for payment of tax on the undistribute o calculate the tax that may be deferred. ay not make this election. Also, see sections	d earnings and profits
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-ma 1296(e). Complete Part IV.	ket the PFIC stock that is marketable within the	e meaning of section
D		Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QE PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	F, elect to recognize gain on the deemed sale o	f my interest in the
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as		
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess	distribution. Enter this amount on line 15e	of Part V. If the
F		excess distribution is greater than zero, also complete line 16 of Part V.	and DEIO to which continue 1007(d) and line of	
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.		
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder o 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). <i>distribution is greater than zero, also complete line 16, Part V.</i> Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former	e) PFIC. My holding period in the stock of the S Enter the excess distribution on line 15e, I r PFIC, within the meaning of Regulations sections	Part V. If the excess on 1.1298-3(a),
н		elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 156	riod in the stock of the former PFIC includes th	e termination date, as

complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

=orm		621	Information Return by a Sharen Investment Company or Q	IOIDER OF A PASSIVE FORE	lgn	OMB No. 1545-1002
Rev. Depa nterr	rtment o	f the Treasury nue Service	► Go to www.irs.gov/Form8621 for instru	-		Attachment Sequence No. 69
		areholder	F	Identifying number (see instructions	s)	
	התבס ו	ι τιιτηστ	IS UNIVERSITY FOUNDATION	36-6086819		
			m or suite no. If a P.O. box, see instructions.	Shareholder tax year: calendar year	or othe	r tax year beginning
		HALL 134	-	JUL 1 , 2021 and endir		N 30 , 2022 .
-		n, state, and Z IL 6011	ZIP code or country 5			
			ler filing the return: Individual X Corporation I pecified Foreign Financial Assets are reported on this form. See inst		igrantor ⁻	Trust Estate
			rporation Election-I, a shareholder of stock of a foreign corporation, Inder the alternative facts and circumstances test within the meanin		ying	
			passive foreign investment company (PFIC), or qualified electing fund (QEF) PIVE HOLDINGS LIMITED	Employer identification number (if	any)	
Address (Enter number, street, city or town, and country.) Reference ID number (see instructions) TIANGE01						
			ITY TWR R,	Tax year of foreign corporation, PFIC	C, or QEF	: Calendar year
HAN	GZHOU	J, CHINA	310011	or other tax year beginning JAN		2021 ,
_		0		and ending D	EC 31	, 2021 .
	art I		mary of Annual Information (see instructions)			
۲۵۱ 1		•	formation with respect to all shares of the PFIC held by the shareho ch class of shares held by the shareholder:	lder:		
'		_	hares jointly owned with spouse.			
2	Date		ired during the tax year, if applicable:			
3	Num	ber of shares	s held at the end of the tax year:			
4	(a) (e) Type and i (a) (b) (c)	sof PFIC and inclusion or c Section Section Section Section Section	\$200,000, list value: amount of any excess distribution or gain treated as an excess dist deduction under section 1296 (check all boxes that apply): on 1291 \$ on 1293 (Qualified Electing Fund) \$ on 1296 (Mark to Market) \$	150,000 (d) (b) \$150,001-200,000	:tion 129	3,
Ρ	art II		ions (see instructions)			
A B		Election To of the QEF u Note: If any 1294(c) and	Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat th Extend Time For Payment of Tax. I, a shareholder of a QEF, elect until this election is terminated. <i>Complete lines 8a through 9c of</i> y portion of line 6a or line 7a of Part III is includible under section 93 d 1294(f) and the related regulations for events that terminate this election.	to extend the time for payment of tax on the und f Part III to calculate the tax that may be def 51, you may not make this election. Also, see sec lection.	listribute ferred. ctions	d earnings and profits
С			Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to ma omplete Part IV.	ark-to-market the PFIC stock that is marketable v	vithin the	e meaning of section
D			ale Election. I, a shareholder on the first day of a PFIC's first tax ye r gain or loss on line 15f of Part V.	ar as a QEF, elect to recognize gain on the deeme	ed sale o	f my interest in the
Ε		amount equ	vidend Election . I, a shareholder on the first day of a PFIC's first ta ual to my share of the post-1986 earnings and profits of the CFC as	an excess distribution. Enter this amount on I		
		excess dis	tribution is greater than zero, also complete line 16 of Part V			
F		distribution	Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a fo the gain recognized on the deemed sale of my interest in the PFIC the 15f of Part V.	rmer PFIC or a PFIC to which section 1297(d) ap on the last day of its last tax year as a PFIC unde	plies, ele r section	ect to treat as an excess 1297(a). <i>Enter</i>
G H		1.1297-3(a) PFIC include distribution Deemed Dir	vidend Election With Respect to a Section 1297(e) PFIC. I, a shan), elect to make a deemed dividend election with respect to the Sect les the CFC qualification date, as defined in Regulations section 1.12 n is greater than zero, also complete line 16, Part V. (vidend Election With Respect to a Former PFIC. I, a shareholder of	ion 1297(e) PFIC. My holding period in the stock 297-3(d). Enter the excess distribution on lin of a former PFIC, within the meaning of Regulatic	c of the S be 15e, F ons section	ection 1297(e) Part V. If the excess on 1.1298-3(a),
		elect to mak	ke a deemed dividend election with respect to the former PFIC. My la Regulations section 1.1298-3(d). Enter the excess distribution of	nolding period in the stock of the former PFIC inc	cludes th	e termination date, as

complete line 16, Part V.

<u>Forr</u>	n 8621 (Rev. 12-2018)		Page 2
P	Part III Income From a Qualified Electing Fund (QEF). All QEF shareholders com	plete lines 6a through 7c. If you ar	re making
	Election B, also complete lines 8a through 9c. See instructions.		
6 8	a Enter your pro rata share of the ordinary earnings of the QEF 6a		
l	Enter the portion of line 6a that is included in income under section 951 or that may be		
	excluded under section 1293(g)6b		
(: Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		
7 8	a Enter your pro rata share of the total net capital gain of the QEF 7a		
ł	Enter the portion of line 7a that is included in income under section 951 or that may be		
	excluded under section 1293(g) 7b		
(: Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount in Part II of t	he Schedule D	
	used for your income tax return. See instructions		
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the current tax y	/ear.	
8 8	a Add lines 6c and 7c		
ł	Enter the total amount of cash and the fair market value of other property distributed		
	or deemed distributed to you during the tax year of the QEF. See instructions 8b		
(Enter the portion of line 8a not already included in line 8b that is attributable to shares		
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year		
(Add lines 8b and 8c	8d	
(e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brackets)	8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible in income u	under section 951.	
	you may make Election B with respect to the amount on line 8e.		
9 8	a Enter the total tax for the tax year. See instructions 9a		
I	Enter the total tax for the tax year determined without regard to the amount entered		
	on line 8e 9b		
(Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is extended by ma	king	
	Election B	9c	
Ρ	Part IV Gain or (Loss) From Mark-to-Market Election (see instructions)		
10a	a Enter the fair market value of your PFIC stock at the end of the tax year	10a	
	D Enter your adjusted basis in the stock at the end of the tax year	101	
(Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount as ordinary		
	on your tax return. If a loss, go to line 11		
11	Enter any unreversed inclusions (as defined in section 1296(d))		
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amoun		
	loss on your tax return		
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:		
á	a Enter the fair market value of the stock on the date of sale or disposition	13a	
	D Enter the adjusted basis of the stock on the date of sale or disposition		
	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income		
	tax return. If a loss, go to line 14		
14:	a Enter any unreversed inclusions (as defined in section 1296(d))		
	• Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amou		
-	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14		
	Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this am		
	return according to the rules generally applicable for losses provided elsewhere in the Code and regulation		
	Note: See instructions in case of multiple sales or dispositions.		

Form 8621 (Rev. 12-2018)		Page 3
Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instr	uction	s)
Complete a separate Part V for each excess distribution and disposition. See instructions.		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
b Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not		
complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also,		
see instructions for rules for reporting a nonexcess distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and do not complete line 16	15f	
16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition.		
Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day		
in your holding period. Add all amounts that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period		
(other than the current tax year and pre-PFIC years). See instructions	16c	
d Foreign tax credit (see instructions)	16d	
e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.		
Enter the aggregate amount of interest here. See instructions	16f	

For	Form 8621 (Rev. 12-2018) Page 4						
F	Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections						
	Complete a se	parate column for eac	ch outstanding elect	ion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
19	Deferred tax						
	Interest accrued on deferred						
	tax (line 19) as of the filing						
	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
22	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

FORM 8621

ADDITIONAL INFORMATION

STATEMENT 14

NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

CIBVESTCO AB

	NUMBER		NUMBER	VALUE OF
	OF SHARES	CHANGE	OF SHARES	SHARES HELD
	AT BEGINING	IN NUMBER DATE OF	AT END	AT END
CLASS OF STOCK	OF YEAR	OF SHARES CHANGE	OF YEAR	OF YEAR
				

COMMON

FORM 8621

ADDITIONAL INFORMATION

STATEMENT 15

NAME OF PASSIVE FOREIGN INVESTMENT COMPANY OR QUALIFIED ELECTING FUND

DECCAN HEATHCARE PRIVATE LIMITED

	NUMBER		NUMBER VALUE OF
	OF SHARES	CHANGE	OF SHARES SHARES HELD
	AT BEGINING	IN NUMBER DATE OF	AT END AT END
CLASS OF STOCK	OF YEAR	OF SHARES CHANGE	OF YEAR OF YEAR

PREFERRED

OMB No. 1545-0026

	Revenue Serv		Attach to your income tax return for the year of the transfer or distribution	ı	Atta Sequ	uence No. 12	8
Par	tIU.S	S. Tran	sferor Information (see instructions)				
Name	e of transfe	eror			ldentifying nu	mber (see ins	tructions)
ALT	OR FUND		98-05947	13			
а	If the trans If the trans five or few	sferor wa sfer was ver dome	specified 10%-owned foreign corporation that is not a controlled foreign corporation? as a corporation, complete questions 2a through 2d. a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by estic corporations?			s X	No No
			remain in existence after the transfer?			s 🔛	No
	If not, list	the cont	rolling shareholder(s) and their identifying number(s).				
			Controlling shareholder	Ident	tifying numb	ber	
			as a member of an affiliated group filing a consolidated return, was it the parent corporation e and employer identification number (EIN) of the parent corporation. Name of parent corporation		Yes		No
3	If the trans complete	sferor wa	ments under section 367(a)(4) been made? as a partner in a partnership that was the actual transferor (but is not treated as such unde ns 3a through 3d. I EIN of the transferor's partnership.	r section	. Ye : 367),	s X	Νο
			Name of partnership	EIN	of partnersh	nip	
					•	•	
ALT	OR FUND	III (1	NO. 1) LIMITED PARTNERSHIP 98-05	94713			
b c d	Did the par Is the part Is the part securities	artner pio tner disp tner disp market?	ck up its pro rata share of gain on the transfer of partnership assets?			s X	No No No
Par	t II Tra	ansfere	ee Foreign Corporation Information (see instructions)				
4	Name of t	ransfere	e (foreign corporation)	5a Id	entifying nu	mber, if a	ny
AF	III TOP						
6 	Address (i			5b Re	eference ID r	number	
	, NORWAY		NO 0252	АЗТА	L		
			ountry of incorporation or organization	1			
8	Foreign la		cterization (see instructions)				
9			preign corporation a controlled foreign corporation?		. Yes	s X	No
124531	04-01-21	LHA F	For Paperwork Reduction Act Notice, see separate instructions.		Form 9 2	26 (Rev. 11	-2018)

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

	Form 926 (Rev. 11-2018)	ALTOR	FUND	III	(NO.1)	LIMITED	PARTNERSHIP
--	-------------------------	-------	------	-----	--------	---------	-------------

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and	03/23/2020	STOCK	551.	1,000.	
securities					
Inventory					
Other property					
(not listed under					
another category)					
Description					
Property with					
built-in loss					
Totals			551.	1,000.	

11	Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain		
	recognition agreement was filed?	Yes	X No
12 a	Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a		
	foreign corporation?	Yes	X No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	No No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
С	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

		· · · · · · · · · · · · · · · · · · ·				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

Form 926 (Rev. 11-2018)

124532 04-01-21

14041109 147228 133621-0

X No

98-0594713

Yes

Form	926 (Rev. 11-2018) ALTOR FUND III (NO.1) LIMITED PARTNERSHIP	98-0594713	Page 3
14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?		No No
	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?	Yes	No
С	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶ \$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
_			
	t IV Additional Information Regarding Transfer of Property (see instructions)		
Pai	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After% Type of nonrecognition transaction (see instructions) > IRC SECTION 351		
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ► IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following.		
16 17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) \blacktriangleright IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No
16 17 18 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) \blacktriangleright IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d)	Yes	X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987	Yes Yes Yes	X No X No X No X No
16 17 18 b c d 19	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification?	Yes	X No X No X No X No X No
16 17 18 a b c d 19	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes	X No X No X No X No
16 17 18 b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c.	Yes 	X No X No X No X No X No
16 17 18 b c d 19 20 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 904(f)(5)(F) Recapture under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)·2(b)	Yes	X No X No X No X No X No
16 17 18 b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
16 17 18 6 0 19 20 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes 	X No X No X No X No X No
16 17 18 b c d 19 20 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

OMB No. 1545-0026

	Revenue	Service	Attach to your income tax return for the year of the transfer or distribution.		Attachmer Sequence	^{nt} No. 128
Par	tl	U.S. Tra	nsferor Information (see instructions)			
Name	e of trar	nsferor		Identify	ying numbe	r (see instructions)
ALT	FOR FU	ND III (98-0	594713		
1	Is the	transferee a	a specified 10%-owned foreign corporation that is not a controlled foreign corporation?		Yes	X No
2	If the t	ransferor w	vas a corporation, complete questions 2a through 2d.			
а	If the t	ransfer wa	s a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by	_	_	
			estic corporations?	_	_ Yes	X No
b			r remain in existence after the transfer?	X	Yes	No
	If not,	list the con	trolling shareholder(s) and their identifying number(s).			
			Controlling shareholder Ic	dentifying	number	
					-	
С			vas a member of an affiliated group filing a consolidated return, was it the parent corporation? ne and employer identification number (EIN) of the parent corporation.	L	_ Yes	No
	ii not,	list the fian				
			Name of parent corporation EIN c	of parent	corporatio	on
d	Have b	oasis adjus	tments under section 367(a)(4) been made?	L	Yes	X No
2	lf tha t	ropoforor	was a partner in a partnership that was the actual transferer (but is not tracted as such under acc	tion 267)		
3			vas a partner in a partnership that was the actual transferor (but is not treated as such under sec ons 3a through 3d.	1011 307),		
а	•		d EIN of the transferor's partnership.			
			Name of partnership E	EIN of part	tnership	
			(NO. 1) LIMITED PARTNERSHIP 98-059471			
			ick up its pro rata share of gain on the transfer of partnership assets?		_ Yes	X No
			posing of its entire interest in the partnership?	L	Yes	X No
d			posing of an interest in a limited partnership that is regularly traded on an established	Г	Vee	X No
Par		<u>ties market</u> Transfer	ee Foreign Corporation Information (see instructions)	····· L	Yes	X No
4				dentifyi	ina numbe	er. if anv
			(, , , , , , , , , , , , , , , , , , , ,		, ,
MON	NT BLA	NC BRANI	DS HOLDING S.A.R.L			
6		ss (includin		Reference	ce ID numl	ber
		U HEMMER				
SENN	INGER	BERG, LU	XEMBOURG MI	IBBH		
7 LU	Count	ry code of	country of incorporation or organization			
8 COF	Foreig RPORA1		acterization (see instructions)			
9			foreign corporation a controlled foreign corporation?		Yes	X No
	04-01-2		For Paperwork Reduction Act Notice, see separate instructions.	F		Rev. 11-2018)

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Form 926 (Rev. 11-2018)	ALTOR	FUND	III	(NO.1)	LIMITED	PARTNERSHI
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98-0594713

Yes

Page **2**

X No

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a)	(b)	(c)	(d)	(e)
	Date of	Description of	Fair market value on	Cost or other	Gain recognized on
	transfer	property	date of transfer	basis	transfer
Cash			6.		

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities					
Inventory					
		PROPERTY	211.	133.	
Other property					
(not listed under					
another category)					
Property with					
built-in loss					
Totals			211.	133.	

11	Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain		
	recognition agreement was filed?	Yes	X No
12 a	Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a		
	foreign corporation?	Yes	X No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	No No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
с	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	No No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

<u></u> J						
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

Form 926 (Rev. 11-2018)

124532 04-01-21

Form	926 (Rev. 11-2018) ALTOR FUND III (NO.1) LIMITED PARTNERSHIP	98-0594713	Page 3
44 -			
14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?		
	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?	Yes	No
С	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	└── No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶ \$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
Da	t IV Additional Information Regarding Transfer of Property (see instructions)		
Ιa			
40	Fater the two structs into each in the two structures for sime some wation is four and often the two stars		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	(a) Before% (b) After% $\%$		
17	Type of nonrecognition transaction (see instructions) FIRC SECTION 351		
18	Indicate whether any transfer reported in Part III is subject to any of the following.		v
a	Gain recognition under section 904(f)(3)		X No
b	Gain recognition under section 904(f)(5)(F)		X No
С	Recapture under section 1503(d)		X No
d	Exchange gain under section 987		X No
19	Did this transfer result from a change in entity classification?		X No
20 a	Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes	X No
	If "Yes," complete lines 20b and 20c.		
b	Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	► \$	
с	Did the domestic corporation not recognize gain or loss on the distribution of property because the		
	property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes	No No
21	Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation		
	covered by section 367(e)(1)? See instructions	Yes	X No
		Form 926 (Rev. 11-2018)

Form 926
(Rev. November 2018)
Department of the Treasury Internal Revenue Service

OMB No. 1545-0026

Attachment

Internal	Revenue Service	Attach to your income tax return for the year of the transfer or of	distribution.			Sequence	No. 128
Par	t I U.S. Tr	ansferor Information (see instructions)					
Name	of transferor			lo	dentifyin	g numbei	(see instructions
NEW	COLD HOLDIN	GS, LLC			46-059	0883	
1	Is the transfere	e a specified 10%-owned foreign corporation that is not a controlled foreign corpor	ation?			Yes	X No
2	If the transferor	was a corporation, complete questions 2a through 2d.					
а	If the transfer w	as a section 361(a) or (b) transfer, was the transferor controlled (under section 368	(c)) by				
		mestic corporations?				Yes	X No
		or remain in existence after the transfer?			X	Yes	No
	If not, list the c	ontrolling shareholder(s) and their identifying number(s).					
		Controlling shareholder		Identi	fying n	umber	
		-					
с	If the transferor	was a member of an affiliated group filing a consolidated return, was it the parent	corporation?	,		Yes	No
		ame and employer identification number (EIN) of the parent corporation.	•				
	-	· · · · ·					
		Name of parent corporation	E	IN OT PA	rent co	rporatio	on
d	Have basis adju	ustments under section 367(a)(4) been made?				Yes	X No
3	If the transferor	was a partner in a partnership that was the actual transferor (but is not treated as	such under s	section 3	367),		
	• •	tions 3a through 3d.					
а	List the name a	nd EIN of the transferor's partnership.					
		Name of partnership		EIN o	f partne	ership	
NEW	COLD HOLDIN	GS LLC	45-0590	1883			
		pick up its pro rata share of gain on the transfer of partnership assets?				Yes	X No
		isposing of its entire interest in the partnership?				res Yes	
		isposing of an interest in a limited partnership that is regularly traded on an establis				100	
u	securities mark					Yes	X No
Par		eree Foreign Corporation Information (see instructions)				103	
		eree (foreign corporation)		5a Ide	ntifvino	numbe	r , if any
•						,	.,
DAV	ANTI GROUP	BV					
6	Address (includ	ling country)		5b Ref	erence	ID numb	ber
	EG 35-E	- "					
	MOMM, 5301 I	J NETHERLANDS		DGB			
7	Country code o	f country of incorporation or organization					
NL	-	-					
8	Foreign law cha	aracterization (see instructions)					
	IITED LIABIL						
9	Is the transfere	e foreign corporation a controlled foreign corporation?		<u></u>	X	Yes	No
124531	04-01-21 LHA	For Paperwork Reduction Act Notice, see separate instructions.			Forr	n 926 (R	ev. 11-2018

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2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Yes

Page 2

X No

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

10 Was cash the only property transferred? ...

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities					
Inventory					
		LOAN RECEIVABL	2,903.	2,903.	
Other property					
(not listed under					
another category)					
Description					
Property with					
built-in loss					
Totals			2,903.	2,903.	

11	Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain		v
	recognition agreement was filed?	Yes	X No
12 a	Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a		
	foreign corporation?	Yes	X No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	No No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
С	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	🗌 No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

U		× 7				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

Form 926 (Rev. 11-2018)

124532 04-01-21

Form 926 (Rev. 11-201	8) NEWCOLD HOLDINGS, LLC	46-0590883	Page 3
14 a Did the transfero	r transfer any intangible property that, at the time of the transfer, had a useful life		
	ipated to exceed 20 years?	Yes	No
	e transfer, did any of the transferred intangible property have an indefinite useful life?		
	r choose to apply the 20-year inclusion period provided under Regulations section		
	for any intangible property?	Yes	No
	ine 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable	······	
to the intangible	property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	ion 1.367(d)-1(c)(3)(ii) > \$		
	ble property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafter, a	platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No No
Supplemental Pa	rt III Information Required To Be Reported (see instructions)		
TRANSFERS OCCURRE	D ON VARIOUS DATES DURING THE YEAR.		
Part IV Additior	al Information Regarding Transfer of Property (see instructions)		
Part IV Addition	al Information Regarding Transfer of Property (see instructions)		
	al Information Regarding Transfer of Property (see instructions)		
16 Enter the transfe	ror's interest in the transferee foreign corporation before and after the transfer.		
16 Enter the transfe (a) Before			
 16 Enter the transfe (a) Before 17 Type of nonreco 	ror's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the transfe (a) Before 17 Type of nonreconstruction 18 Indicate whether 	ror's interest in the transferee foreign corporation before and after the transfer. .082 % (b) After082 % gnition transaction (see instructions) ▶ IRC SECTION 351 any transfer reported in Part III is subject to any of the following.	 Yes	X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After082 % gnition transaction (see instructions) ▶ IRC SECTION 351 any transfer reported in Part III is subject to any of the following. under section 904(f)(3)		<u>х</u> No х No
 16 Enter the transfe (a) Before 17 Type of nonrecognition 18 Indicate whether a Gain recognition b Gain recognition 	ror's interest in the transferee foreign corporation before and after the transfer. $.082 \ \%$ (b) After082 % gnition transaction (see instructions) \blacktriangleright IRC SECTION 351 any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F)	Yes	
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under 	ror's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .082 \\ .082 \\ \% \end{array}$ (b) After 082 \\ \% \\ gnition transaction (see instructions) \\ \end{array} \underbrace{\begin{tabular}{lllllllllllllllllllllllllllllllllll		X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 	ror's interest in the transferee foreign corporation before and after the transfer. $.082 \ \%$ (b) After $.082 \ \%$ gnition transaction (see instructions) \blacktriangleright IRC SECTION 351 any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987	Yes	X No X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 19 Did this transfer 	ror's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .082 \\ .082 \\ \% \\ (b) \\ After \\ .082 \\ \% \\ gnition transaction (see instructions) \\ \end{array} \\ \begin{array}{c} IRC \\ SECTION \\ 351 \\ \hline \\ any transfer reported in Part III is subject to any of the following. \\ under section 904(f)(3) \\ under section 904(f)(5)(F) \\ \hline \\ section 1503(d) \\ \hline \\ nder section 987 \\ \hline \\ result from a change in entity classification? \\ \hline \\ \end{array}$		X No X No X No X No
 16 Enter the transfering (a) Before 17 Type of nonreconstruction 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 19 Did this transfering 20 a Did a domestic construction 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After082 % gnition transaction (see instructions) ▶ IRC SECTION 351 any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987 result from a change in entity classification? corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes Yes Yes	X No X No X No X No X No
 16 Enter the transfe (a) Before 17 Type of nonrecount 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain under 19 Did this transfer 20 a Did a domestic construction 	ror's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .082 \\ .082 \\ \% \end{array} (b) After \\ \underline{.082} \\ \% \end{array} (b) After \\ \underline{.082} \\ \% \\ \hline \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$	☐ Yes ☐ Yes	X No X No X No X No X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 19 Did this transfer 20 a Did a domestic construction b Enter the total ar 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After <u>.082</u> % gnition transaction (see instructions) \blacktriangleright IRC SECTION 351 any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987 result from a change in entity classification? corporation make a distribution of property covered by section 367(e)(2)? (see instructions) re lines 20b and 20c. mount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes 	X No X No X No X No X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 19 Did this transfer 20 a Did a domestic c If "Yes," completed b Enter the total ar c Did the domestic 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After <u>.082</u> % gnition transaction (see instructions) \blacktriangleright <u>IRC SECTION 351</u> any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987 result from a change in entity classification? corporation make a distribution of property covered by section 367(e)(2)? (see instructions) the lines 20b and 20c. mount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
 16 Enter the transfering (a) Before 17 Type of nonreconstruction 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain under 19 Did this transfering 20 a Did a domestic or of "Yes," completed b Enter the total arright of the domestic or property was used 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After <u>.082</u> % gnition transaction (see instructions) \blacktriangleright <u>IRC SECTION 351</u> any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987 result from a change in entity classification? corporation make a distribution of property covered by section 367(e)(2)? (see instructions) te lines 20b and 20c. nount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) corporation not recognize gain or loss on the distribution of property because the ed in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	☐ Yes ☐ Yes	X No X No X No X No X No
 16 Enter the transfe (a) Before 17 Type of nonreco 18 Indicate whether a Gain recognition b Gain recognition c Recapture under d Exchange gain u 19 Did this transfer 20 a Did a domestic construction b Enter the total ar c Did the domestic property was use 21 Did a domestic construction 	ror's interest in the transferee foreign corporation before and after the transfer. <u>.082</u> % (b) After <u>.082</u> % gnition transaction (see instructions) \blacktriangleright <u>IRC SECTION 351</u> any transfer reported in Part III is subject to any of the following. under section 904(f)(3) under section 904(f)(5)(F) section 1503(d) nder section 987 result from a change in entity classification? corporation make a distribution of property covered by section 367(e)(2)? (see instructions) the lines 20b and 20c. mount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

124533 04-01-21

Form 926
(Rev. November 2018)
Department of the Treasury Internal Revenue Service

OMB No. 1545-0026

Attachment

Internal Revenue Service Attach to your income tax return for the year of the transfer or distribution.						Sequence	No. 128
Par	tl U.S. Tra	ansferor Information (see instructions)					
Name	of transferor			la	lentifyin	g numbei	(see instructions)
NEW	COLD HOLDING	3S, LLC			46-059	0883	
1	Is the transferee	e a specified 10%-owned foreign corporation that is not a controlled foreign corpor	ation?			Yes	X No
2	If the transferor	was a corporation, complete questions 2a through 2d.					
а	If the transfer w	as a section 361(a) or (b) transfer, was the transferor controlled (under section 368	(c)) by				
		mestic corporations?				Yes	X No
		or remain in existence after the transfer?			X	Yes	No
	If not, list the co	ontrolling shareholder(s) and their identifying number(s).					
		Controlling shareholder		Identi	fying nu	umber	
		-					
с	If the transferor	was a member of an affiliated group filing a consolidated return, was it the parent	corporation?)		Yes	No
		ame and employer identification number (EIN) of the parent corporation.	•				
			-		<u> </u>		
		Name of parent corporation	E	ім от ра	rent co	rporatio	n
d	Have basis adju	stments under section 367(a)(4) been made?				Yes	X No
3	If the transferor	was a partner in a partnership that was the actual transferor (but is not treated as	such under s	section 3	367),		
	· ·	ions 3a through 3d.					
а	List the name a	nd EIN of the transferor's partnership.					
		Name of partnership		EIN of	f partne	ership	
NEW	COLD HOLDING	R LLC	45-059	0883			
		pick up its pro rata share of gain on the transfer of partnership assets?				Yes	X No
		sposing of its entire interest in the partnership?				Yes	
		isposing of an interest in a limited partnership that is regularly traded on an establis				103	
u	securities marke					Yes	X No
Par		eree Foreign Corporation Information (see instructions)				100	
		eree (foreign corporation)		5a Ide	ntifvinc	g numbe	r. if anv
•					,	,	, u ,
NEW	COLD ARGENTA	AN HOLDING BV					
6	Address (includ	ing country)		5b Ref	erence	ID numb	ber
		SSAUBOULEVARD 105					
BRED	A, 4811 BN N	ETHERLANDS		NAHB			
7	Country code o	f country of incorporation or organization					
NL	-	-					
8	Foreign law cha	racterization (see instructions)					
LIM	IITED LIABIL	ITY COMPANY					
9	Is the transferee	e foreign corporation a controlled foreign corporation?		<u></u>	X	Yes	No
124531	04-01-21 LHA	For Paperwork Reduction Act Notice, see separate instructions.			Form	n 926 (R	ev. 11-2018

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Yes

Page 2

X No

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash	09/09/2021		10.		

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and	09/21/2021		3,496.	5,519.	
securities					
Inventory					
Other property					
(not listed under					
another category)					
D 1 11					
Property with					
built-in loss					
Totals			3,496.	5,519.	

11	recognition agreement was filed?	Yes	X No
12 a			
	foreign corporation?	Yes	X No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
с	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	🗌 No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

V		· · · · · · · · · · · · · · · · · · ·				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

Form 926 (Rev. 11-2018)

124532 04-01-21

Form 926 (Rev. 11-2018) NEWCOLD HOLDINGS, LLC	46-0590883	Page 3
14 a Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
reasonably anticipated to exceed 20 years?	Yes	No
 b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? 	Yes	
 c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 		
1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	No No
d If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section $1.367(d)$ - $1(c)(3)(ii) $		
 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any 		
time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Part IV Additional Information Regarding Transfer of Property (see instructions)		
Part IV Additional Information Regarding Transfer of Property (see instructions)		
16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ► IRC SECTION 351 		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. 		X
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) 		X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) 	Yes	X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) 	Yes	X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 		X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) 		X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the 	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the 	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

Form 926
(Rev. November 2018)
Department of the Treasury Internal Revenue Service

OMB No. 1545-0026

Attachment

Internal	Revenue Service	Attach to your income tax return for the year of the transfer or dis	stribution.		Sequence	No. 128
Par	t I U.S. Tra	nsferor Information (see instructions)				
Name	of transferor			Identifyin	g numbei	r (see instructions)
NEW	COLD HOLDING	S, LLC		46-059	0883	
1	Is the transferee	a specified 10%-owned foreign corporation that is not a controlled foreign corporation	ion?		Yes	X No
2	If the transferor v	vas a corporation, complete questions 2a through 2d.				
а	If the transfer wa	s a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c))) by			
	five or fewer dom	nestic corporations?			Yes	X No
b	Did the transfero	r remain in existence after the transfer?		X	Yes	No No
	If not, list the cor	ntrolling shareholder(s) and their identifying number(s).				
		Controlling shareholder	Ide	entifying nu	mber	
с	If the transferor v	vas a member of an affiliated group filing a consolidated return, was it the parent co	prporation?		Yes	No
	If not, list the nar	ne and employer identification number (EIN) of the parent corporation.				
		Nama af a mart a surraution		6		
		Name of parent corporation	EIN O	f parent co	rporatio	n
d	Have basis adjus	tments under section 367(a)(4) been made?			Yes	X No
3		vas a partner in a partnership that was the actual transferor (but is not treated as $\mathfrak{s}\mathfrak{l}$	ich under secti	ion 367),		
		ons 3a through 3d.				
а	List the name an	d EIN of the transferor's partnership.				
		Name of partnership	EI	IN of partne	ership	
				-	-	
NFF	COLD HOLDING	S LLC	45-0590883	3		
		ick up its pro rata share of gain on the transfer of partnership assets?			Yes	XNo
		posing of its entire interest in the partnership?			Yes	
		posing of an interest in a limited partnership that is regularly traded on an establish			103	
ŭ	securities market				Yes	XNo
Par		ree Foreign Corporation Information (see instructions)			100	
4		ee (foreign corporation)	5a	Identifying	numbe	r . if anv
				·····,···		-, ,
NEW	COLD DEVELOP	MENT BV				
6	Address (includir	ng country)	5b	Reference	ID numb	ber
		SAUBOULEVARD 105				
BRED	A, 4811 BN NE	THERLANDS	ND)B		
7	Country code of	country of incorporation or organization				
NL	-					
8	Foreign law char	acterization (see instructions)				
LIM	IITED LIABILI	TY COMPANY				
9	Is the transferee	foreign corporation a controlled foreign corporation?		X	Yes	No No
124531	04-01-21 LHA	For Paperwork Reduction Act Notice, see separate instructions.		Forn	n 926 (R	ev. 11-2018)

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Yes

Page 2

X No

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities					
Inventory					
	12/01/2021	INTANGIBLE PRO	489.	489.	
Other property					
(not listed under					
another category)					
Due e este su ith					
Property with					
built-in loss					
Totals			489.	489.	

11	Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain		
	recognition agreement was filed?	Yes	X No
12 a	Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a		
	foreign corporation?	Yes	X No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	🗌 No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
с	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	🗌 No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

<u></u> J						
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

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Form 926 (Rev. 11-2018) NEWCOLD HOLDINGS, LLC	46-0590883	Page 3
14 a Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
reasonably anticipated to exceed 20 years?	Yes	No
 b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? 	Yes	
 c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 		
1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	No No
d If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in Regulations section $1.367(d)$ - $1(c)(3)(ii) $		
 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any 		
time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Part IV Additional Information Regarding Transfer of Property (see instructions)		
Part IV Additional Information Regarding Transfer of Property (see instructions)		
16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ► IRC SECTION 351 		
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. 		X
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) 		X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) 	Yes	X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) 	Yes	X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 		X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) 		X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the 	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? 		X No X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 18 Indicate whether any transfer reported in Part III is subject to any of the following. a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) c Did the domestic corporation not recognize gain or loss on the distribution of property because the 	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

Form 926
(Rev. November 2018)
Department of the Treasury Internal Revenue Service

OMB No. 1545-0026

mont

Internal	Revenue Service	Attach to your income tax return for the year of the transfer or of	listribution.		Sequence	ce No. 128	
Par	t I U.S. Tra	ansferor Information (see instructions)					
Name	of transferor			lde	ntifying numb	er (see instruct	tions)
NEW	COLD HOLDING	3S, LLC		4	6-0590883		
1	Is the transferee	a specified 10%-owned foreign corporation that is not a controlled foreign corporation	ation?		Yes	X No	D
2	If the transferor	was a corporation, complete questions 2a through 2d.					
а	If the transfer w	as a section 361(a) or (b) transfer, was the transferor controlled (under section 368	(c)) by				
		mestic corporations?			Yes		D
		or remain in existence after the transfer?			X Yes		D
	If not, list the co	ontrolling shareholder(s) and their identifying number(s).					
		Controlling shareholder		Identify	ing number		
				-	•		
с	If the transferor	was a member of an affiliated group filing a consolidated return, was it the parent	corporation?		Yes		0
		ame and employer identification number (EIN) of the parent corporation.					
	,						
		Name of parent corporation	Ell	N of pare	ent corporat	tion	
d	Have basis adju	stments under section 367(a)(4) been made?			Yes	X No	0
3	If the transferor	was a partner in a partnership that was the actual transferor (but is not treated as	such under s	ection 36	87) <u>,</u>		
	• •	ions 3a through 3d.					
а	List the name a	nd EIN of the transferor's partnership.					
		Name of partnership		EIN of	partnership		
NEW	COLD HOLDING		45-0590	883			
		•				XN	
		pick up its pro rata share of gain on the transfer of partnership assets?			Ves Yes		
		sposing of its entire interest in the partnership?					
	securities marke				Yes	XN	~
Par		eree Foreign Corporation Information (see instructions)					5
		eree (foreign corporation)		5a Iden	tifying numl	ber if any	
•				ou luon	aryn g nann	oor, n any	
NEW	COLD RHEINE	HOLDING II BV					
6	Address (includ	ing country)		5b Refe	rence ID nur	nber	
	· ·	SSAUBOULEVARD 105					
BREDA	A, 4811 BN N	ETHERLANDS		NRH2B			
7	Country code o	f country of incorporation or organization	I				
NL	,						
8	Foreign law cha	racterization (see instructions)					
	ITED LIABILI						
9	Is the transferee	e foreign corporation a controlled foreign corporation?			X Yes		0
		For Paperwork Reduction Act Notice, see separate instructions.			Form 926	(Rev. 11-20	J18)

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Yes

Page 2

X No

Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash	06/29/2021		8.		

10 Was cash the only property transferred?

If "Yes," skip the remainder of Part III and go to Part IV.

Section B - Other Property (other than intangible property subject to section 367(d))

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities	06/29/2021	COMMON STOCK	3,126.	4,257.	
Inventory					
Other property					
(not listed under					
another category)					
Property with					
built-in loss					
Totals			3,126.	4,257.	

11	recognition agreement was filed?	Yes	X No
12 a	Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation?	X Yes	No
	If "Yes," go to line 12b.		
b	Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch		
	(including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation?	Yes	X No
	If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13.		
с	Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the		
	transferee foreign corporation?	Yes	No No
	If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13.		
d	Enter the transferred loss amount included in gross income as required under section 91 🕨 \$		
13	Did the transferor transfer property described in section 367(d)(4)?	Yes	X No
	If "No," skip Section C and questions 14a through 15.		

Section C - Intangible Property Subject to Section 367(d)

Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						
Totals						

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Form 926 (Rev. 11-2018) NEWCOLD HOLDINGS, LLC	46-059	0883	Page 3
14 a Did the transferor transfer any intangible property that, at the time of the t	ransfer had a useful life		
reasonably anticipated to exceed 20 years?	-	Yes	No
b At the time of the transfer, did any of the transferred intangible property has		Yes	
 c Did the transferor choose to apply the 20-year inclusion period provided u 		165	
1.367(d)-1(c)(3)(ii) for any intangible property?		Yes	No
d If the answer to line 14c is "Yes," enter the total estimated anticipated inc		165	
to the intangible property's, or properties', as applicable, use(s) beyond th			
	e zo-year period described in		
Regulations section $1.367(d) \cdot 1(c)(3)(ii) $	t the time of the transfer or at any		
15 Was any intangible property transferred considered or anticipated to be, a		Vee	
time thereafter, a platform contribution as defined in Regulations section 1	.482-7(c)(1)?	Yes	└── No
Supplemental Part III Information Required To Be Reported	(see instructions)		
Part IV Additional Information Regarding Transfer of Prope	r ty (see instructions)		
Part IV Additional Information Regarding Transfer of Prope 16 Enter the transferor's interest in the transferee foreign corporation before a 000 % (b) After 082 %			
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 	and after the transfer.		
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 	and after the transfer.		
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the formation in the subject to any of the formation is a subject to any of the formation in the subject to any of the formation is a subject to a sub	and after the transfer.	Ves	
 I6 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % I7 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 INdicate whether any transfer reported in Part III is subject to any of the fo a Gain recognition under section 904(f)(3)	and after the transfer.	Yes	X No
 I6 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % I7 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 I8 Indicate whether any transfer reported in Part III is subject to any of the fo a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) 	and after the transfer.	Yes	X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) 	and after the transfer.	Yes Yes	X No X No
 I6 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % I7 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 I8 Indicate whether any transfer reported in Part III is subject to any of the fo a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 	and after the transfer.	Yes Yes Yes	X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the fo a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 	and after the transfer.	Yes Yes Yes Yes	X No X No X No X No
 I6 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % I7 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 I9 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by section 	and after the transfer.	Yes Yes Yes	X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by see If "Yes," complete lines 20b and 20c. 	and after the transfer.	Yes Yes Yes Yes	X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by sec If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations 	and after the transfer.	Yes Yes Yes Yes	X No X No X No X No
 I6 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % I7 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 I8 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 I9 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by see If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations c Did the domestic corporation not recognize gain or loss on the distribution 	and after the transfer.	Yes Yes Yes Yes Yes	X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by sec If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations 	and after the transfer.	Yes Yes Yes Yes	X No X No X No X No
 16 Enter the transferor's interest in the transferee foreign corporation before a (a) Before000 % (b) After082 % 17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 3 18 Indicate whether any transfer reported in Part III is subject to any of the for a Gain recognition under section 904(f)(3) b Gain recognition under section 904(f)(5)(F) c Recapture under section 1503(d) d Exchange gain under section 987 19 Did this transfer result from a change in entity classification? 20 a Did a domestic corporation make a distribution of property covered by see If "Yes," complete lines 20b and 20c. b Enter the total amount of gain or loss recognized pursuant to Regulations c Did the domestic corporation not recognize gain or loss on the distribution 	and after the transfer. 351 Ilowing. ction 367(e)(2)? (see instructions) section 1.367(e)-2(b) n of property because the ions section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes	X No X No X No X No X No

OMB No. 1545-0026

ont

Internal	Revenue Service	Attach to your income tax return for the year of the transfer or c	listribution.		Se	equence N	o. 128
Par	t I U.S. Tra	nsferor Information (see instructions)					
Name	e of transferor			Ide	entifying	number	(see instructions)
WCE	P NEWCOLD, LP			4	7-3997		
1		a specified 10%-owned foreign corporation that is not a controlled foreign corporation	ation?		∟ Y	es	X No
2		was a corporation, complete questions 2a through 2d.	·				
а		is a section 361(a) or (b) transfer, was the transferor controlled (under section 368					X No
		nestic corporations?				es	
D		r remain in existence after the transfer?			T I	es	No No
		ntrolling shareholder(s) and their identifying number(s).					
		Controlling shareholder		Identify	ying nun	nber	
с	If the transferor v	was a member of an affiliated group filing a consolidated return, was it the parent	corporation?		Υ [es	No
	If not, list the nar	me and employer identification number (EIN) of the parent corporation.					
		Name of parent corporation	FI	N of par	ent corr	oratio	 ו
				n or pu		010101	
	I for a for a diversity of the						X No
a	Have basis adjus	stments under section 367(a)(4) been made?			<u> </u>	es	X No
3	If the transferor v	was a partner in a partnership that was the actual transferor (but is not treated as	such under s	ection 3	67)		
U		ons 3a through 3d.		Section 0	07),		
а	• •	d EIN of the transferor's partnership.					
		· · ·					
		Name of partnership		EIN of	partner	ship	
	NEWCOLD LP		47-3997				
		bick up its pro rata share of gain on the transfer of partnership assets?				es	X No
		sposing of its entire interest in the partnership?			∟ Y	es	X No
d		sposing of an interest in a limited partnership that is regularly traded on an establis	shed				X No
Par	securities marke	ree Foreign Corporation Information (see instructions)			<u> </u>	es	X No
4		ree (foreign corporation)		5a Ider	ntifvina r	umber	ifany
•					i i i yii i g i		, il dily
NEW	COLD SYDNEY	HOLDING UNIT TRUST		98-13	75944		
6	Address (includir	ng country)		5b Refe	erence ID) numbe	er
LEVE	L 1 140 BOURK						
MELB	OURNE , VIC 3	3000 AUSTRIA					
7	Country code of	country of incorporation or organization					
AU							
8	•	acterization (see instructions)					
-	IITED LIABILI				TT		
9		foreign corporation a controlled foreign corporation?				es	
124531	04-01-21 LHA	For Paperwork Reduction Act Notice, see separate instructions.			⊢orm	926 (Re	ev. 11-2018)

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174 2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

If "Yes," skip the remainder of Part III and go to Part IV. Section B - Other Property (other than intangible property subject to section 367(d)) Type of property Date of property Fair market value on Cost of other basis Gain recognized of transfer U10/12/2020 LOAN RECEIVABL 1, 386. 1, 386. U1, 3	Cash						
Type of property C(a) transfer Description of property Fair marker Cost or other date of transfer Gain recognized of transfer Stock and ecurities 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Dther property 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Dther property with 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Property with 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Droperty with 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Dthe transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? Yes N 122 Were ary assets of a foreign branch (including a branch tha						[Yes X No
Type of property Ce) Take of transfer Description of property Fair marker value on date of transfer Cost or other basis Gain recognized of transfer countries 10/12/2020 LOAN RECEIVABL 1,386. 1,386. ther property 10/12/2020 LOAN RECEIVABL 1,386. 1 ther property 10/12/2020 LOAN RECEIVABL 1,386. 1 totals 10/12/2020 LOAN RECEIVABL 1,386. 1 totals 1,386. 1,386. 1 1 totals 1,386.	Section B - Other P	Property (other th	an intangible property	subiect	to section 367(d))	
ecurities 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Dther property 10/12/2020 LOAN RECEIVABL 1,386. 1,386. Droperty with 10/12/2020 10/12/2020 10/12/2020 10/12/2020 Property with 10/12/2020 10/12/2020 10/12/2020 10/12/2020 Property with 10/12/2020 10/12/2020 10/12/2020 10/12/2020 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? Yes N 12 Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation? Yes N 12 Were any assets of a foreign branch (including a branch that is a foreign corporation? Yes N 16 "Yes," continue to line 120. If "No," skip line 12d, and go to line 13. Yes N 17 "Yes," continue to line 120. If "No," skip line 12d, and go to line 13. Yes	Type of	(a) Date of	(b) Description of	Fair m	(c) arket value on	(d) Cost or other	Gain recognized on
bit her property b0/12/2020 LOAN RECEIVABL 1,386. 1,386. ther property bot listed under							
ther property tot listed under nother category) roperty with uilt-in loss roperty with uilt-in loss totals 1,386, 1,386	iventory						
ulitin loss 1,386. 1,386. 1 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? Yes 2a Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation? Yes X Yes yes X Ni Yes X Vere any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation? Yes X If "Yes," go to line 12b. Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes Ni If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13. Immediately after the transferred was the domestic corporation a U.S. shareholder with respect to the transferred loss amount included in gross income as required under section 91 Yes X 3 Did the transferred property described in section 367(d)(4)? Yes X Ni if "No," skip Section C and questions 14a through 15. Ection C - Intangible Property Subject to Section 367(d) Yes X Ni Type of property Date of Description of Useful Arm's length price </td <td>ot listed under</td> <td></td> <td>LOAN RECEIVABL</td> <td></td> <td>1,386.</td> <td>1,386.</td> <td></td>	ot listed under		LOAN RECEIVABL		1,386.	1,386.	
1 Did the transferor transfer stock or securities subject to section 367(a) with respect to which a gain recognition agreement was filed? Yes X 2 a Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation? Yes X If "Yes," go to line 12b. Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes N b Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes N b Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes N b Was the transferor a domestic corporation a U.S. shareholder with respect to the transfere foreign corporation? Yes N if "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13. Yes N Yes N d Enter the transferred loss amount included in gross income as required under section 91 \$ \$ 3 Did the transferor transfer property described in section 367(d) Yes X N if "No," skip Section C and questions 14a through 15.							
recognition agreement was filed? Yes 2a Were any assets of a foreign branch (including a branch that is a foreign disregarded entity) transferred to a foreign corporation? Yes If "Yes," go to line 12b. Yes X b Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes X If "Yes," go to line 12b. b Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? Yes X If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13. Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the transferee foreign corporation? Yes N If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13. Yes N If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13. Yes X If "No," skip Section C and questions 14a through 15. Yes X Section C - Intangible Property Subject to Section 367(d) Yes X If "No," skip Section C and questions 14a through 15. Yes X Section C - Intan	otals				1,386.	1,386.	
Type of property(a)(b)(c)(d)(e)(f)Date ofDescription ofUsefulArm's length priceCost or otherIncome inclusion	 If "Yes," go to line Was the transferor (including a branch If "Yes," continue to Immediately after the transferee foreign of If "Yes," continue to Enter the transferree Did the transferor to If "No," skip Section 	12b. a domestic corporatio o that is a foreign disre- o line 12c. If "No," skip he transfer, was the do corporation? o line 12d. If "No," skip ed loss amount include ransfer property descr on C and questions 14a	n that transferred substantially garded entity) to a specified 10 p lines 12c and 12d, and go to pmestic corporation a U.S. sha p line 12d, and go to line 13. ed in gross income as required ibed in section 367(d)(4)?	y all of the 0%-owned 0 line 13. areholder v	assets of a foreign b foreign corporation? with respect to the stion 91 \$	oranch ? [Yes No
property Date of Description of Useful Arm's length price Cost or other Income inclusion	Section C - Intangi	ble Property Sub	ject to Section 367(d)		1	1	
		Date of	Description of	Useful	Arm's length price	Cost or other	(f) Income inclusion fo year of transfer
Property described							

Totals

Form 926 (Rev. 11-2018)

124532 04-01-21

14041109 147228 133621-0

Section A - Cash

Section A - Cash					
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

Page **2**

47-3997648

Form 926 (Rev. 11-2	018) WCP NEWCOLD, LP	47-3997648	Page 3
14 a Did the transfe	eror transfer any intangible property that, at the time of the transfer, had a useful life		
	ticipated to exceed 20 years?	Yes	No
	the transfer, did any of the transferred intangible property have an indefinite useful life?		
	eror choose to apply the 20-year inclusion period provided under Regulations section		
	(ii) for any intangible property?	Yes	No
	o line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	le property's, or properties', as applicable, use(s) beyond the 20 year period described in		
	ection 1.367(d)-1(c)(3)(ii) ▶\$		
	gible property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafte	r, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Supplemental F	Part III Information Required To Be Reported (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
16 Enter the trans	sferor's interest in the transferee foreign corporation before and after the transfer.		
16 Enter the trans			
16 Enter the trans (a) Before _	sferor's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the trans (a) Before 17 Type of nonre 	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After $.000$ %		
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether 	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After000 % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following.	 Yes	X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether a Gain recognitie 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3)		X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti 	sferor's interest in the transferee foreign corporation before and after the transfer. 000_% (b) After000_% cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F)	Yes	
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture under 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d)		X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d) h under section 987	Yes 	X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gair 19 Did this transf 	sferor's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .000 \\ .000 $	Yes Yes Yes Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. 	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp 	sferor's interest in the transferee foreign corporation before and after the transfer. $000 \ \%$ (b) After $000 \ \%$ cognition transaction (see instructions) \blacktriangleright IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c.	Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) for under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) illete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesting f "Yes," comp b Enter the total c Did the domesting 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	♀Yes 	X No X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domest property was 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) lete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)·2(b) tic corporation not recognize gain or loss on the distribution of property because the used in the conduct of U.S. trade or business under Regulations section 1.367(e)·2(b)(2)?	Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domestore property was a 21 Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

OMB No. 1545-0026

Attachment

Internal	Revenue Service	Attach to your income tax return for the year of the transfer or o	distribution.	Sequence	ce No. 128
Par	t I U.S. Tra	nsferor Information (see instructions)			
Name	of transferor			Identifying numb	er (see instructions)
WCP	NEWCOLD, LP			47-3997648	
1	Is the transferee	a specified 10%-owned foreign corporation that is not a controlled foreign corpor	ation?	Yes	X No
2	If the transferor v	was a corporation, complete questions 2a through 2d.			
а	If the transfer wa	is a section 361(a) or (b) transfer, was the transferor controlled (under section 368	(c)) by		
		nestic corporations?			X No
		r remain in existence after the transfer?		X Yes	No
	If not, list the co	ntrolling shareholder(s) and their identifying number(s).			
		Controlling shareholder	lo	dentifying number	
				, ,	
<u> </u>	If the transferor y	was a member of an affiliated group filing a consolidated return, was it the parent	corporation?	Yes	No
		ne and employer identification number (EIN) of the parent corporation.			
		Name of parent corporation	EIN	of parent corporat	tion
d	Have basis adjus	stments under section 367(a)(4) been made?		Yes	X No
3	If the transferor v	was a partner in a partnership that was the actual transferor (but is not treated as	such under sec	tion 367),	
	complete question	ons 3a through 3d.			
а	List the name an	d EIN of the transferor's partnership.			
		Name of partnership	F	EIN of partnership	
			-		
_					
	NEWCOLD LP		47-399764		.
		bick up its pro rata share of gain on the transfer of partnership assets?			X No
		posing of its entire interest in the partnership?		Yes	X No
d		posing of an interest in a limited partnership that is regularly traded on an establis	shed		v .
Par	securities marke	ree Foreign Corporation Information (see instructions)		Yes	X No
4	Name of transfer	ee (foreign corporation)	ba	a Identifying num	ber, if any
NEW	COLD MELBOUR				
					abar
	Address (includir			Reference ID nur	IDEI
	DIII40 DOORN DURNE , VIC 3		N	M2PL	
	,	country of incorporation or organization			
7 AU	Country Code Of	country of incorporation of organization			
	Foreign law char	acterization (see instructions)			
	Foreign law char				
		fourier comparation a controlled fourier comparation 0		X Yes	No
		For Paperwork Reduction Act Notice, see separate instructions.			(Rev. 11-2018)
100+21		i or i aportront nouvolion Aut nouvo, see sepulate instructivns.		1 OHH 320	,

14041109 147228 133621-0

177

Cash						
	property transferred? mainder of Part III and	go to Part IV.			[Yes X No
· ·		an intangible property s	subiect	to section 36	7(d))	
Type of property	(a) Date of transfer	(b) Description of property	Fair m	(c) narket value on e of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities						
Inventory						
	11/11/2020	LOAN RECEIVABL		8,683.	8,683.	
Other property (not listed under						
another category)						
Property with built-in loss						
Totals				8,683.	8,683.	
 (including a branch if "Yes," continue to c Immediately after th transferee foreign confirmed in the transferee foreign confirmed in the transferred in the transferred bid the transferred transferred to the transferred transferred to the tran	a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do prporation? b line 12d. If "No," skip d loss amount included	a that transferred substantially arded entity) to a specified 10 lines 12c and 12d, and go to mestic corporation a U.S. sha line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15.	0%-owned line 13. reholder v	foreign corporatio	n? [Yes No
Section C - Intangib	le Property Subj	ect to Section 367(d)				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length pric on date of transfe		(f) Income inclusion for year of transfer
Property described						
in sec. 367(d)(4)						

Totals

Form 926 (Rev. 11-2018)

124532 04-01-21

Form 926 (Rev. 11-2018) WCP NEWCOLD, LP Part III Information Regarding Transfer of Property (see instructions)

Section A - Cash

Section A - Cash					
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

Form 926 (Rev. 11-2	018) WCP NEWCOLD, LP	47-3997648	Page 3
14 a Did the transfe	eror transfer any intangible property that, at the time of the transfer, had a useful life		
	ticipated to exceed 20 years?	Yes	No
	the transfer, did any of the transferred intangible property have an indefinite useful life?		
	eror choose to apply the 20-year inclusion period provided under Regulations section		
	(ii) for any intangible property?	Yes	No
	o line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	le property's, or properties', as applicable, use(s) beyond the 20 year period described in		
	ection 1.367(d)-1(c)(3)(ii) ▶\$		
	gible property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafte	r, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Supplemental F	Part III Information Required To Be Reported (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
16 Enter the trans	sferor's interest in the transferee foreign corporation before and after the transfer.		
16 Enter the trans			
16 Enter the trans (a) Before _	sferor's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the trans (a) Before	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After $.000$ %		
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether 	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After000 % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following.	 Yes	X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether a Gain recognitie 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3)		X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti 	sferor's interest in the transferee foreign corporation before and after the transfer. 000_% (b) After000_% cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F)	Yes	
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture under 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d)		X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d) h under section 987	Yes 	X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gair 19 Did this transf 	sferor's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .000 \\ .000 $	Yes Yes Yes Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. 	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) \blacktriangleright IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c.	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) con under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) illete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesting f "Yes," comp b Enter the total c Did the domesting 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domest property was 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) lete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)·2(b) tic corporation not recognize gain or loss on the distribution of property because the used in the conduct of U.S. trade or business under Regulations section 1.367(e)·2(b)(2)?	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domestore property was a 21 Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

L

Return by a U.S. Transferor of Property to a Foreign Corporation Go to www.irs.gov/Form926 for instructions and the latest information.

OMB No. 1545-0026

Attach to ve o tax roturn for the w r of the transfor r dictributio

Attachment Sequence No. 128

Attach to your income tax return for the year of the transfer or distr	ibution. Sequence No. 120
Part I U.S. Transferor Information (see instructions)	
Name of transferor	Identifying number (see instructions)
	47-3997648
WCP NEWCOLD, LP	
 Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation If the transferor was a corporation, complete questions 2a through 2d. 	
 a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) 	
five or fewer domestic corporations?	
b Did the transferor remain in existence after the transfer?	
If not, list the controlling shareholder(s) and their identifying number(s).	
Controlling shareholder	Identifying number
c If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corp	ooration? Yes No
If not, list the name and employer identification number (EIN) of the parent corporation.	
Name of parent corporation	EIN of parent corporation
d Have basis adjustments under section 367(a)(4) been made?	Yes X No
3 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such	n under section 367),
complete questions 3a through 3d.	
a List the name and EIN of the transferor's partnership.	
Name of partnership	EIN of partnership
	47 2007649
	47-3997648
b Did the partner pick up its pro rata share of gain on the transfer of partnership assets?c Is the partner disposing of its entire interest in the partnership?	
c Is the partner disposing of its entire interest in the partnership?d Is the partner disposing of an interest in a limited partnership that is regularly traded on an established	
securities market?	
Part II Transferee Foreign Corporation Information (see instructions)	
4 Name of transferee (foreign corporation)	5a Identifying number, if any
NEWCOLD MELBOURNE PTY LTP	
6 Address (including country)	5b Reference ID number
LEVEL 1 140 BOURKE STREET	
MELBOURNE , VIC 3000 AUSTRIA	NMPL
7 Country code of country of incorporation or organization	
AU	
8 Foreign law characterization (see instructions) LIMITED LIABILITY COMPANY	
9 Is the transferee foreign corporation a controlled foreign corporation?	X Yes No
124531 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.	Form 926 (Rev. 11-2018)
124331 04-01-21 LINA TO Paper work neutron Act Notice, see separate instructions. 180	

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Cash						
10 Was cash the only put If "Yes," skip the rem	roperty transferred? nainder of Part III and g	go to Part IV.			[Yes X
Section B - Other Pr	operty (other the	in intangible property	subiect	to section 367(c	((b	
Type of property	(a) Date of transfer	(b) Description of property	Fair m	(c) larket value on e of transfer	(d) Cost or other basis	(e) Gain recognized transfer
Stock and securities						
Inventory						
Other property (not listed under another category)	11/11/2020	LOAN RECEIVABL		3,621.	3,621.	
Property with built-in loss						
Totals				3,621.	3,621.	
 (including a branch till If "Yes," continue to c Immediately after the transferee foreign configures," continue to d Enter the transferred 13 Did the transferor transfer If "No," skip Section 	2b. domestic corporation hat is a foreign disrega line 12c. If "No," skip e transfer, was the dor rporation? line 12d. If "No," skip l loss amount included ansfer property describ C and questions 14a	through 15.	all of the %-owned line 13. reholder v	assets of a foreign b foreign corporation? vith respect to the	ranch	Yes X Yes □ Yes □ Yes □ Yes X
Section C - Intangib	e Property Subje	ect to Section 367(d)				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer	(e) Cost or other basis	(f) Income inclusio year of transt
Property described in sec. 367(d)(4)						

Form 926 (Rev. 11-2018)

47 - 3997648

(d) Cost or other basis

(c) Fair market value on date of transfer Page 2

(e) Gain recognized on transfer

124532 04-01-21

Totals

Form 926 (Rev. 11-2018) WCP NEWCOLD, LP

Section A - Cash

Type of property

Part III Information Regarding Transfer of Property (see instructions)

(b) Description of property

(a) Date of transfer

Form 926 (Rev. 11-2	018) WCP NEWCOLD, LP	47-3997648	Page 3
14 a Did the transfe	eror transfer any intangible property that, at the time of the transfer, had a useful life		
	ticipated to exceed 20 years?	Yes	No
	the transfer, did any of the transferred intangible property have an indefinite useful life?		
	eror choose to apply the 20-year inclusion period provided under Regulations section		
	(ii) for any intangible property?	Yes	No
	o line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	le property's, or properties', as applicable, use(s) beyond the 20 year period described in		
	ection 1.367(d)-1(c)(3)(ii) ▶\$		
	gible property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafte	r, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Supplemental F	Part III Information Required To Be Reported (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
Part IV Additi	onal Information Regarding Transfer of Property (see instructions)		
16 Enter the trans	sferor's interest in the transferee foreign corporation before and after the transfer.		
16 Enter the trans			
16 Enter the trans (a) Before _	sferor's interest in the transferee foreign corporation before and after the transfer.		
 16 Enter the trans (a) Before	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After $.000$ %		
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether 	sferor's interest in the transferee foreign corporation before and after the transfer. .000 % (b) After000 % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following.	 Yes	X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate whether a Gain recognitie 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After000 % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3)		X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti 	sferor's interest in the transferee foreign corporation before and after the transfer. 000_% (b) After000_% cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F)	Yes	
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture under 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d)		X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) bon under section 904(f)(5)(F) der section 1503(d) h under section 987	Yes 	X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gair 19 Did this transf 	sferor's interest in the transferee foreign corporation before and after the transfer. $\begin{array}{c} .000 \\ .000 $	Yes Yes Yes Yes Yes	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonre 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. 	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) \blacktriangleright IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c.	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. bon under section 904(f)(3) con under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) illete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesting f "Yes," comp b Enter the total c Did the domesting 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domest property was 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) lete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)·2(b) tic corporation not recognize gain or loss on the distribution of property because the used in the conduct of U.S. trade or business under Regulations section 1.367(e)·2(b)(2)?	Yes 	X No X No X No X No X No
 16 Enter the trans (a) Before 17 Type of nonree 18 Indicate wheth a Gain recogniti b Gain recogniti c Recapture und d Exchange gain 19 Did this transf 20 a Did a domesti If "Yes," comp b Enter the total c Did the domestore property was a 21 Did a domesti 	sferor's interest in the transferee foreign corporation before and after the transfer. <u>.000</u> % (b) After <u>.000</u> % cognition transaction (see instructions) ▶ IRC SECTION 351 her any transfer reported in Part III is subject to any of the following. on under section 904(f)(3) on under section 904(f)(5)(F) der section 1503(d) in under section 987 er result from a change in entity classification? c corporation make a distribution of property covered by section 367(e)(2)? (see instructions) blete lines 20b and 20c. amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) stic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

OMB No. 1545-0026

Attachment 100

Internal Revenue Service Attach to your income tax return for the year of the transfer or di	stribution.	Sequence	e No. 128
Part I U.S. Transferor Information (see instructions)			
Name of transferor		Identifying numb	er (see instructions)
			(,
WCP NEWCOLD, LP		47-3997648	
· · · ·			v
1 Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corpora	tion?	Yes	X No
2 If the transferor was a corporation, complete questions 2a through 2d.			
a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by		
five or fewer domestic corporations?		Yes	XNO
		=	
		165	
If not, list the controlling shareholder(s) and their identifying number(s).			
Controlling shareholder	In	lentifying number	
c If the transferor was a member of an affiliated group filing a consolidated return, was it the parent c	orporation?	Yes	No No
If not, list the name and employer identification number (EIN) of the parent corporation.			
······································			
Name of parent corporation	EIN d	of parent corporat	ion
d Have basis adjustments under section 367(a)(4) been made?		Yes	X No
3 If the transferor was a partner in a partnership that was the actual transferor (but is not treated as s	uch under sect	tion (367)	
		don oory,	
complete questions 3a through 3d.			
a List the name and EIN of the transferor's partnership.			
Name of partnership	F	IN of partnership	
	-		
WCP NEWCOLD LP	47-399764	8	
			X No
c Is the partner disposing of its entire interest in the partnership?		Yes	X No
d Is the partner disposing of an interest in a limited partnership that is regularly traded on an establish	hed		
securities market?		Yes	X No
Part II Transferee Foreign Corporation Information (see instructions)			
4 Name of transferee (foreign corporation)	5a	Identifying num	er if any
		racitarying name	
NEWCOLD RENNES HOLDING BV			
6 Address (including country)	5b	Reference ID nur	nber
GRAVINNEN VAN NASSAUBOULEVARD 105			
BREDA, 4811 BN NETHERLANDS	NI NI	RHB	
	I		
NL			
8 Foreign law characterization (see instructions)			
LIMITED LIABILITY COMPANY			
9 Is the transferee foreign corporation a controlled foreign corporation?		X Yes	No
124531 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.			(Rev. 11-2018)
		1 OIIII 320	
TO 3			

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Section B - Other Pr	roperty (other th	an intangible property	subject to section 36	j7(d))	
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized or transfer
tock and ecurities					
ventory					
	10/21/2020	LOAN RECEIVABL	21,011.	21,011.	
ther property					
ot listed under					
nother category)					
roperty with					
uilt-in loss					
otals			21,011.	21,011.	
If "Yes." go to line 12				L	Yes X No
 (including a branch the first optimized in the first optimi	2b. a domestic corporation that is a foreign disreg line 12c. If "No," skip e transfer, was the do prporation? line 12d. If "No," skip d loss amount include	n that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)?	ly all of the assets of a foreig 0%-owned foreign corporation o line 13. areholder with respect to the	n branch on? [_ Yes X No _ Yes _ No _ Yes _ No _ Yes X No
 b Was the transferor a (including a branch the first optimized including a branch the first optimized including a branch the first optimized including a branch the transferee foreign could first optimized in the transferee foreign could be fore the transferred a bid the transferred a bid the transferred first optimized in the transferred first optimized in the transferred first optimized in the transferred a bid the transferred	2b. a domestic corporation that is a foreign disreg line 12c. If "No," skip e transfer, was the do prporation?	n that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)?	y all of the assets of a foreig 0%-owned foreign corporation o line 13. areholder with respect to the d under section 91 ▶\$	n branch on? [Yes No
 b Was the transferor a (including a branch the first of the f	2b. a domestic corporation that is a foreign disreg line 12c. If "No," skip e transfer, was the do prporation? line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15.	y all of the assets of a foreig 0%-owned foreign corporation o line 13. areholder with respect to the d under section 91 ▶ \$	n branch on? [Yes No
 b Was the transferor a (including a branch the first optimized including a branch the first optimized including a branch the first optimized including a branch the transferee foreign could first optimized in the transferee foreign could be foreign could be transferee forei	2b. a domestic corporation that is a foreign disreg line 12c. If "No," skip e transfer, was the do prporation?	n that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15.	y all of the assets of a foreig 0%-owned foreign corporation o line 13. areholder with respect to the d under section 91 ▶\$	n branch on? [e 	Yes No
 b Was the transferor a (including a branch the final of the f	2b. a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do proporation? b line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a ble Property Subj (a) Date of	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15. ect to Section 367(d) (b) Description of	y all of the assets of a foreig 0%-owned foreign corporation of line 13. areholder with respect to the d under section 91 ▶ \$ (c) (d) Useful Arm's length pri	n branch on? [e 	Yes No
 b Was the transferor a (including a branch the first or the transfere a branch the transfere for the transfere for the transfere for the transfere a branch the transfere a branch the transfere a branch the transfer a branch the trans	2b. a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do proporation? b line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a ble Property Subj (a) Date of	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15. ect to Section 367(d) (b) Description of	y all of the assets of a foreig 0%-owned foreign corporation of line 13. areholder with respect to the d under section 91 ▶ \$ (c) (d) Useful Arm's length pri	n branch on? [e 	Yes No
 b Was the transferor a (including a branch the first of the f	2b. a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do proporation? b line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a ble Property Subj (a) Date of	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15. ect to Section 367(d) (b) Description of	y all of the assets of a foreig 0%-owned foreign corporation of line 13. areholder with respect to the d under section 91 ▶ \$ (c) (d) Useful Arm's length pri	n branch on? [e 	Yes No
 b Was the transferor a (including a branch t If "Yes," continue to c Immediately after the transferee foreign co If "Yes," continue to d Enter the transferred 13 Did the transferor tra If "No," skip Section 	2b. a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do proporation? b line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a ble Property Subj (a) Date of	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15. ect to Section 367(d) (b) Description of	y all of the assets of a foreig 0%-owned foreign corporation of line 13. areholder with respect to the d under section 91 ▶ \$ (c) (d) Useful Arm's length pri	n branch on? [e 	Yes No
 b Was the transferor a (including a branch t If "Yes," continue to c Immediately after the transferee foreign cc If "Yes," continue to d Enter the transferred 3 Did the transferor tra If "No," skip Section Section C - Intangib Type of property 	2b. a domestic corporation that is a foreign disreg b line 12c. If "No," skip e transfer, was the do proporation? b line 12d. If "No," skip d loss amount include ansfer property descri a C and questions 14a ble Property Subj (a) Date of	that transferred substantial parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. sh line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)? through 15. ect to Section 367(d) (b) Description of	y all of the assets of a foreig 0%-owned foreign corporation of line 13. areholder with respect to the d under section 91 ▶ \$ (c) (d) Useful Arm's length pri	n branch on? [e 	Yes No

Totals

Form 926 (Rev. 11-2018)

124532 04-01-21

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Form 926 (Rev. 11-2018) WCP NEWCOLD, LP

Section A - Cash

Type of property

Part III Information Regarding Transfer of Property (see instructions)

(b) Description of property

(a) Date of transfer **(d)** Cost or other basis

(c) Fair market value on date of transfer Page 2

(e) Gain recognized on transfer

Form 9	026 (Rev. 11-2018) WCP NEWCOLD, LP	47-3997648	Page 3
14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
I	reasonably anticipated to exceed 20 years?	Yes	🗌 No
b,	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?	Yes	🗌 No
cl	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	🗌 No
d	f the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
1	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
I	Regulations section 1.367(d)-1(c)(3)(ii) ▶ \$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
1	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No No
Supp	lemental Part III Information Required To Be Reported (see instructions)		
Part	V Additional Information Regarding Transfer of Property (see instructions)		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ %		
16 (17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351		
16 (17 ⁻ 18 (Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ % Type of nonrecognition transaction (see instructions) IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following.		
16 (17 ⁻ 18 (a (Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No
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16 (17 ⁻ 18 (a (b (c)	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_% (b) After000_% Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d)		X No X No
16 (17 ⁻ 18 b (c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987		X No X No X No X No
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16 (17 ⁻ 18 b (c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes Yes	X No X No X No
16 (17 ⁻ 18 b (c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification?	Yes	X No X No X No X No X No
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16 (17 - 18 (b (c) 19 (20 a) b (c)	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_% (b) After000_% Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) f "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)		X No X No X No X No X No
16 (17 - 18 4 (c 19 20 a c c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) f "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)·2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No

OMB No. 1545-0026

Attachment 100

Interna	■ Attach to your income tax return for the year of the transfer or distributi	on.	Sequence	No. 120
Par				
Name	e of transferor		Identifying number	r (see instructions)
WC	P NEWCOLD, LP		47-3997648	
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?		Yes	X No
2	If the transferor was a corporation, complete questions 2a through 2d.			
	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by			
	five or fewer domestic corporations?		Yes	X No
b	Did the transferor remain in existence after the transfer?			
~	If not, list the controlling shareholder(s) and their identifying number(s).			
	Controlling shareholder	Iden	tifying number	
С	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporate	ion?	Ves	No
	If not, list the name and employer identification number (EIN) of the parent corporation.			
	Name of parent corporation	FIN of r	parent corporation	n
d	Have basis adjustments under section 367(a)(4) been made?		Ves	X No
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such und	der sectior	n 367),	
	complete questions 3a through 3d.			
а	List the name and EIN of the transferor's partnership.			
	None of newtroughin	EINI	of a cata cachia	
	Name of partnership	EIN	of partnership	
WC	P NEWCOLD LP 47-3	8997648		
b	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?		🗌 Yes	X No
с	Is the partner disposing of its entire interest in the partnership?		Yes	X No
d	Is the partner disposing of an interest in a limited partnership that is regularly traded on an established			
	securities market?		Yes	X No
Par	rt II Transferee Foreign Corporation Information (see instructions)			
4	Name of transferee (foreign corporation)	5a lo	lentifying numbe	er, if any
			, ,	, ,
TR	ANSPORT LERAY SAS			
6	Address (including country)	5 b B	eference ID numb	Der
	A FORET			
LA B	IGNON, 44140 FRANCE	TLS		
7	Country code of country of incorporation or organization			
FR	oundy body of boundy of moorpolation of organization			
	Foreign law characterization (see instructions)			
8 T.TI	Foreign law characterization (see instructions) MITED LIABILITY COMPANY			
			X Yes	
9	Is the transferee foreign corporation a controlled foreign corporation?			
124531	1 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.		⊦orm 926 (R	lev. 11-2018)
	186			

14041109 147228 133621-0

2021.05000 NORTHERN ILLINOIS UNIVERS 133621-2

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and					
securities					
nventory					
	03/18/2020	LOAN RECEIVABL	303.	303.	
ther property	03/31/2020	LOAN RECEIVABL	911.	911.	
ot listed under					
nother category)					
roperty with					
uilt-in loss					
otals			1,214.	1,214.	
 If "Yes," continue to I c Immediately after the transferee foreign con If "Yes," continue to I d Enter the transferred D Did the transferor transfer If "No," skip Section 0 	ine 12c. If "No," ski transfer, was the de poration? ine 12d. If "No," ski loss amount include nsfer property desci C and questions 14		o line 13. areholder with respect to the	ء [Yes No
ection C - Intangibi	e Property Sub				
Type of	(a) Date of	(b) Description of	(c) (d) Useful Arm's length prio	(e) Cost or other	(f) Income inclusion fo
property	transfer	property	life on date of transf		year of transfer
roperty described					
sec. 367(d)(4)					
					_

If "Yes," skip the remainder of Part III and go to Part IV.

124532 04-01-21

14041109 147228 133621-0

Totals

Form 926 (Rev. 11-2018)

Part III Information Regarding Transfer of Property (see instructions) Section A - Cash

Section A - Cash					
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					

Form 926 (Rev. 11-2018) WCP NEWCOLD, LP

47 - 3997648

Yes

Page 2

X No

Form 9	026 (Rev. 11-2018) WCP NEWCOLD, LP	47-3997648	Page 3
14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
I	reasonably anticipated to exceed 20 years?	Yes	🗌 No
b,	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?	Yes	🗌 No
cl	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	🗌 No
d	f the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
1	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
I	Regulations section 1.367(d)-1(c)(3)(ii) ▶ \$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
1	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Supp	lemental Part III Information Required To Be Reported (see instructions)		
Part	IV Additional Information Regarding Transfer of Property (see instructions)		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ %		
16 (17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351		
16 (17 18 (Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ % Type of nonrecognition transaction (see instructions) IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following.		
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