Public Disclosure Copy

Form 990

PLEASE SIGN THIS COPY AND RETAIN FOR YOUR RECORDS

Public Inspection Requirement

An exempt organization must make available for public inspection, upon request and without charge, a copy of its original and amended annual information returns. Each information return must be made available from the date it is required to be filed (determined without regard to any extensions), or is actually filed, whichever is later. An original return does not have to be made available if more than 3 years have passed from the date the return was required to be filed (including any extensions) or was filed, whichever is later. An amended return does not have to be made available if more than 3 years have passed from the date it was filed.

An annual information return includes an exact copy of the return (Form 990 or 990–EZ and amended return, if any) and all schedules, attachments, and supporting documents filed with the IRS. In the case of a tax-exempt organization other than a private foundation, the names and addresses of contributors to the organization need not be disclosed, and Schedule B has been redacted accordingly.

For returns filed by Section 501(c)(3) organizations after August 17, 2006, Form 990-T must also be made available for public inspection. However, only those schedules, statements, and attachments to Form 990-T that relate to the imposition of the unrelated business income tax must be made available for public inspection.

This copy of the return is provided only for Public Disclosure purposes. Any confidential information regarding donors, and schedules or attachments to Form 990-T that do not relate to the calculation of unrelated business income tax, have been removed.

** PUBLIC DISCLOSURE COPY **

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

Do not enter social security numbers on this form as it may be made public. Department of the Treasury ► Go to www.irs.gov/Form990 for instructions and the latest information. A For the 2021 calendar year, or tax year beginning JUL 1. 2021 and ending JUN 30, 2022 C Name of organization D Employer identification number Check if applicable: Address change NORTHERN ILLINOIS UNIVERSITY FOUNDATION Name change 36-6086819 Initial return E Telephone number Number and street (or P.O. box if mail is not delivered to street address) Room/suite Final return/ termin-ated 815-753-0282 ALTGELD HALL 134 31,144,814. City or town, state or province, country, and ZIP or foreign postal code **G** Gross receipts \$ Amended return DEKALB, IL 60115 H(a) Is this a group return Applica-tion pending F Name and address of principal officer: CATHERINE B SQUIRES, CFRE for subordinates? Yes X No SAME AS C ABOVE **H(b)** Are all subordinates included? Yes Tax-exempt status: X 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or If "No," attach a list. See instructions J Website: ► WWW.NIUFOUNDATION.ORG **H(c)** Group exemption number ▶ K Form of organization: X Corporation Trust Association Other > L Year of formation: 1949 M State of legal domicile: IL Part I Summary Briefly describe the organization's mission or most significant activities: TO SECURE AND MANAGE PRIVATE Governance SUPPORT TO BENEFIT NORTHERN ILLINOIS UNIVERSITY. if the organization discontinued its operations or disposed of more than 25% of its net assets. 21 3 Number of voting members of the governing body (Part VI, line 1a) 3 21 Number of independent voting members of the governing body (Part VI, line 1b) 4 4 Activities & 0 Total number of individuals employed in calendar year 2021 (Part V, line 2a) 5 Total number of volunteers (estimate if necessary) 1546 6 237 501. 7 a Total unrelated business revenue from Part VIII, column (C), line 12 **b** Net unrelated business taxable income from Form 990-T, Part I, line 11 81,275. 7h **Prior Year Current Year** 14,763,497. 19,050,901. Contributions and grants (Part VIII, line 1h) 8 Revenue 855,545. 1,646,922. Program service revenue (Part VIII, line 2g) 3,636,912 10,172,266. Investment income (Part VIII, column (A), lines 3, 4, and 7d) 10 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) 513,062 261,565. 11 19,769,016, 31,131,654. Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) 12 5,671,066. 6,205,814. Grants and similar amounts paid (Part IX, column (A), lines 1-3) 14 Benefits paid to or for members (Part IX, column (A), line 4) 0. Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) 0. 15 Expenses 16a Professional fundraising fees (Part IX, column (A), line 11e) 0. **b** Total fundraising expenses (Part IX, column (D), line 25) 3,645,081. 4,821,253. 17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) 9,316,147. 11,027,067. 18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) 10,452,869. 20,104,587. Revenue less expenses. Subtract line 18 from line 12 **Beginning of Current Year** 5 **End of Year** 170,425,866. 169,106,712. Total assets (Part X, line 16) 13,190,168, 13,730,241. 21 Total liabilities (Part X, line 26) 三年 157,235,698. 155,376,471. Net assets or fund balances. Subtract line 21 from line 20 Part II | Signature Block Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge. $11/11/\overline{22}$ Signature of officer Date Sign CATHERINE B SQUIRES, CFRE, PRESIDENT AND CEO Here Type or print name and title Date PTIN Check Print/Type preparer's name Preparer's signature DORI J. EGGETT DORI J. EGGETT 11/09/22 P00645252 Paid self-employed Firm's name PLANTE & MORAN, PLLC 38-1357951 Preparer Firm's EIN ▶ Firm's address > 8181 E TUFTS AVE, SUITE 600 Use Only Phone no.303-740-9400 DENVER, CO 80237

No

X Yes

May the IRS discuss this return with the preparer shown above? See instructions

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8,081,364.

including grants of \$

Total program service expenses ▶

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)?			
	If "Yes," complete Schedule A	1	Х	
2	Is the organization required to complete Schedule B, Schedule of Contributors? See instructions	2	Х	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for			
	public office? If "Yes," complete Schedule C, Part I	3		х
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect			
•	during the tax year? If "Yes," complete Schedule C, Part II	4	Х	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or			
Ŭ	similar amounts as defined in Rev. Proc. 98-19? If "Yes," complete Schedule C, Part III	5		x
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to	٣		
U	provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I			x
-		6		
7	Did the organization receive or hold a conservation easement, including easements to preserve open space,			x
_	the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7		
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete			
	Schedule D, Part III	8	X	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for			
	amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services?			
	If "Yes," complete Schedule D, Part IV	9		Х
10	Did the organization, directly or through a related organization, hold assets in donor-restricted endowments			
	or in quasi endowments? If "Yes," complete Schedule D, Part V	10	Х	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X,			
	as applicable.			
а	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D,			
	Part VI	11a	Х	
b	Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total			
-	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	Х	
c	Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total			
·	assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c		x
ч	Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in	٠٠		
u		11d		x
_	Part X, line 16? If "Yes," complete Schedule D, Part IX		Х	
	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	Λ	\vdash
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses	١		x
	the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f		
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete			
	Schedule D, Parts XI and XII	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year?			
	If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	Х	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		Х
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business,			
	investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000			
	or more? If "Yes," complete Schedule F, Parts I and IV	14b	Х	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any			
	foreign organization? If "Yes," complete Schedule F, Parts II and IV	15		Х
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to			
	or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16		Х
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX,			
	column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I. See instructions	17		х
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines			
=	1c and 8a? If "Yes," complete Schedule G, Part II	18	Х	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes."			
	,	19		x
20a	complete Schedule G, Part III	20a		x
	• •	20a 20b		
b O4	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	ZUD		\vdash
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or		v	
	domestic government on Part IX, column (A), line 1? If "Yes." complete Schedule I, Parts I and II	21	Х	

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Form 990 (2021) NORTHERN ILLINOIS UNIVERSITY

Part IV | Checklist of Required Schedules (continued)

	Continued)		Yes	No
22	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on		163	NO
	Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	Х	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5, about compensation of the organization's current			
	and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete			
	Schedule J	23	Х	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the			
	last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete			
	Schedule K. If "No," go to line 25a	24a		Х
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
С	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease			
	any tax-exempt bonds?	24c		
	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit			
	transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and			
	that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete	25b		x
26	Schedule L, Part I Did the organization report any amount on Part X, line 5 or 22, for receivables from or payables to any current	230		
20	or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35%			
	controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II	26		x
27	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee,			
	creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled			
	entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III	27		х
28	Was the organization a party to a business transaction with one of the following parties (see the Schedule L, Part IV,			
	instructions for applicable filing thresholds, conditions, and exceptions):			
а	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If			
	"Yes," complete Schedule L, Part IV	28a		X
	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV	28b		Х
С	A 35% controlled entity of one or more individuals and/or organizations described in line 28a or 28b? If			v
20	"Yes," complete Schedule L, Part IV	28c 29	х	X
29 30	Did the organization receive more than \$25,000 in non-cash contributions? <i>If</i> "Yes," <i>complete Schedule M</i> Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation	29		
30	contributions? If "Yes," complete Schedule M	30	х	
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31		х
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If</i> "Yes," <i>complete</i>	-		
	Schedule N, Part II	32		х
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations			
	sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33		х
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and			
	Part V, line 1	34	Х	
	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		Х
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity			
	within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b		—
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization?			
27	If "Yes," complete Schedule R, Part V, line 2	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37		x
38	and that is treated as a partnership for federal income tax purposes? <i>If</i> "Yes," <i>complete Schedule R, Part VI</i> Did the organization complete Schedule O and provide explanations on Schedule O for Part VI, lines 11b and 19?	5,		<u> </u>
3-5	Note: All Form 990 filers are required to complete Schedule O	38	х	
Par				
	Check if Schedule O contains a response or note to any line in this Part V			X
			Yes	No
	Enter the number reported in box 3 of Form 1096. Enter -0- if not applicable			
	Enter the number of Forms W-2G included on line 1a. Enter -0- if not applicable			
С	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming			
	(gambling) winnings to prize winners?	1c	Х	<u> </u>

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Part V Statements Regarding Other IRS Filings and Tax Compliance (continued)

			Yes	No
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements,			
	filed for the calendar year ending with or within the year covered by this return			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?	2b		
	Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file. See instructions.			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Х	
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O	3b	Х	ـــــ
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a			
	financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		Х
b	If "Yes," enter the name of the foreign country			
	See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
С	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit			
	any contributions that were not tax deductible as charitable contributions?	6a		Х
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts			
	were not tax deductible?	6b		
7	Organizations that may receive deductible contributions under section 170(c).	_	77	
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	X	_
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Х	
С	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required	_		۱ ,,
	to file Form 8282?	7c		Х
d	If "Yes," indicate the number of Forms 8282 filed during the year	_		v
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		<u> </u>
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		\vdash
h o	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the	7h		
8		8		
9	sponsoring organization have excess business holdings at any time during the year? Sponsoring organizations maintaining donor advised funds.	8		
а	Did the control of the control of the control of the did the time and the control of the control	9a		
b	Did the sponsoring organization make any taxable distributions under section 4966? Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?	9b		
10	Section 501(c)(7) organizations. Enter:	OD.		
а	Initiation fees and capital contributions included on Part VIII, line 12			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b			
11	Section 501(c)(12) organizations. Enter:			
а	Gross income from members or shareholders			
b	Gross income from other sources. (Do not net amounts due or paid to other sources against			
	amounts due or received from them.)			
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
	If "Yes," enter the amount of tax-exempt interest received or accrued during the year			
13	Section 501(c)(29) qualified nonprofit health insurance issuers.			
а	Is the organization licensed to issue qualified health plans in more than one state?	13a		
	Note: See the instructions for additional information the organization must report on Schedule O.			
b	Enter the amount of reserves the organization is required to maintain by the states in which the			
	organization is licensed to issue qualified health plans			
С	Enter the amount of reserves on hand			
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a		Х
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O	14b		<u> </u>
15	Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or			
	excess parachute payment(s) during the year?	15		Х
	If "Yes," see the instructions and file Form 4720, Schedule N.			
16	Is the organization an educational institution subject to the section 4968 excise tax on net investment income?	16		Х
	If "Yes," complete Form 4720, Schedule O.			
17	Section 501(c)(21) organizations. Did the trust, any disqualified person, or mine operator engage in any			
	activities that would result in the imposition of an excise tax under section 4951, 4952 or 4953?	17		
	If "Yes." complete Form 6069.			

Part VI Governance, Management, and Disclosure. For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

	Check if Schedule O contains a response or note to any line in this Part VI			Х
Sec	tion A. Governing Body and Management			
			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year 21			
	If there are material differences in voting rights among members of the governing body, or if the governing			
	body delegated broad authority to an executive committee or similar committee, explain on Schedule O.			
b	Enter the number of voting members included on line 1a, above, who are independent			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other			
	officer, director, trustee, or key employee?	2	Х	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision			
	of officers, directors, trustees, or key employees to a management company or other person?	3		Х
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4		Х
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5		Х
6	Did the organization have members or stockholders?	6		Х
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or			
	more members of the governing body?	7a		Х
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or			
	persons other than the governing body?	7b		Х
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:			
а	The governing body?	8a	Х	
b	Each committee with authority to act on behalf of the governing body?	8b	Х	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the			
_	organization's mailing address? <i>If</i> "Yes," <i>provide the names and addresses on Schedule</i> O	9		Х
Sec	tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)			
	This occion b requests information about policies not required by the internal nevertide dode.)		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		Х
	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates,			
	and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Х	
b	Describe on Schedule O the process, if any, used by the organization to review this Form 990.			
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	х	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Х	
С	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes." describe			
	on Schedule O how this was done	12c	Х	
13	Did the organization have a written whistleblower policy?	13	Х	
14	Did the organization have a written document retention and destruction policy?	14	Х	
15	Did the process for determining compensation of the following persons include a review and approval by independent			
	persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
а	The organization's CEO, Executive Director, or top management official	15a		Х
b	Other officers or key employees of the organization	15b		Х
	If "Yes" to line 15a or 15b, describe the process on Schedule O. See instructions.			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a			
	taxable entity during the year?	16a		Х
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation			
	in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's			
	exempt status with respect to such arrangements?	16b		
Sec	tion C. Disclosure			
17	List the states with which a copy of this Form 990 is required to be filed ▶CA, IL, NY			
18	Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (section 501(c)(3)s	onlv)	availal	ole
	for public inspection. Indicate how you made these available. Check all that apply.			
	X Own website Another's website X Upon request Other (explain on Schedule O)			
19	Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and	l finan	cial	
	statements available to the public during the tax year.		ui	
20	State the name, address, and telephone number of the person who possesses the organization's books and records			
_5	KYM KOWALSKI - 815-753-1663			
	ALTGELD HALL 134, DEKALB, IL 60115			
	, ,			

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated **Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII

Х

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's current officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See the instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (box 5 of Form W-2, Form 1099-MISC, and/or box 1 of Form 1099-NEC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's former officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

• List all of the organization's former directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See the instructions for the order in which to list the persons above.

(A)	(B)	(C)			ipoi	out	(D)	(E)	(F)	
Name and title	Average	(do		Pos			one	Reportable	Reportable	Estimated
	hours per	box	(do not check more than one box, unless person is both an officer and a director/trustee)		compensation	compensation	amount of			
	week		Cer ai	lu a u	Tecto	I / ii us	lee)	from	from related	other
	(list any hours for	Individual trustee or director						the organization	organizations (W-2/1099-MISC/	compensation from the
	related	e or c	stee			sated		(W-2/1099-MISC/	1099-NEC)	organization
	organizations	truste	al trus		yee	mper		1099-NEC)	1000 (120)	and related
	below	idual	Institutional trustee	-ia	Key employee	est co oyee	e.	'		organizations
	line)	Indiv	Instit	Officer	Key 6	Highest compensated employee	Former			
(1) CATHERINE SQUIRES	40.00									
PRESIDENT AND CEO, SECRETARY	0.00			Х				0.	294,351.	54,683.
(2) MICHAEL J. DAIGLER	40.00									
KEY EMPLOYEE	0.00				Х			0.	177,727.	54,143.
(3) RAYMOND LOWELL JACKSON	40.00									
KEY EMPLOYEE	0.00				Х			0.	196,548.	34,858.
(4) REYNALDO BUSTINZA	40.00									
KEY EMPLOYEE	0.00				Х			0.	182,268.	30,077.
(5) JEAN GODLEWSKI	40.00									
DIRECTOR OF FINANCE & STRATEGIC PRIO	0.00			х				0.	135,944.	35,705.
(6) ADAM NEAL	40.00									
HIGHEST COMPENSATED EMPLOY	0.00					х		0.	123,834.	45,132.
(7) KYM KOWALSKI	40.00									
CONTROLLER	0.00			Х				0.	122,595.	45,366.
(8) KRISTIN CLARK MILLER	40.00									
HIGHEST COMPENSATED EMPLOY	0.00					Х		0.	140,580.	10,432.
(9) MICHAEL C. ADZOVIC	40.00									
HIGHEST COMPENSATED EMPLOY	0.00					Х		0.	104,113.	42,596.
(10) TIMOTHY M. WEBSTER	40.00									
HIGHEST COMPENSATED EMPLOY	0.00					Х		0.	105,386.	40,185.
(11) DANE MCLAUGHLIN WALKINGTON	40.00									
HIGHEST COMPENSATED EMPLOY	0.00					Х		0.	102,297.	39,069.
(12) MELISSA NIGRO	40.00									
SECRETARY (TERM ENDED NOV '21)	0.00			Х				0.	88,718.	38,692.
(13) JOHN TIERNEY	2.00									
BOARD CHAIR	0.00	х		Х				0.	0.	0.
(14) CYNTHIA CROCKER	2.00									
VICE CHAIR	0.00	х		Х				0.	0.	0.
(15) DAVID HEIDE	2.00									
TREASURER	0.00	х		х				0.	0.	0.
(16) STACEY BARSEMA	1.00									
DIRECTOR	0.00	х						0.	0.	0.
(17) WILLIAM BOSTON	1.00									
DIRECTOR	0.00	х						0.	0.	0.
132007 12-09-21	-	-	-	-	-	•				Form 990 (2021)

Form **990** (2021) 132007 12-09-21

1 0111 000 (2021)	ILLINOIS UNIVE	кот	II.	r 001	NDA	110.	IN		36-608681	9 Page C
Part VII Section A. Officers, Directors,	Trustees, Key Emp	loy	ees,	and	l Hig	ghes	t Co	ompensated Employee	s (continued)	
(A)	(B)			(C				(D)	(E)	(F)
Name and title	Average hours per week	Position (do not check more than box, unless person is bot officer and a director/trus				than d s both	an	Reportable compensation from	Reportable compensation from related	Estimated amount of other
	(list any hours for related organizations below line)	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	the organization (W-2/1099-MISC/ 1099-NEC)	organizations (W-2/1099-MISC/ 1099-NEC)	compensation from the organization and related organizations
(18) BRENT BRODESKI	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(19) WHEELER COLEMAN	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(20) CAROL CRENSHAW	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(21) JOHN THOMAS FUTRELL	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(22) KENNETH GREISMAN	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(23) ANTHONY KAMBICH	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(24) JEFFREY LIESENDAHL	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(25) CHERILYN MURER, JD, CR	1.00									
DIRECTOR	0.00	Х						0.	0.	0.
(26) JAMES PICK	1.00									
DIRECTOR	0.00	х						0.	0.	0.
1b Subtotal	•							0.	1,774,361.	470,938.
c Total from continuation sheets to Pa	rt VII, Section A						•	0.	0.	0.
d Total (add lines 1b and 1c)								0.	1,774,361.	470,938.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization

3 Did the organization list any former officer, director, trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual

4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual

5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person

5 X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
NATIONAL PUBLIC RADIO	2334,33333	
PO BOX 79540, BALTIMORE, MD 79540	TOWER/RADIO STATION	250,453.
MARTS & LUNDY INC		
160 CHUBB AVE , LYNDHURST, NJ 07071	CAMPAIGN CONSULTANT	213,961.
FUND EVALUATION GROUP LLC		
PO BOX 639176, CINCINNATI, OH 45263	INVESTMENT MANAGEMENT	166,662.
EVER TRUE INC		
330 CONGRESS ST FL 2, BOSTON, MA 02210	CONSULTANT/SOFTWARE	138,204.
MUCH SHELIST , 191 N UPPER WACKER DR SUITE		
1800, CHICAGO, IL 60606	LEGAL	119,202.
2 Total number of independent contractors (including but not limited	to those listed above) who received more than	
\$100,000 of compensation from the organization	5	
·		000

SEE PART VII, SECTION A CONTINUATION SHEETS

Form 990 NORTHERN ILL:	36-6086819											
Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)												
(A) (B) (C) (D) (E) (F)												
Name and title	Average			Pos	ition	ı		Reportable	Reportable	Estimated		
	hours	(cl	(check all that apply			app	ly)	compensation	compensation	amount of		
	per week (list any hours for related organizations below	Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	from the organization (W-2/1099-MISC)	from related organizations (W-2/1099-MISC)	other compensation from the organization and related organizations		
	line)	pul	ısı	90	Ke	훈	윤					
(27) MANNY SANCHEZ	1.00								_			
DIRECTOR	0.00	Х	_					0.	0.	0.		
(28) RICH ESCALANTE	1.00											
DIRECTOR	0.00	Х						0.	0.	0.		
(29) PETE GARRITY	1.00								_			
DIRECTOR	0.00	Х	_					0.	0.	0.		
(30) JOSEPH SENER	1.00	Į.							_	_		
DIRECTOR (31) RAY BANKS	0.00 1.00	Х	_					0.	0.	0.		
DIRECTOR	0.00	х						0.	0.			
(32) SOPHIA ARNOLD	1.00	Λ						0.	٠.	0.		
STUDENT DIRECTOR	0.00	Х						0.	0.	0.		
(33) ISABELLE VONDRA	1.00	21						· · ·	· ·	, ·		
STUDENT DIRECTOR	0.00	х						0.	0.	0.		
(34) MICHAEL CULLEN	2.00								•	•		
BOARD CHAIR- PART YEAR	0.00	х						0.	0.	0.		
Total to Part VII, Section A, line 1c												

Form 990 (2021) NORTHERN II
Part VIII Statement of Revenue

			Check if Schedule O contains a	response o	or note to any lin	e in this Part VIII			
			Chock ii Concadie C Contains a	Тооронос С	or mote to arry in	(A)	(B)	(C)	(D)
						Total revenue	Related or exempt	Unrelated	Revenue excluded
							function revenue	business revenue	from tax under sections 512 - 514
"	_	_	Fadanatad assessinas	Ta ₂					300010113 0 12 0 14
Contributions, Gifts, Grants and Other Similar Amounts	1		Federated campaigns	1a	39,248.				
Sign of			Membership dues	1b					
ts, An			Fundraising events	1c	12,110.				
igif ilar			Related organizations	1d					
ns, Sim			Government grants (contributions)	1e					
e ë		f	All other contributions, gifts, grants, and	1 1	10 000 543				
έŧ			similar amounts not included above \dots	1f	18,999,543.				
ont od (_	Noncash contributions included in lines 1a-1f	1g \$	142,886.	10 050 001			
<u>0</u> <u>8</u>		h	Total. Add lines 1a-1f		D	19,050,901.			
					Business Code	T42.600	742.600		
ce	2		SERVICE CONTRACT		900099	743,600.	743,600.		
ervi		-	TRAVEL REVENUE		900099	460,876.	409,436.	51,440.	
S		•	EVENT REVENUE		900099	362,400.	362,400.		
ran Sev		d	QUID PRO QUO ON GIFTS		900099	80,046.	80,046.		
Program Service Revenue		е							
<u>-</u>			All other program service revenue .						
		g	Total. Add lines 2a-2f			1,646,922.			
	3		Investment income (including divide						
		other similar amounts)				3,023,924.		59,581.	2,964,343.
	4		Income from investment of tax-exer	npt bond pi	roceeds				
	5		Royalties			38,096.			38,096.
				(i) Real	(ii) Personal				
	6	а	Gross rents 6a	1,805.					
		b	Less: rental expenses 6b	0.					
		С	Rental income or (loss) 6c	1,805.					
		d	Net rental income or (loss)			1,805.			1,805.
	7	а	Gross amount from sales of (i) 5	Securities	(ii) Other				
			assets other than inventory 7a 7,	148,342.					
		b	Less: cost or other basis						
ne			and sales expenses 7b	0.					
her Revenue		С	Gain or (loss) 7c 7,	148,342.					
Re			Net gain or (loss)	<u></u>		7,148,342.			7,148,342.
ē	8	а	Gross income from fundraising events (not					
₹			including \$12,110.	_ of					
			contributions reported on line 1c). S	See					
			Part IV, line 18	8a	25,347.				
		b	Less: direct expenses	8b	13,160.				
		С	Net income or (loss) from fundraisin	g events	>	12,187.			12,187.
	9	а	Gross income from gaming activitie	s. See					
			Part IV, line 19	9a					
		b	Less: direct expenses						
		С	Net income or (loss) from gaming ad	ctivities	>				
	10	а	Gross sales of inventory, less return	ıs					
			and allowances 10a						
		b	Less: cost of goods sold						
		С	Net income or (loss) from sales of in	ventory					
,,					Business Code				
ous	11	а	AFFINITY PROGRAMS		900099	126,480.		126,480.	
Miscellaneous Revenue		b	COMMISSION REVENUE		900099	82,997.			82,997.
elk		С							
lsc B		d	All other revenue						
2			Total. Add lines 11a-11d		.	209,477.			
	12		Total revenue. See instructions			31,131,654.	1,595,482.	237,501.	10,247,770.

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36-6086819

Part IX | Statement of Functional Expenses

Sect	ion 501(c)(3) and 501(c)(4) organizations must compl	ete all columns. All othe	r organizations must con	nplete column (A).	
	Check if Schedule O contains a respons				X
	not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to domestic organizations		,		
	and domestic governments. See Part IV, line 21	3,449,870.	3,449,870.		
2	Grants and other assistance to domestic				
	individuals. See Part IV, line 22	2,755,944.	2,755,944.		
3	Grants and other assistance to foreign				
	organizations, foreign governments, and foreign				
	individuals. See Part IV, lines 15 and 16				
4	Benefits paid to or for members				
5	Compensation of current officers, directors,				
	trustees, and key employees				
6	Compensation not included above to disqualified				
	persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7	Other salaries and wages				
8	Pension plan accruals and contributions (include				
	section 401(k) and 403(b) employer contributions)				
9	Other employee benefits				
10	Payroll taxes				
11	Fees for services (nonemployees):				
а	Management				
b	Legal	137,598.		134,751.	2,847.
С		30,810.		30,810.	
d					
е	Professional fundraising services. See Part IV, line 17				
f	Investment management fees	134,488.		134,488.	
g	Other. (If line 11g amount exceeds 10% of line 25,				
	column (A), amount, list line 11g expenses on Sch O.)	867,784.	170,872.	282,326.	414,586.
12	Advertising and promotion	5,537.	2,690.	1,500.	414,586. 1,347.
13	Office expenses	504,159.	172,089.	171,427.	160,643.
14	Information technology				
15	Royalties				
16	Occupancy				
17	Travel	484,257.	417,227.	20,109.	46,921.
18	Payments of travel or entertainment expenses				
	for any federal, state, or local public officials				
19	Conferences, conventions, and meetings	360,207.	275,093.	58,741.	26,373.
20	Interest				
21	Payments to affiliates				
22	Depreciation, depletion, and amortization	620,175.	618,155.	1,010.	1,010.
23	Insurance	72,437.		72,437.	
24	Other expenses. Itemize expenses not covered above. (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A), amount, list line 24e expenses on Schedule 0.)				
а	FDN SUPPORT FOR SERVICE	1,411,682.	117,809.	734,654.	559,219.
b	EQUIPMENT & MAINTENANCE	90,642.	27,547.	54,770.	8,325.
c	OTHER EXPENSES	52,053.	28,512.	17,099.	6,442.
d	TAXES	49,424.	45,556.	3,868.	, -
e	All	, -	,	, 1	
25	Total functional expenses. Add lines 1 through 24e	11,027,067.	8,081,364.	1,717,990.	1,227,713.
26	Joint costs. Complete this line only if the organization	. ,	. ,	, ,	
-	reported in column (B) joint costs from a combined				
	educational campaign and fundraising solicitation.				
	Check here if following SOP 98-2 (ASC 958-720)				

Form 990 (2021)
Part X Balance Sheet

10a Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D	ent or former offices substantial control of these persons cribed in section of these persons cribed in section of the section	eer, director, butor, or 35% s (as defined 4958(c)(3)(B)	(A) Beginning of year 5,251,140. 4,703,558. 33,197.	1 2 3 4 5 5 6 7 8 9 9	(B) End of year 5,216,123 4,374,267 33,140	
 Savings and temporary cash investments Pledges and grants receivable, net Accounts receivable, net Loans and other receivables from any curr trustee, key employee, creator or founder, controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any or family member of family member of any or family member of family member of any or family member of family membe	ent or former office substantial control these persons equalified persons cribed in section wither 10a 10b	eer, director, butor, or 35% s (as defined 4958(c)(3)(B)	Beginning of year 5,251,140. 4,703,558. 33,197.	2 3 4 5 6 7 8	End of year 5,216,123 4,374,267 33,140	
 Savings and temporary cash investments Pledges and grants receivable, net Accounts receivable, net Loans and other receivables from any curr trustee, key employee, creator or founder, controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any or family member of family member of any or family member of family member of any or family member of family membe	ent or former office substantial control these persons equalified persons cribed in section wither 10a 10b	eer, director, butor, or 35% s (as defined 4958(c)(3)(B)	4,703,558.	2 3 4 5 6 7 8	4,374,267	
 Savings and temporary cash investments Pledges and grants receivable, net Accounts receivable, net Loans and other receivables from any curr trustee, key employee, creator or founder, controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any or family member of family member of any or family member of family member of any or family member of family membe	ent or former office substantial control these persons equalified persons cribed in section wither 10a 10b	eer, director, butor, or 35% s (as defined 4958(c)(3)(B)	33,197.	3 4 5 6 7 8	33,140	
 3 Pledges and grants receivable, net 4 Accounts receivable, net 5 Loans and other receivables from any currestrustee, key employee, creator or founder, controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any of the controlled entity or family member of any or family member of family member of any or family member of fam	ent or former office substantial control of these persons equalified persons cribed in section of the section o	eer, director, butor, or 35% s (as defined 4958(c)(3)(B)	33,197.	5 6 7 8	33,140	
 4 Accounts receivable, net 5 Loans and other receivables from any curre trustee, key employee, creator or founder, controlled entity or family member of any of the Loans and other receivables from other discurder section 4958(f)(1)), and persons des 7 Notes and loans receivable, net 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D b Less: accumulated depreciation 11 Investments - publicly traded securities 12 Investments - other securities. See Part IV 	ent or former offic substantial control these persons equalified persons cribed in section ther 10a 10b	cer, director, butor, or 35% s (as defined 4958(c)(3)(B)		5 6 7 8		
 Loans and other receivables from any curr trustee, key employee, creator or founder, controlled entity or family member of any of Loans and other receivables from other disunder section 4958(f)(1)), and persons des Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV 	ent or former offic substantial control these persons equalified persons cribed in section there 10a 10b	eer, director, butor, or 35%	525,702.	6 7 8	311,30	
controlled entity or family member of any of Loans and other receivables from other dis under section 4958(f)(1)), and persons des Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV	of these persons squalified persons cribed in section ther 10a 10b	28,817,935.	525,702.	6 7 8	311,30	
 Loans and other receivables from other disunder section 4958(f)(1)), and persons des Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV 	squalified persons cribed in section ther 10a 10b	28,817,935.	525,702.	6 7 8	311,30	
under section 4958(f)(1)), and persons des Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV	ther 10a	4958(c)(3)(B) 28,817,935.	525,702.	7 8	311,30	
 Notes and loans receivable, net Inventories for sale or use Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV 	ther 10a 10b	28,817,935.	525,702.	7 8	311,30	
 8 Inventories for sale or use 9 Prepaid expenses and deferred charges 10a Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D b Less: accumulated depreciation 11 Investments - publicly traded securities 12 Investments - other securities. See Part IV 	10a 10b	28,817,935.	525,702.	8	311,30	
 Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV 	ther 10a 10b	28,817,935.	525,702.		311,30	
 Prepaid expenses and deferred charges Land, buildings, and equipment: cost or or basis. Complete Part VI of Schedule D Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV 	ther 10a 10b	28,817,935.	525,702.	9	311,30	
basis. Complete Part VI of Schedule D b Less: accumulated depreciation Investments - publicly traded securities Investments - other securities. See Part IV	10a 10b					
b Less: accumulated depreciation 11 Investments - publicly traded securities 12 Investments - other securities. See Part IV	10b					
Investments - publicly traded securitiesInvestments - other securities. See Part IV						
12 Investments - other securities. See Part IV		7,255,541.	21,994,224.	10c	21,562,39	
			125,852,222.	11	114,739,70	
13 Investments - program-related See Part IV	, line 11		12,045,691.	12	22,849,19	
. •			13			
				14		
15 Other assets. See Part IV, line 11			· · · · · · · · · · · · · · · · · · ·	15	20,57	
			· · · · · · · · · · · · · · · · · · ·		169,106,71	
	517,947.		547,48			
,	Escrow or custodial account liability. Complete Part IV of Schedule D					
	·					
				24		
• • •						
•	n lines 17-24). Col	npiete Part X	12 672 221	0.5	13,182,75	
					13,730,24	
			13,130,100.	26	13,730,24	
	s, cneck nere					
• • • •			39 611 210	07	36,304,413	
			117,024,400.	20	119,072,058	
_	ASC 956, CHECK I	lere 🕨 🗔				
	•					
			157 235 698		155,376,47	
			· · ·		169,106,71	
15 <u>16</u> 17	Other assets. See Part IV, line 11 Total assets. Add lines 1 through 15 (must Accounts payable and accrued expenses Grants payable Deferred revenue Tax-exempt bond liabilities Escrow or custodial account liability. Com Loans and other payables to any current of trustee, key employee, creator or founder, controlled entity or family member of any of Secured mortgages and notes payable to Unsecured notes and loans payable to und Other liabilities (including federal income to parties, and other liabilities not included or of Schedule D Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 956 and complete lines 27, 28, 32, and 33. Net assets with donor restrictions Net assets with donor restrictions Organizations that do not follow FASB Asc and complete lines 29 through 33. Capital stock or trust principal, or current to Paid-in or capital surplus, or land, building Retained earnings, endowment, accumulated Total net assets or fund balances	Other assets. See Part IV, line 11 Total assets. Add lines 1 through 15 (must equal line 33) Accounts payable and accrued expenses Grants payable Deferred revenue Tax-exempt bond liabilities Escrow or custodial account liability. Complete Part IV of Sc. Loans and other payables to any current or former officer, d. trustee, key employee, creator or founder, substantial contricontrolled entity or family member of any of these persons. Secured mortgages and notes payable to unrelated third partice. Other liabilities (including federal income tax, payables to reparties, and other liabilities not included on lines 17-24). Cor of Schedule D Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33. Net assets with donor restrictions Net assets with donor restrictions Organizations that do not follow FASB ASC 958, check had complete lines 29 through 33. Capital stock or trust principal, or current funds Paid-in or capital surplus, or land, building, or equipment fur Retained earnings, endowment, accumulated income, or other total net assets or fund balances	Other assets. See Part IV, line 11 Total assets. Add lines 1 through 15 (must equal line 33) Accounts payable and accrued expenses Grants payable Deferred revenue Tax-exempt bond liabilities Escrow or custodial account liability. Complete Part IV of Schedule D Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons Secured mortgages and notes payable to unrelated third parties Unsecured notes and loans payable to unrelated third parties Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 958, check here Total liabilities with donor restrictions Net assets with donor restrictions Organizations that do not follow FASB ASC 958, check here and complete lines 29 through 33. Capital stock or trust principal, or current funds Paid-in or capital surplus, or land, building, or equipment fund Retained earnings, endowment, accumulated income, or other funds Total net assets or fund balances	Other assets. See Part IV, line 11 Total assets. Add lines 1 through 15 (must equal line 33) Accounts payable and accrued expenses Grants payable Deferred revenue Tax-exempt bond liabilities Escrow or custodial account liability. Complete Part IV of Schedule D Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons Secured mortgages and notes payable to unrelated third parties Unsecured notes and loans payable to unrelated third parties Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 958, check here ▼ and complete lines 27, 28, 32, and 33. Net assets without donor restrictions Net assets with donor restrictions Organizations that do not follow FASB ASC 958, check here and complete lines 29 through 33. Capital stock or trust principal, or current funds Paid-in or capital surplus, or land, building, or equipment fund Retained earnings, endowment, accumulated income, or other funds Total net assets or fund balances 157, 235, 698.	Other assets. See Part IV, line 11 Total assets. Add lines 1 through 15 (must equal line 33) Accounts payable and accrued expenses 517,947. 17 Grants payable and accrued expenses 517,947. 17 Grants payable 18 Deferred revenue 19 Tax exempt bond liabilities Escrow or custodial account liability. Complete Part IV of Schedule D Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons Secured mortgages and notes payable to unrelated third parties Unsecured notes and loans payable to unrelated third parties Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D 12,672,221. 25 Total liabilities. Add lines 17 through 25 Organizations that follow FASB ASC 958, check here and complete lines 27, 28, 32, and 33. Net assets without donor restrictions 39,611,210. 27 Net assets with donor restrictions 117,624,488. 28 Organizations that do not follow FASB ASC 958, check here and complete lines 29 through 33. Capital stock or trust principal, or current funds Paid-in or capital surplus, or land, building, or equipment fund Retained earnings, endowment, accumulated income, or other funds 157,235,698. 32	

Pa	rt XI Reconciliation of Net Assets								
	Check if Schedule O contains a response or note to any line in this Part XI								
1	Total revenue (must equal Part VIII, column (A), line 12)	1			067.				
2	Total expenses (must equal Part IX, column (A), line 25)								
3	Revenue less expenses. Subtract line 2 from line 1								
4	Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A))	4			814.				
5	5 Net unrealized gains (losses) on investments								
6	Donated services and use of facilities	6							
7	Investment expenses	7							
8	Prior period adjustments	8							
9	Other changes in net assets or fund balances (explain on Schedule O)	9			0.				
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32,								
	column (B))	10	155,	376,	471.				
Pa	rt XII Financial Statements and Reporting								
	Check if Schedule O contains a response or note to any line in this Part XII				X				
				Yes	No				
1	Accounting method used to prepare the Form 990:								
	If the organization changed its method of accounting from a prior year or checked "Other," explain on Schedule O.								
2a	2a Were the organization's financial statements compiled or reviewed by an independent accountant?								
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed	on a							
	separate basis, consolidated basis, or both:								
	Separate basis Consolidated basis Both consolidated and separate basis								
b	7		2b	Х					
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate	basis,							
	consolidated basis, or both:								
	Separate basis X Consolidated basis Both consolidated and separate basis								
С	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the								
	review, or compilation of its financial statements and selection of an independent accountant?		2c	Х					
	If the organization changed either its oversight process or selection process during the tax year, explain on Sch								
За	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Sin	gle Audit							
	Act and OMB Circular A-133?		3a		X				
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required	ed audit							
	or audits, explain why on Schedule O and describe any steps taken to undergo such audits		3b	225	<u> </u>				
			Form	990	(2021)				

132012 12-09-21

SCHEDULE A

(Form 990)

Total

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

Attach to Form 990 or Form 990-EZ.

Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization **Employer identification number** NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Reason for Public Charity Status. (All organizations must complete this part.) See instructions. Part I The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: X An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or 10 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions, subject to certain exceptions; and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 11 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box on lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization. Enter the number of supported organizations Provide the following information about the supported organization(s). (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) No above (see instructions))

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Sec	ction A. Public Support	71	1	,			
Cale	ndar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
	Gifts, grants, contributions, and	, ,			. ,	. ,	.,
	membership fees received. (Do not						
	include any "unusual grants.")	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
2	Tax revenues levied for the organ-						
	ization's benefit and either paid to						
	or expended on its behalf						
3	The value of services or facilities						
	furnished by a governmental unit to						
	the organization without charge						
4	Total. Add lines 1 through 3	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
5	The portion of total contributions						
	by each person (other than a						
	governmental unit or publicly						
	supported organization) included						
	on line 1 that exceeds 2% of the						
	amount shown on line 11,						
	column (f)						10,536.
6	Public support. Subtract line 5 from line 4.						58,834,122.
Sec	ction B. Total Support						
Cale	ndar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
	Amounts from line 4	9,860,686.	8,702,478.	6,467,096.	14,763,497.	19,050,901.	58,844,658.
	Gross income from interest,						
_	dividends, payments received on						
	securities loans, rents, royalties,						
	and income from similar sources	2,503,884.	2,928,583.	2,637,932.	2,185,661.	3,063,825.	13,319,885.
9	Net income from unrelated business	, ,	, ,	, ,		, ,	
Ū	activities, whether or not the						
	business is regularly carried on	0.	283,475.	685,372.	0.	0.	968,847.
10	Other income. Do not include gain		, -	, -	-	-	, -
	or loss from the sale of capital						
	assets (Explain in Part VI.)	359,602.	402,035.	324,948.	190,613.	234,824.	1,512,022.
11	Total support. Add lines 7 through 10	, , , , ,	7			,	74,645,412.
12		etc (see instruction	ine)			12	5,251,380.
	First 5 years. If the Form 990 is for th	•	,	ourth or fifth tax v			7-1-7-1-1
	organization, check this box and stor			•			
Sec	ction C. Computation of Publi						
	Public support percentage for 2021 (li			olumn (f))		14	78.82 %
15						15	78.41 %
	33 1/3% support test - 2021. If the o						
	stop here. The organization qualifies						
b	33 1/3% support test - 2020. If the o						
-	and stop here. The organization qual						
17a	10% -facts-and-circumstances test						
	and if the organization meets the facts	-					
	meets the facts-and-circumstances te			=	•	_	
ŀ	10% -facts-and-circumstances test	-	•		-	7a and line 15 is 1	
	more, and if the organization meets the	-					570 OI
	organization meets the facts-and-circu				-		▶□
12	Private foundation. If the organization						
10	rivate iounidation. Il the organizatio	in ala not check a l	JOA UIT III IE TO, TO	, 100, 17a, 01 17b	, CHECK HIS DOX A	Cohodulo A	

Schedule A (Form 990) 2021

Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Secti	on A. Public Support	now, picase comp	note i art ii.j				
	ar year (or fiscal year beginning in) ▶	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
1 G	ifts, grants, contributions, and lembership fees received. (Do not clude any "unusual grants.")						,,
2 G m fo ar	ross receipts from admissions, lerchandise sold or services per- ormed, or facilities furnished in a pactivity that is related to the reganization's tax-exempt purpose						
ar	ross receipts from activities that re not an unrelated trade or bus- ess under section 513						
iz	ax revenues levied for the organ- ation's benefit and either paid to r expended on its behalf						
fu	ne value of services or facilities irnished by a governmental unit to be organization without charge						
6 T	otal. Add lines 1 through 5					1	
	mounts included on lines 1, 2, and received from disqualified persons						
fro ex	nounts included on lines 2 and 3 received on other than disqualified persons that ceed the greater of \$5,000 or 1% of the nount on line 13 for the year						
c A	dd lines 7a and 7b						
8 P	ublic support. (Subtract line 7c from line 6.) on B. Total Support						
	ar year (or fiscal year beginning in)	(a) 2017	(b) 2018	(c) 2019	(d) 2020	(e) 2021	(f) Total
	mounts from line 6	(4) 2011	(6) 2010	(6) 2013	(4) 2020	(6) 2021	(i) Total
10a G di se	ross income from interest, ividends, payments received on ecurities loans, rents, royalties, and income from similar sources						
	nrelated business taxable income						
,	ess section 511 taxes) from businesses equired after June 30, 1975						
c A	dd lines 10a and 10b						
11 N ac w	et income from unrelated business ctivities not included on line 10b, hether or not the business is egularly carried on						
12 O	ther income. Do not include gain r loss from the sale of capital ssets (Explain in Part VI.)						
	otal support. (Add lines 9, 10c, 11, and 12.)						
14 Fi	irst 5 years. If the Form 990 is for the	e organization's fi	rst, second, third,	fourth, or fifth tax	year as a section s	501(c)(3) organization	on,
cl	neck this box and stop here						.
Secti	on C. Computation of Public	Support Per	centage				
15 P	ublic support percentage for 2021 (lir	ne 8, column (f), c	livided by line 13,	column (f))		15	%
	ublic support percentage from 2020					16	%
Secti	on D. Computation of Invest	tment Income	e Percentage				
17 In	vestment income percentage for 202	21 (line 10c, colur	mn (f), divided by I	ine 13, column (f))		17	%
18 In	vestment income percentage from 2	.020 Schedule A,	Part III, line 17			18	%
19a 33	3 1/3% support tests - 2021. If the	organization did r	not check the box	on line 14, and line	e 15 is more than	33 1/3%, and line 1	7 is not
m	ore than 33 1/3%, check this box and	d stop here. The	organization qual	fies as a publicly s	supported organiza	ation	>
	3 1/3% support tests - 2020. If the	· ·			•	•	
	ne 18 is not more than 33 1/3%, chec rivate foundation. If the organization						

132023 01-04-22

Schedule A (Form 990) 2021

Т.,

Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked box 12a, Part I, complete Sections A and B. If you checked box 12b, Part I, complete Sections A and C. If you checked box 12c, Part I, complete Sections A, D, and E. If you checked box 12d, Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- **3a** Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer lines 3b and 3c below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked box 12a or 12b in Part I, answer lines 4b and 4c below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer lines 5b and 5c below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described on line 7?

 If "Yes." complete Part I of Schedule L (Form 990).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons, as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- **b** Did one or more disqualified persons (as defined on line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If "Yes," provide detail in **Part VI.**
- c Did a disqualified person (as defined on line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer line 10b below.
 - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

		Yes	No
	1		
	2		
	3a		
	3b		
	3с		
	4a		
	4b		
	4c		
	5a		
	5b		
	5c		
	•		
	6		
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	7		
	8		
	3		
	9a		
	9b		
	9с		
	_ •		
	10a		
	10b		
_		- 000	

	dule A (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	Pa	age 5
Par	rt IV Supporting Organizations (continued)		T.,	·
44	Lies the examination eccented a gift or contribution from any of the following nersons?		Yes	No
11	Has the organization accepted a gift or contribution from any of the following persons? A person who directly accepted a gift or contribution from any of the following persons?			
а	A person who directly or indirectly controls, either alone or together with persons described on lines 11b and	110		
L	11c below, the governing body of a supported organization?	11a		
	A family member of a person described on line 11a above?	11b		
C	A 35% controlled entity of a person described on line 11a or 11b above? If "Yes" to line 11a, 11b, or 11c, provide	11c		
Sec	detail in Part VI. tion B. Type I Supporting Organizations			
			Yes	No
1	Did the governing body, members of the governing body, officers acting in their official capacity, or membership of or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's off directors, or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one support organization, describe how the powers to appoint and/or remove officers, directors, or trustees were allocated among	icers, orted	165	NO
	supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.	1		
2	Did the organization operate for the benefit of any supported organization other than the supported			
	organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in			
	Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated,			
<u>C</u>	supervised, or controlled the supporting organization.	2		
Sec	tion C. Type II Supporting Organizations			1
			Yes	No
1	Were a majority of the organization's directors or trustees during the tax year also a majority of the directors			
	or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control			
	or management of the supporting organization was vested in the same persons that controlled or managed			
800	the supported organization(s). tion D. All Type III Supporting Organizations	1		
<u> </u>	tion B. All Type in Supporting Organizations		T.,	·
			Yes	No
1	Did the organization provide to each of its supported organizations, by the last day of the fifth month of the			
	organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax			
	year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the			
_	organization's governing documents in effect on the date of notification, to the extent not previously provided?	1		
2	Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported			
	organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how			
	the organization maintained a close and continuous working relationship with the supported organization(s).	2		
3	By reason of the relationship described on line 2, above, did the organization's supported organizations have a			
	significant voice in the organization's investment policies and in directing the use of the organization's			
	income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's			
800	supported organizations played in this regard. tion E. Type III Functionally Integrated Supporting Organizations	3		
1 a b	Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see institution of the organization satisfied the Activities Test. Complete line 2 below. The organization is the parent of each of its supported organizations. Complete line 3 below.	uctions).		
С	The organization supported a governmental entity. Describe in Part VI how you supported a governmental entity	ty (see instructior	1 <u>s).</u>	
2	Activities Test. Answer lines 2a and 2b below.		Yes	No
а	Did substantially all of the organization's activities during the tax year directly further the exempt purposes of			
	the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify			
	those supported organizations and explain how these activities directly furthered their exempt purposes,			
	how the organization was responsive to those supported organizations, and how the organization determined			
	that these activities constituted substantially all of its activities.	2a		
b	Did the activities described on line 2a, above, constitute activities that, but for the organization's involvement,			
	one or more of the organization's supported organization(s) would have been engaged in? If "Yes," explain in			
	Part VI the reasons for the organization's position that its supported organization(s) would have engaged in			
	these activities but for the organization's involvement.	2b		
3	Parent of Supported Organizations. Answer lines 3a and 3b below.			
а	Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or			

of its supported organizations? *If "Yes," describe in Part VI the role played by the organization in this regard.*3b | 132025 01-04-22 Schedule A (Form 990) 2021

trustees of each of the supported organizations? If "Yes" or "No" provide details in Part VI.

b Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each

За

Pa	rt V Type III Non-Functionally Integrated 509(a)(3) Supporting	g Orga	nizations			
1	1 Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions.					
	All other Type III non-functionally integrated supporting organizations must complete Sections A through E.					
Section A - Adjusted Net Income (A) Prior Year						
1	Net short-term capital gain	1				
2	Recoveries of prior-year distributions	2				
_3	Other gross income (see instructions)	3				
_4	Add lines 1 through 3.	4				
5	Depreciation and depletion	5				
6	Portion of operating expenses paid or incurred for production or					
	collection of gross income or for management, conservation, or					
	maintenance of property held for production of income (see instructions)	6				
7	Other expenses (see instructions)	7				
8	Adjusted Net Income (subtract lines 5, 6, and 7 from line 4)	8				
Sect	ion B - Minimum Asset Amount		(A) Prior Year	(B) Current Year (optional)		
1	Aggregate fair market value of all non-exempt-use assets (see					
	instructions for short tax year or assets held for part of year):					
а	Average monthly value of securities	1a				
b	Average monthly cash balances	1b				
С	Fair market value of other non-exempt-use assets	1c				
d	Total (add lines 1a, 1b, and 1c)	1d				
е	Discount claimed for blockage or other factors					
	(explain in detail in Part VI):					
2	Acquisition indebtedness applicable to non-exempt-use assets	2				
3	Subtract line 2 from line 1d.	3				
4	Cash deemed held for exempt use. Enter 0.015 of line 3 (for greater amount,					
	see instructions).	4				
5	Net value of non-exempt-use assets (subtract line 4 from line 3)	5				
6	Multiply line 5 by 0.035.	6				
7	Recoveries of prior-year distributions	7				
8	Minimum Asset Amount (add line 7 to line 6)	8				
Sect	ion C - Distributable Amount			Current Year		
1	Adjusted net income for prior year (from Section A, line 8, column A)	1				
2	Enter 0.85 of line 1.	2				
3	Minimum asset amount for prior year (from Section B, line 8, column A)	3				
4	Enter greater of line 2 or line 3.	4				
5	Income tax imposed in prior year	5				
6	Distributable Amount. Subtract line 5 from line 4, unless subject to					
	emergency temporary reduction (see instructions).	6				
7	Check here if the current year is the organization's first as a non-functional	y integra	ted Type III supporting orga	nization (see		
	instructions).					

Schedule A (Form 990) 2021

Par	Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued)							
Secti	on D - Distributions			Current Year				
1	Amounts paid to supported organizations to accomplish exe	1						
2	Amounts paid to perform activity that directly furthers exemp							
	organizations, in excess of income from activity	2						
3	Administrative expenses paid to accomplish exempt purpose	3						
4	Amounts paid to acquire exempt-use assets	4						
_5	Qualified set-aside amounts (prior IRS approval required - pro	ovide details in Part VI)	5					
_6	Other distributions (describe in Part VI). See instructions.		6					
7	Total annual distributions. Add lines 1 through 6.		7					
8	Distributions to attentive supported organizations to which the	ne organization is responsive						
	(provide details in Part VI). See instructions.		8					
9	Distributable amount for 2021 from Section C, line 6		9					
10	Line 8 amount divided by line 9 amount		10					
		(i)	(ii)	(iii)				
Secti	on E - Distribution Allocations (see instructions)	Excess Distributions	Underdistributions Pre-2021	Distributable Amount for 2021				
1	Distributable amount for 2021 from Section C, line 6							
2	Underdistributions, if any, for years prior to 2021 (reason-							
	able cause required - explain in Part VI). See instructions.							
3	Excess distributions carryover, if any, to 2021							
a	From 2016							
b	From 2017							
c	From 2018							
<u>d</u>	From 2019							
<u>e</u>	From 2020							
f	Total of lines 3a through 3e							
g	Applied to underdistributions of prior years							
<u>h</u>	Applied to 2021 distributable amount							
<u>i</u>	Carryover from 2016 not applied (see instructions)							
<u>_i</u>	Remainder. Subtract lines 3g, 3h, and 3i from line 3f.							
4	Distributions for 2021 from Section D,							
	line 7: \$							
<u>a</u>	Applied to underdistributions of prior years							
<u>b</u>	Applied to 2021 distributable amount							
c	Remainder. Subtract lines 4a and 4b from line 4.							
5	Remaining underdistributions for years prior to 2021, if							
	any. Subtract lines 3g and 4a from line 2. For result greater							
	than zero, explain in Part VI. See instructions.							
6	Remaining underdistributions for 2021. Subtract lines 3h							
	and 4b from line 1. For result greater than zero, explain in							
	Part VI. See instructions.							
7	Excess distributions carryover to 2022. Add lines 3j							
	and 4c.							
8	Breakdown of line 7:							
	Excess from 2017							
	Excess from 2018							
	Excess from 2019							
d	Excess from 2020							
•	Excess from 2021							

Schedule A (Form 990) 2021

Part VI	Supplemental Information. Provide the explanations required by Part II, line 10: Part II, line 17a or 17b: Part III, line 12:
	Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
	line 1; Part IV. Section D, lines 2 and 3; Part IV. Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V.
	Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information.
	(See instructions.)
_	

Schedule B

(Form 990)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

► Attach to Form 990 or Form 990-PF.

► Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047

2021

Schedule B (Form 990) (2021)

Employer identification number

NOF	NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819					
Organization type (check o	ne):					
Filers of:	Section:					
Form 990 or 990-EZ	X 501(c)(³) (enter number) organization					
	4947(a)(1) nonexempt charitable trust not treated as a private foundation					
	527 political organization					
Form 990-PF	501(c)(3) exempt private foundation					
	4947(a)(1) nonexempt charitable trust treated as a private foundation					
	501(c)(3) taxable private foundation					
	s covered by the General Rule or a Special Rule. (7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule	e. See instructions.				
General Rule						
denoral Hale						
-	n filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling one contributor. Complete Parts I and II. See instructions for determining a contributor's	•				
Special Rules						
sections 509(a)(1) a contributor, during	n described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support that 170(b)(1)(A)(vi), that checked Schedule A (Form 990), Part II, line 13, 16a, or 16b, and the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Figure 1. Complete Parts I and II.	that received from any one				
For an organization	n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from a	ny one				
	the year, total contributions of more than \$1,000 exclusively for religious, charitable, sci					
	onal purposes, or for the prevention of cruelty to children or animals. Complete Parts I (er) instead of the contributor name and address), II, and III.	ntering				
N/A III Coldillii (b	instead of the contributor frame and address, if, and in.					
For an organization	n described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from a	ny one contributor, during the				
	exclusively for religious, charitable, etc., purposes, but no such contributions totaled mo					
•	nere the total contributions that were received during the year for an exclusively religious replete any of the parts unless the General Rule applies to this organization because it r					
	e, etc., contributions totaling \$5,000 or more during the year	•				
answer "No" on Part IV, line	at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, g requirements of Schedule B (Form 990).					

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990) (2021) Page **2**

Name of organization

Employer identification number

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

36-6086819

Part I	Contributors (see instructions). Use duplicate copies of Part I if a	dditional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1		\$	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a)	(b)	(c)	(d)
No. 2	Name, address, and ZIP + 4	* \$ \$ 422,697.	Person X Payroll Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3		\$\$	Person X Payroll
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions \$	Person Payroll Complete Part II for noncash contributions.
(a)	(b)	(c)	(d)
No.	Name, address, and ZIP + 4	Total contributions \$	Person Payroll Complete Part II for noncash contributions.
(a)	(b)	(c) Total contributions	(d) Type of contribution
No.	Name, address, and ZIP + 4	\$	Person Payroll Complete Part II for noncash contributions.

Schedule B (Form 990) (2021) Page **3**

Name of organization Employer identification number

NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819

Part II	Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.						
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				
		\$					
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received				

Schedule B (Form 990) (2021)

Name of organization

Name of or	ganization			Employer identification number			
IORTHERN	ILLINOIS UNIVERSITY FOUNDATION			36-6086819			
Part III	Exclusively religious, charitable, etc., contributions from any one contributor. Complete columns (a) the	s to organizations described in s	ection 501(c)(7), (8), or (10) t	hat total more than \$1,000 for the year			
	completing Part III, enter the total of exclusively religious, cha Use duplicate copies of Part III if additional spa	ritable, etc., contributions of \$1,000 or	r less for the year. (Enter this info. one	► \$			
(a) No.		ace is needed.					
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
-		(a) Transfer of air					
		(e) Transfer of gift					
	Transferee's name, address, and	ZIP + 4	Relationship of tra	nsferor to transferee			
							
(a) No.							
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
ŀ	(e) Transfer of gift						
	(b) Hallstot of gift						
	Transferee's name, address, and ZIP + 4 Relationship			nsferor to transferee			
	-						
(a) No. from	(h) Dawn on a of wift	(a) Han of wift	(d) D. a.	evindian of hour wife in hold			
Part I	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
	(e) Transfer of gift						
-	Transferee's name, address, and	ZIP + 4	Relationship of tra	nsferor to transferee			
(a) No. from	(b) Purpose of gift	(c) Use of gift	(d) Desc	cription of how gift is held			
Part I	(,, ,	.,,	, ,				
							
_							
		(e) Transfer of gi	ft				
	Transference name address and	7ID + 1	Relationship of two	neferor to transferoe			
-	Transferee's name, address, and	<u> </u>	nelauoriship of tra	nsferor to transferee			

SCHEDULE C (Form 990)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

For Organizations Exempt From Income Tax Under section 501(c) and section 527 Complete if the organization is described below. ► Attach to Form 990 or Form 990-EZ. ► Go to www.irs.gov/Form990 for instructions and the latest information.

If the organization answered "Yes," on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," on Form 990, Part IV, line 5 (Proxy Tax) (See separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (See separate instructions), then

Section 501(c)(4) (5) or (6) organizations: Complete Part III.

	lame of organization				Empl	Employer identification number		
			LINOIS UNIVERSITY FOUND			36-6086819		
Part	I-A	Complete if the org	anization is exempt und	ler section 501(c)	or is a section 527 org	ganization.		
2 P	olitical		ation's direct and indirect polition ures gn activities					
Part	:I-B	Complete if the org	anization is exempt und	ler section 501(c)(3).			
1 E	nter the	amount of any excise tax	incurred by the organization un	der section 4955	▶\$			
			incurred by organization manag					
3 If	the org	anization incurred a section	n 4955 tax, did it file Form 4720) for this year?		Yes No		
4a ₩	las a co	rrection made?				Yes No		
		describe in Part IV.	·			1(0)		
Part			anization is exempt und					
		• •	by the filing organization for se	•				
			ization's funds contributed to o	· ·				
	•		A 11 10 5 1 1					
			. Add lines 1 and 2. Enter here					
			1120-POL for this year?					
			ployer identification number (E					
		*	tion listed, enter the amount pa	,	· ·	0 0		
			emptly and directly delivered to					
		•	additional space is needed, pro		•			
		(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0		

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990) 2021

LHA

132041 11-03-21

	t II-A Complete if the org section 501(h)).	anizatio	n is exer	npt under sectio	n 501(c)(3) and file	d Form 5768 (ele	ection under
	neck ▶ ☐ if the filing organizar expenses, and shar	e of exces	s lobbying (expenditures).	ր Part IV each affiliated ç	group member's nam	ne, address, EIN,
B Cr	Limit	ts on Lobi	ying Expe	nd "limited control" pr nditures ints paid or incurred.		(a) Filing organization's totals	(b) Affiliated group totals
	Total lobbying expenditures to influ	ence publ	ic opinion (grassroots lobbying)			
	Total lobbying expenditures to influ	-					
	Total lobbying expenditures (add lin						
	Other exempt purpose expenditure						
е	Total exempt purpose expenditures			`			
	Lobbying nontaxable amount. Ente						
[If the amount on line 1e, column (a) o	r (b) is:	The lob	bying nontaxable an	ount is:		
	Not over \$500,000		20% of	the amount on line 1e			
	Over \$500,000 but not over \$1,000	,000	\$100,00	00 plus 15% of the exc	cess over \$500,000.		
	Over \$1,000,000 but not over \$1,50	00,000		00 plus 10% of the exc			
	Over \$1,500,000 but not over \$17,0			00 plus 5% of the exce			
	Over \$17,000,000	·	\$1,000,				
•							
g	Grassroots nontaxable amount (en	ter 25% of	line 1f)				
h	Subtract line 1g from line 1a. If zero	or less, e					
i	Subtract line 1f from line 1c. If zero	or less, e	nter -0-				
j	If there is an amount other than zer	o on eithe	r line 1h or	line 1i, did the organiz	ation file Form 4720		
	reporting section 4911 tax for this	year?					Yes No
	(Some organizations th		a section 5	eraging Period Under 01(h) election do not ate instructions for li	have to complete all of	f the five columns b	elow.
		Lobb	ying Expe	nditures During 4-Ye	ar Averaging Period		_
	Calendar year (or fiscal year beginning in)	(a)	2018	(b) 2019	(c) 2020	(d) 2021	(e) Total
	Lobbying nontaxable amount						
b	Lobbying ceiling amount (150% of line 2a, column(e))						
с	Total lobbying expenditures						
	Grassroots nontaxable amount						
e	Grassroots ceiling amount (150% of line 2d, column (e))						
f	Grassroots lobbying expenditures						ula C (Form 990) 2021

Schedule C (Form 990) 2021

Part II-B | Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

During the year, did the filing organization attempt to influence foreign, national, state, or local legislation, including any attempt to influence public opinion on a legislative matter				
local legislation, including any attempt to influence public opinion on a legislative matter	Yes	No	Am	ount
local legislation, including any attempt to influence public opinion on a legislative matter				
or referendum, through the use of:				
a Volunteers?		х		
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?		Х		
c Media advertisements?		Х		
d Mailings to members, legislators, or the public?		Х		
e Publications, or published or broadcast statements?		Х		
f Grants to other organizations for lobbying purposes?		Х		
g Direct contact with legislators, their staffs, government officials, or a legislative body?	Х			2,
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		Х		
i Other activities?		Х		
j Total. Add lines 1c through 1i				2,
a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		Х		
b If "Yes," enter the amount of any tax incurred under section 4912				
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912				
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?	<u> </u>			
art III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(6).	on 501(c)(5), or se	ection	
00.(0)(0).			Yes	N
Were substantially all (90% or more) dues received nondeductible by members?		1		
Did the organization make only in-house lobbying expenditures of \$2,000 or less?				
Did the organization agree to carry over lobbying and political campaign activity expenditures from the complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes"	on 501(c)(5), or se		3, is
Somplete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes."	on 501(c)("No" OR	(5), or se (b) Part		e 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members	on 501(c)("No" OR	(5), or se (b) Part		9 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenditures)	on 501(c)("No" OR	(5), or se (b) Part		9 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).	on 501(c)("No" OR	(b) Part	III-A, line	9 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of polit expenses for which the section 527(f) tax was paid). a Current year	on 501(c)("No" OR	(5), or se (b) Part	III-A, line	9 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year	on 501(c)("No" OR	(b) Part	III-A, line	3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year	on 501(c)("No" OR	2a 2b 2c	III-A, line	9 3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year	on 501(c)("No" OR	2a 2b 2c	III-A, line	3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). a Current year b Carryover from last year c Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the exceeds	on 501(c)("No" OR ical	2a 2b 2c	III-A, line	3, is
Complete if the organization is exempt under section 501(c)(4), section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered answered "Yes." Dues, assessments and similar amounts from members Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). Current year Carryover from last year Total Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	on 501(c)("No" OR ical cess political	2a 2b 2c 3	III-A, line	3, is

Schedule C (Form 990) 2021

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Inspection

Name of the organization

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Employer identification number 36-6086819

Par		Funds or Ac	counts. Complete if	the
	organization answered "Yes" on Form 990, Part IV, line 6.			
	(a) Donor advised funds	((b) Funds and other acco	ounts
1	Total number at end of year			
2	Aggregate value of contributions to (during year)			
3	Aggregate value of grants from (during year)			
4	Aggregate value at end of year			
5	Did the organization inform all donors and donor advisors in writing that the assets held in dor	or advised fund	ds	
	are the organization's property, subject to the organization's exclusive legal control?		Yes	No No
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds	can be used o	nly	
	for charitable purposes and not for the benefit of the donor or donor advisor, or for any other p	ourpose conferr	ing	
	impermissible private benefit?			No
Par	rt II Conservation Easements. Complete if the organization answered "Yes" on Fo	m 990, Part IV,	line 7.	
1	Purpose(s) of conservation easements held by the organization (check all that apply).			
	Preservation of land for public use (for example, recreation or education) Preser	vation of a histo	orically important land ar	ea
		vation of a certi	fied historic structure	
	Preservation of open space			
2	Complete lines 2a through 2d if the organization held a qualified conservation contribution in t	he form of a co		
	day of the tax year.		Held at the End of	the lax year
_	Total number of conservation easements		2a	
b	,		2b	
С	()		2c	
d	,			
_	listed in the National Register		2d	
3	Number of conservation easements modified, transferred, released, extinguished, or terminate	d by the organi	zation during the tax	
	year -			
4	Number of states where property subject to conservation easement is located	-112		
5	Does the organization have a written policy regarding the periodic monitoring, inspection, han		□ v _{aa}	□ No
6	violations, and enforcement of the conservation easements it holds?			No
6	Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforce	ing conservation	in easements during the	year
7	Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing of	onconvation on	soments during the year	
′	\$	onservation ea	sements during the year	
8	Does each conservation easement reported on line 2(d) above satisfy the requirements of sect	ion 170(h)(4)(R)	(i)	
Ū	and section 170(h)(4)(B)(ii)?			No
9	In Part XIII, describe how the organization reports conservation easements in its revenue and o			
	balance sheet, and include, if applicable, the text of the footnote to the organization's financia	•		
	organization's accounting for conservation easements.			
Par	rt III Organizations Maintaining Collections of Art, Historical Treasures	, or Other S	imilar Assets.	
	Complete if the organization answered "Yes" on Form 990, Part IV, line 8.			
1a	If the organization elected, as permitted under FASB ASC 958, not to report in its revenue state	ement and bala	ance sheet works	
	of art, historical treasures, or other similar assets held for public exhibition, education, or resear	arch in furtherar	nce of public	
	service, provide in Part XIII the text of the footnote to its financial statements that describes th	ese items.		
b	If the organization elected, as permitted under FASB ASC 958, to report in its revenue statement	ent and balance	sheet works of	
	art, historical treasures, or other similar assets held for public exhibition, education, or researc	h in furtherance	e of public service,	
	provide the following amounts relating to these items:			
	(i) Revenue included on Form 990, Part VIII, line 1		> \$	12,372. 146,802.
	(ii) Assets included in Form 990, Part X		and the second s	146,802.
2	If the organization received or held works of art, historical treasures, or other similar assets for	financial gain,	orovide	
	the following amounts required to be reported under FASB ASC 958 relating to these items:			
а	Revenue included on Form 990, Part VIII, line 1		> \$	
b	Assets included in Form 990, Part X			
	For Paperwork Reduction Act Notice, see the Instructions for Form 990.		Schedule D (For	m 990) 2021

Pai	rt III Organizations Maintaining C	ollections of Art	i, Historical Tre	asures, or Ot	her Si	imilar Asset	S (continu	ed)	
3	Using the organization's acquisition, accessi	on, and other records	s, check any of the f	ollowing that mak	e signit	ficant use of its			
	collection items (check all that apply):	collection items (check all that apply):							
а	X Public exhibition	d	Loan or excl	hange program					
b	Scholarly research e Other								
С	Preservation for future generations								
4	Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.								
5	During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets								
	to be sold to raise funds rather than to be ma						Yes	X No	
Pai	rt IV Escrow and Custodial Arran		ete if the organization	n answered "Yes"	on For	m 990, Part IV,	line 9, or		
	reported an amount on Form 990, Pa	rt X, line 21.							
1a	Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included								
	on Form 990, Part X?					L	Yes	No	
b	If "Yes," explain the arrangement in Part XIII	and complete the foll	owing table:						
							Amount		
С	Beginning balance					1c			
d	Additions during the year					1d			
е	3					1e			
f	• • • • • • • • • • • • • • • • • • • •								
	Did the organization include an amount on F				-	L	Yes	No No	
	If "Yes," explain the arrangement in Part XIII.								
Pai	rt V Endowment Funds. Complete						I		
		(a) Current year	(b) Prior year	(c) Two years bac		Three years back			
1a	0 0 ,	97,881,780.	69,766,415.	75,625,79		74,397,979.		33,159.	
b		1,365,721.	8,560,432.			1,923,887.		07,847.	
С	Net investment earnings, gains, and losses	-6,345,157.	23,828,269.	-3,574,17	5.	2,868,776.	5,6	33,271.	
d	Grants or scholarships								
е	· · · · · · · · · · · · · · · · · · ·	2 607 999	2 107 521	2 600 00	_	2 450 421	7 1	06 563	
_	and programs	2,687,888.	3,107,531.		_	2,459,421.		86,563.	
		1,389,197.	1,165,805.		_	1,105,429.		89,735.	
g		88,825,259.	97,881,780.		٥.	75,625,792.	74,3	97,979.	
2	Provide the estimated percentage of the curr	•) held as:					
а	9	2.9900	_%						
b		%							
С		•							
2-	The percentages on lines 2a, 2b, and 2c sho	•	tion that are hold an	d administered fa	v tha a	vacni-ation			
Sa	Are there endowment funds not in the posse	ssion of the organiza	tion that are neid an	ia administerea id	or trie of	rganization	Γv	es No	
	by: (i) Unrelated organizations						3a(i)	X	
	(i) Unrelated organizations (ii) Related organizations						3a(ii)	X	
h	If "Yes" on line 3a(ii), are the related organiza						3b		
4	Describe in Part XIII the intended uses of the						00		
	irt VI Land, Buildings, and Equipm		WITHOUT TUTIOS.						
	Complete if the organization answere	d "Yes" on Form 990	, Part IV, line 11a. S	ee Form 990, Par	t X, line	10.			
	Description of property	(a) Cost or of	ther (b) Cost	or other (c	c) Accu	mulated	(d) Book	/alue	
		basis (investm			depred		()		
1a	Land		3	,861,333.			3,8	61,333.	
b				,675,715.	7	,159,978.		15,737.	
c							· · · · · ·		
d				120,841.		95,563.		25,278.	
	Other	1		160,046.			1	60,046.	
	al. Add lines 1a through 1e. (Column (d) must e		X. column (B). line 10			▶		62,394.	
		·	 	•		Schedule	D (Form 9	990) 2021	

Schedule D (Form 990) 2021 NORTHERN ILLINOI	S UNIVERSITY FOUNDAT	ION	36-6086819	Page 3
Part VII Investments - Other Securities.				<u> </u>
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11b. See Form 990, Part X, line 12.		
(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or	end-of-year market	value
(1) Financial derivatives				
(2) Closely held equity interests				
(3) Other				
(A) LIMITED PARTNERSHIPS	22,849,199.	COST		
(B)				
(C)				
(D)				
(E)				
(F)				
(G)				
(H)				
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.)	22,849,199.			
Part VIII Investments - Program Related.				
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11c. See Form 990, Part X, line 13.		
(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or	end-of-year market	value
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.)				
Part IX Other Assets.				
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11d. See Form 990, Part X, line 15.		
(a)	Description		(b) Book	value
<u>(1)</u>				
(2)				
(3)				
(4)				
(5)				
(6)				
<u>(7)</u>				
(8)				
(9)				
Total. (Column (b) must equal Form 990, Part X, col. (B) line	e 15.)			
Part X Other Liabilities.				
Complete if the organization answered "Yes"	on Form 990, Part IV, line	11e or 11f. See Form 990, Part X, line	25.	
1. (a) Description of liability			(b) Book	value
(1) Federal income taxes				
(2) DUE TO NIU				896,102.
(3) TRAVEL DEPOSITS				190,250.
(4) NIU ENDOWMENT AND GIFT ACCOUNTS			11,	580,072.
(5) ANNUITY LIABILITY				516,330.
(6)				
(7)				
(8)				
(9)				

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII ...

Schedule D (Form 990) 2021

13,182,754.

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.)

36-6086819

Fai	Complete if the organization answered "Yes" on Form 990, Part IV, lin		nevellue per ne	turri.	
1		110 124.		1	12,740,080.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			•	
a	Net unrealized gains (losses) on investments	2a	-21,963,814.		
b	Donated services and use of facilities		3,693,568.		
c	Recoveries of prior year grants		7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	-	
d	Other (Describe in Part XIII.)		13,160.	-	
e			·	2e	-18,257,086.
3	Add lines 2a through 2d Subtract line 2e from line 1			3	30,997,166.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:				7 7 7 7 7 7 7
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	134,488.		
b	Other (Describe in Part XIII.)			-	
				4c	134,488.
				$\overline{}$	31,131,654.
Pai	Total revenue. Add lines 3 and 4c. (This must equal Form 990. Part I. line 12 † XII Reconciliation of Expenses per Audited Financial St.	atements With	Expenses per F	Return.	02,202,001.
	Complete if the organization answered "Yes" on Form 990, Part IV, li				
1	Total expenses and losses per audited financial statements			1	14,615,299.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			•	22,020,255.
	· · · · ·	2a	3,693,568.		
a	Donated services and use of facilities		3,033,300.	-	
b	Prior year adjustments			-	
C	Other (Osserite in Part VIII.)		29,152.	-	
d	Other (Describe in Part XIII.)	·····		20	3,722,720.
е 3	Add lines 2a through 2d			2e 3	10,892,579.
	Subtract line 2e from line 1			3	10,032,373,
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	45	134,488.		
a	Investment expenses not included on Form 990, Part VIII, line 7b		134,400.	-	
b	Other (Describe in Part XIII.)	·		4.	134,488.
	Add lines 4a and 4b			4c 5	11,027,067.
5 Pai	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 1 t XIII Supplemental Information.	8.)		5	11,027,007.
lines	de the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide a			ı; Part X, li	ne 2; Part XI,
COLI	ECTIONS INCLUDE A ONE ROOM SCHOOL MUSEUM TO PROVIDE CULT	URE AT THE			
UNIV	ERSITY.				
PART	V, LINE 4:				
THE	INTENDED USE OF THE ENDOWMENT FUNDS IS TO SUPPORT NORTHE	RN ILLINOIS			
UNIV	ERSITY AND ITS				
MISS	ION TO ADVANCE EXCELLENCE AND TRANSFORM LIVES.				
PART	XI, LINE 2D - OTHER ADJUSTMENTS:				
FUNI	RAISING EXPENESE	13,160	•		

SCHEDULE F (Form 990)

Department of the Treasury

Internal Revenue Service

Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.

➤ Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

2021
Open to Public Inspection

Name of the organization

Employer identification number

NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b. 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States. 3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.) (b) Number of (e) If activity listed in (d) (c) Number of (d) Activities conducted in the region (f) Total (a) Region employees, agents, and expenditures offices (by type) (such as, fundraising, prois a program service, for and in the region gram services, investments, grants to describe specific type independent investments contractors recipients located in the region) of service(s) in the region in the region in the region CENTRAL AMERICA AND THE CARIBBEAN PASSIVE INVESTMENTS N/A 9,611,392. 0 0 9,611,392. 3 a Subtotal **b** Total from continuation 0 sheets to Part I Totals (add lines 3a

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule F (Form 990) 2021

9,611,392.

and 3b)

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States.	Complete if the organization answered	"Yes" on Form 990, Part IV, line 15, for any
recipient who received more than \$5,000. Part II can be duplicated if additional space is n	needed.	

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of noncash assistance	(h) Description of noncash assistance	(i) Method of valuation (book, FMV, appraisal, other)
exempt 501(c)(3) orga	inization by the IRS, o	or for which the grantee	recognized as charities by the sor counsel has provided a sect	tion 501(c)(3) equ	uivalency letter			
exempt 501(c)(3) orga	inization by the IRS, o	or for which the grantee		tion 501(c)(3) equ	uivalency letter			

Part III Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed. (h) Method of valuation (book, FMV, appraisal, other) (c) Number of (d) Amount of (e) Manner of cash disbursement (f) Amount of (g) Description of (a) Type of grant or assistance (b) Region recipients cash grant noncash noncash assistance assistance

Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see

Instructions for Form 5713; don't file with Form 990)

Foreign Partnerships (see Instructions for Form 8865)

ıaıı	Foreign Forms		
1	Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes,"		
	the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)	X Yes	☐ No
2	Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may		
	be required to separately file Form 3520, Annual Return To Report Transactions With Foreign Trusts and		
	Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a		
	U.S. Owner (see Instructions for Forms 3520 and 3520-A; don't file with Form 990)	Yes	X No
3	Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes,"		
	the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect to		
	Certain Foreign Corporations (see Instructions for Form 5471)	X Yes	No
4	Was the organization a direct or indirect shareholder of a passive foreign investment company or a		
	qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621,		
	Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing		
	Fund (see Instructions for Form 8621)	X Yes	☐ No
5	Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes,"		
	the organization may be required to file Form 8865, Return of U.S. Persons With Respect to Certain		

Schedule F (Form 990) 2021

Yes X No

Yes X No

6

Schedule F	(Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	Page 5
Part V	Supplemental Information		
	Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (account investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method)		
	(estimated number of recipients), as applicable. Also complete this part to provide any additional infe		

SCHEDULE G (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" on Form 990, Part IV, line 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

2021

Open to Public Inspection

Name of the organization Employer identification number NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Part I Fundraising Activities. Complete if the organization answered "Yes" on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part. 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply. а Mail solicitations Solicitation of non-government grants b Internet and email solicitations Solicitation of government grants Phone solicitations Special fundraising events С d In-person solicitations 2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No b If "Yes," list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. (iii) Did fundraiser have custody or control of contributions? (v) Amount paid (vi) Amount paid (i) Name and address of individual (iv) Gross receipts to (or retained by) (ii) Activity to (or retained by) fundraiser or entity (fundraiser) from activity organization listed in col. (i) Yes No Total 3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule G (Form 990) 2021

NORTHERN ILLINOIS UNIVERSITY FOUNDATION Schedule G (Form 990) 2021 Page 2 Part II Fundraising Events. Complete if the organization answered "Yes" on Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000. (a) Event #1 (b) Event #2 (c) Other events (d) Total events NONE (add col. (a) through VICTOR E BALL col. (c)) (event type) (total number) (event type) 37,457 37,457. 1 Gross receipts 2 Less: Contributions 12,110 12,110. Gross income (line 1 minus line 2) 25,347. 25,347. 4 Cash prizes 5 Noncash prizes 9,273. 9,273. Direct Expenses Rent/facility costs 672. 3,215. 3,215. 7 Food and beverages Entertainment 8 Other direct expenses 13,160. **10** Direct expense summary. Add lines 4 through 9 in column (d) 12,187. 11 Net income summary. Subtract line 10 from line 3, column (d) Part III Gaming. Complete if the organization answered "Yes" on Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a. (d) Total gaming (add (b) Pull tabs/instant (c) Other gaming (a) Bingo Revenue bingo/progressive bingo col. (a) through col. (c)) Gross revenue 2 Cash prizes Direct Expenses Noncash prizes Rent/facility costs Other direct expenses Yes Yes Yes 6 Volunteer labor No 7 Direct expense summary. Add lines 2 through 5 in column (d) 8 Net gaming income summary. Subtract line 7 from line 1, column (d) **9** Enter the state(s) in which the organization conducts gaming activities: a Is the organization licensed to conduct gaming activities in each of these states? **b** If "No," explain: _ 10a Were any of the organization's gaming licenses revoked, suspended, or terminated during the tax year? **b** If "Yes," explain:

Schedule G (Form 990) 2021

132082 10-21-21

Sch	edule G (Form 990) 2021 NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-	-0080819	Page 3
11	Does the organization conduct gaming activities with nonmembers?	Yes	No No
12	Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed		
	to administer charitable gaming?	Yes	No
	Indicate the percentage of gaming activity conducted in:	1 1	
	The organization's facility		<u>%</u>
	An outside facility	13b	<u>%</u>
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records:		
	Name		
	Address		
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	Yes	☐ No
b	olf "Yes," enter the amount of gaming revenue received by the organization > \$ and the amount of gaming revenue retained by the third party > \$		
	If "Yes," enter name and address of the third party:		
٠	in Tes, entername and address of the time party.		
	Name		
	Address ►		
16	Gaming manager information:		
	Name		
	Gaming manager compensation \$		
	Description of services provided		
	Director/officer Employee Independent contractor		
	Mandatory distributions:		
а	Is the organization required under state law to make charitable distributions from the gaming proceeds to		
	retain the state gaming license?	L Yes	∟ No
b	Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the		
Pa	organization's own exempt activities during the tax year ▶ \$ It IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (iii) and (v); and P	art III lines 0	9h 10h
	15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions.	art III, III 163 3,	35, 105,
	100, 100, 10, and 170, as applicable. Also provide any additional information. See instructions.		

Schedule G (Form 990) NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819	Page 4
Schedule G (Form 990) NORTHERN ILLINOIS UNIVERSITY FOUNDATION Part IV Supplemental Information (continued)		
V		

SCHEDULE I (Form 990)

Department of the Treasury

Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.

Attach to Form 990.

► Go to www.irs.gov/Form990 for the latest information.

2021
Open to Public Inspection

Schedule I (Form 990) 2021

Name of the organization							Employer identification number
	NOIS UNIVERSIT	Y FOUNDATION					36-6086819
Part I General Information on Grants a							
 Does the organization maintain records criteria used to award the grants or assi Describe in Part IV the organization's pr 	stance?ocedures for monit	oring the use of grant	funds in the United	States.			X Yes No
Part II Grants and Other Assistance to recipient that received more than					anization answered "Y	es" on Form 990, Part	IV, line 21, for any
1 (a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of noncash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
NORTHERN ILLINOIS UNIVERSITY 1515 W LINCOLN HIGHWAY DEKALB, IL 60015	36-6008480	PUBLIC UNIVERSITY	3,180,496.	269,374.	воок	EQUIPMENT	TO PROVIDE GRANTS TO NORTHERN ILLINOIS UNIVERSITY
				·			
 2 Enter total number of section 501(c)(3) a 3 Enter total number of other organization 	-	•	e line 1 table				1. 0.

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Schedule I (Form 990) 2021 NORTHERN ILLINOIS UNIT	ERSITY FOUND	ATION			36-6086819	Page 2
Part III Grants and Other Assistance to Domestic Individuals Part III can be duplicated if additional space is needed.	. Complete if the	e organization answe	ered "Yes" on Form 9	990, Part IV, line 22.		
(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non- cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncas	sh assistance
SCHOLARSHIPS, FELLOWSHIPS & AWARDS	2049	2,755,944.	0.	FMV		
Part IV Supplemental Information. Provide the information red	quired in Part I, lir	ne 2; Part III, column	(b); and any other ac	dditional information.		
PART I, LINE 2:						
RECORDS ARE MAINTAINED THROUGH ACCOUNTING SYSTEM S	HARED WITH TH	HE RECIPIENT,				
NORTHERN ILLINOIS UNIVERSITY.						

SCHEDULE J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
 ► Attach to Form 990.
 ► Go to www.irs.gov/Form990 for instructions and the latest information.

2021

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service

Name of the organization

Part I Questions Regarding Compensation

Department of the Treasury

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Employer identification number 36-6086819

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990,			
	Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
	First-class or charter travel Housing allowance or residence for personal use			
	Travel for companions Payments for business use of personal residence			
	Tax indemnification and gross-up payments Health or social club dues or initiation fees			
	Discretionary spending account Personal services (such as maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or			
	reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,			
	trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?	2		
3	Indicate which, if any, of the following the organization used to establish the compensation of the organization's			
	CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to			
	establish compensation of the CEO/Executive Director, but explain in Part III.			
	Compensation committee Written employment contract			
	☐ Independent compensation consultant ☐ Compensation survey or study			
	Form 990 of other organizations Approval by the board or compensation committee			
4	During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing			
-	organization or a related organization:			
а	Receive a severance payment or change-of-control payment?	4a		х
	Participate in or receive payment from a supplemental nonqualified retirement plan?	4b		Х
	Participate in or receive payment from an equity-based compensation arrangement?	4c		Х
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
	Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.			
5	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the revenues of:			
а	The organization?	5a		х
	Any related organization?	5b		Х
	If "Yes" on line 5a or 5b, describe in Part III.			
6	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation			
	contingent on the net earnings of:			
а	The organization?	6a		Х
	Any related organization?	6b		Х
	If "Yes" on line 6a or 6b, describe in Part III.			
7	For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments			
	not described on lines 5 and 6? If "Yes," describe in Part III	7		х
8	Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the			
	initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		х
9	If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in			
	Regulations section 53 4958.6(c)2	a		

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Schedule J (Form 990) 2021

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren't listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W	I-2 and/or 1099-MIS0 compensation	C and/or 1099-NEC	(C) Retirement and other deferred (D) Nontaxable benefits		(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B)
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	compensation			reported as deferred on prior Form 990
(1) CATHERINE SQUIRES	(i)	0.	0.	0.	0.	0.	0.	0.
PRESIDENT AND CEO, SECRETARY	(ii)	294,351.	0.	0.	22,851.	31,832.	349,034.	0.
(2) MICHAEL J. DAIGLER	(i)	0.	0.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	177,727.	0.	0.	19,550.	34,593.	231,870.	0.
(3) RAYMOND LOWELL JACKSON	(i)	0.	0.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	196,548.	0.	0.	13,317.	21,541.	231,406.	0.
(4) REYNALDO BUSTINZA	(i)	0.	0.	0.	0.	0.	0.	0.
KEY EMPLOYEE	(ii)	182,268.	0.	0.	13,319.	16,758.	212,345.	0.
(5) JEAN GODLEWSKI	(i)	0.	0.	0.	0.	0.	0.	0.
DIRECTOR OF FINANCE & STRATEGIC PRIC	(ii)	135,944.	0.	0.	17,067.	18,638.	171,649.	0.
(6) ADAM NEAL	(i)	0.	0.	0.	0.	0.	0.	0.
HIGHEST COMPENSATED EMPLOY	(ii)	123,834.	0.	0.	13,622.	31,510.	168,966.	0.
(7) KYM KOWALSKI	(i)	0.	0.	0.	0.	0.	0.	0.
CONTROLLER	(ii)	122,595.	0.	0.	14,382.	30,984.	167,961.	0.
(8) KRISTIN CLARK MILLER	(i)	0.	0.	0.	0.	0.	0.	0.
HIGHEST COMPENSATED EMPLOY	(ii)	140,580.	0.	0.	10,188.	244.	151,012.	0.
	(i)							
_	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information
Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.
PART I, LINE 3:
THE NIU FOUNDATION'S BOARD OF DIRECTORS ARE NOT COMPENSATED. NIU
FOUNDATION ASSIGNED EMPLOYEES ARE NOT PAID DIRECTLY BY THE NIU FOUNDATION.
THE NIU FOUNDATION ASSIGNED EMPLOYEES ARE PAID BY THE UNIVERSITY AND THE
NIU FOUNDATION REIMBURSES THE UNIVERSITY. NIU MAINTAINS A REGULAR
COMPENSATION PROCESS FOR THE FOUNDATION PRESIDENT, WHICH INCLUDES THE USE
OF COMPARABLE DATA. IN CONSULTATION WITH THE UNIVERSITY PRESIDENT AND IN
ACCORDANCE WITH UNIVERSITY POLICIES AND PRACTICE, THE COMPENSATION
COMMITTEE RECOMMENDS APPROPRIATE COMPENSATION LEVELS FOR THE NIU FOUNDATION
PRESIDENT AND CEO TO THE BOARD FOR APPROVAL.

SCHEDULE M (Form 990)

Noncash Contributions

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION Employer identification number 36-6086819

Par	rt I Types of Property						
		(a)	(b)	(c)	(d)		
		Check if	Number of contributions or	Noncash contribution amounts reported on	Method of de		
		applicable		Form 990, Part VIII, line 1g	noncash contribu	tion amoun	ts
1	Art - Works of art	Х	14	12,372.	FMV		
2	Art - Historical treasures			·			
3	Art - Fractional interests						
4	Books and publications	Х		20,000.	FMV		
5	Clothing and household goods						
6	Cars and other vehicles	Х	1	8,000.	FMV		
7	Boats and planes						
8	Intellectual property						
9	Securities - Publicly traded						
10	Securities - Closely held stock						
11	Securities - Partnership, LLC, or						
	trust interests						
12	Securities - Miscellaneous						
13	Qualified conservation contribution -						
	Historic structures						
14	Qualified conservation contribution - Other						
15	Real estate - Residential						
16	Real estate - Commercial						
17	Real estate - Other						
18	Collectibles						
19	Food inventory						
20	Drugs and medical supplies						
21	Taxidermy						
22	Historical artifacts						
23	Scientific specimens						
24	Archeological artifacts		1.0	61 600			
25	Other (MISCELLANEOUS)	X	18	61,620.	<u> </u>		
26	Other (EQUIPMENT)	Х	5	40,895.	FMV		
27	Other						
<u>28</u> 29	Other ()				<u> </u>		
29	Number of Forms 8283 received by the organization completed Form 828	-	•				
	for which the organization completed Form 626	oo, ran v, L	onee Acknowledg	ement 29		Yes	No
30a	During the year, did the organization receive by	, contributio	n any property rep	orted in Part I lines 1 throug	th 28 that it	169	INO
ooa	must hold for at least three years from the date						
	exempt purposes for the entire holding period?			William trequired to be us		30a	x
b	If "Yes," describe the arrangement in Part II.						
31	Does the organization have a gift acceptance p	olicv that re	equires the review of	of any nonstandard contribut	tions?	31 X	
	Does the organization hire or use third parties of						T
	contributions?		•			32a	x
b	If "Yes," describe in Part II.						
33	If the organization didn't report an amount in co	olumn (c) fo	a type of property	for which column (a) is ched	cked,		
	describe in Part II.						

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132142 11-17-21 Schedule M (Form 990) 2021

SCHEDULE O (Form 990)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Go to www.irs.gov/Form990 for the latest information.

► Attach to Form 990 or Form 990-EZ.

Inspection

OMB No. 1545-0047

Name of the organization **Employer identification number** NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 FORM 990, PART VI, SECTION A, LINE 2: CYNTHIA CROCKER AND JEFFREY LIESENDAHL PERSONALLY USE THE SERVICES OF SAVANT CAPITAL, FOR WHICH BOARD MEMBER BRENT BRODESKI SERVES AS THE CEO. FORM 990, PART VI, SECTION B, LINE 11B: THE BOARD HAS ADOPTED THE PRACTICE THAT THE AUDIT COMMITTEE, PRESIDENT/CEO, DIRECTOR OF FINANCE AND STRATEGIC PRIORITIES AND CONTROLLER REVIEW THE FORM 990. THE BOARD MEMBERS ARE THEN PROVIDED A COPY OF THE FORM 990 BEFORE IT IS FILED. IT IS REVIEWED AT THE BOARD MEETING PRIOR TO FILING. FORM 990, PART V, LINE 2A THE FOUNDATION DID NOT COMPENSATE THE INDIVIDUALS LISTED IN PART VII. NIU. AN ORGANIZATION THAT DOES NOT MEET THE RELATED ORGANIZATION REQUIREMENTS OF THE FORM 990 INSTRUCTIONS. PAYS THE INDIVIDUALS AND ISSUES THEIR W-2S. FORM 990, PART VI, SECTION B, LINE 12C: ALL DIRECTORS, BOARD MEMBERS AND KEY EMPLOYEES ARE GIVEN A COPY THE CONFLICT OF INTEREST POLICY. THEY ARE ALSO REQUIRED TO COMPLETE AND SIGN A CONFLICT OF INTEREST DISCLOSURE REPORT. THE AUDIT COMMITTEE REVIEWS THE CONFLICT OF INTEREST REPORTS ANNUALLY. POTENTIAL CONFLICTS ARE SHARED WITH THE BOARD CHAIR AND ALL COMMITTEE CHAIR MEMBERS RECUSE THEMSELVES FROM DISCUSSIONS AND VOTES ON RELATED MATTERS. AT THE BEGINNING OF EACH BOARD MEETING THE BOARD CHAIR CALLS FOR ANY CONFLICTS ON AGENDA ITEMS TO BE DISCLOSED. POTENTIAL CONFLICTS ARE SHARED AND THAT BOARD MEMBER RECUSES

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule O (Form 990) 2021

<u>Schedule O (Form 990) 2021</u> Page **2**

Employer identification number Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 THEMSELVES FROM DISCUSSIONS AND VOTES ON RELATED MATTERS. FORM 990, PART VI, SECTION B, LINE 15: THE NIU FOUNDATION'S BOARD OF DIRECTORS ARE NOT COMPENSATED. NIU FOUNDATION ASSIGNED EMPLOYEES ARE NOT PAID DIRECTLY BY THE NIU FOUNDATION. THE NIU FOUNDATION ASSIGNED EMPLOYEES ARE PAID BY THE UNIVERSITY AND THE NIU FOUNDATION REIMBURSES THE UNIVERSITY. NIU MAINTAINS A REGULAR COMPENSATION PROCESS FOR THE FOUNDATION PRESIDENT, WHICH INCLUDES THE USE OF COMPARABLE DATA. IN CONSULTATION WITH THE UNIVERSITY PRESIDENT AND IN ACCORDANCE WITH UNIVERSITY POLICIES AND PRACTICE. THE COMPENSATION COMMITTEE RECOMMENDS APPROPRIATE COMPENSATION LEVELS FOR THE NIU FOUNDATION PRESIDENT AND CEO TO THE BOARD FOR APPROVAL. FORM 990, PART VI, SECTION C, LINE 19: THE FOUNDATION'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY, AND FINANCIAL STATEMENTS ARE AVAILABLE UPON REQUEST. FORM 990, PART VII, LINE 1A THE FOUNDATION AND UNIVERSITY DO NOT MEET THE DEFINITION OF RELATED ORGANIZATIONS AS DEFINED IN THE INSTRUCTIONS TO THE FORM 990. THE UNIVERSITY PAYS THE INDIVIDUALS LISTED IN PART VII. DUE TO THE SIGNIFICANCE OF THE RELATIONSHIP. THE FOUNDATION CHOOSES TO REPORT THE RELATIONSHIP. FORM 990, PART IX, LINES 5 AND 7 THE FOUNDATION DID NOT COMPENSATE INDIVIDUALS. NIU, AN ORGANIZATION THAT DOES NOT MEET THE RELATED ORGANIZATION REQUIREMENTS OF THE FORM

Schedule O (Form 990) 2021

Name of the organization

NORTHERN ILLINOIS UNIVERSITY FOUNDATION

Page 2

Employer identification number
36-6086819

990 INSTRUCTIONS, PAYS THE INDIVIDUALS. BECAUSE OF THIS, THE SALARIES

OF THE INDIVIDUALS ARE SHOWN AS OTHER FEES FOR SERVICES.

PART IX; STATEMENT OF FUNCTIONAL EXPENSE

NORTHERN ILLINOIS UNIVERSITY PROVIDES IN-KIND SERVICE CONTRIBUTIONS

WHICH ARE REFLECTED IN THE AUDITED FINANCIAL STATEMENTS BUT ARE NOT

RECOGNIZED FOR TAX REPORTING PURPOSES. THESE AMOUNTS ARE NOT INCLUDED

IN THE STATEMENT OF FUNCTIONAL EXPENSES BUT ARE BROKEN OUT AS PROGRAM

\$895,905, MANAGEMENT \$877,294 AND FUNDRAISING \$1,920,369. THE TOTAL

AMOUNT OF \$3,693,568 IS INCLUDED AS A RECONCILING ITEM ON SCHEDULE D.

FORM 990, PART IV, LINE 34

NORTHERN ILLINOIS UNIVERSITY (NIU) IS NOT CLASSIFIED AS RELATED

ORGANIZATIONS ACCORDING TO THE FORM 990 GLOSSARY AND IN LINE 34

INSTRUCTIONS. IN TRANSPARENCY, THE FOUNDATION REPORTS THE FOLLOWING:

STACEY BARSEMA, NIU FOUNDATION BOARD MEMBER, IS SPOUSE OF DENNIS

BARSEMA, MEMBER OF NIU BOARD OF TRUSTEES AND FORMER CHAIR OF NIU BOARD

OF TRUSTEES. WHEELER COLEMAN AND CHERILYN MURER, BOTH CURRENT NIU

FOUNDATION BOARD MEMBERS ARE FORMER CHAIRS OF THE NIU BOARD OF

TRUSTEES.

PART III LINE 4A NARRATIVE CONTINUATION

WHO WE ARE

AT THE NIU FOUNDATION, WE PURSUE EXCEPTIONAL OUTCOMES FOR OUR DONORS,

OUR UNIVERSITY, AND THE COMMUNITIES WE SERVE.

Schedule O (Form 990) 2021

Schedule O (Form 990) 2021 Page **2**

Employer identification number Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 THE NIU FOUNDATION IS A NOT-FOR-PROFIT ENTITY THAT ENGAGES FRIENDS AND ALUMNI AND SECURES AND MANAGES GIFTS IN SUPPORT OF NORTHERN ILLINOIS UNIVERSITY. CREATING LIFE-CHANGING OPPORTUNITIES FOR OUR HARDWORKING, DEDICATED STUDENTS AND FACULTY IS THE NIU FOUNDATION'S HIGHEST PRIORITY. EACH YEAR, THOUSANDS OF ALUMNI AND DONORS JOIN US IN THIS ENDEAVOR. CONNECTING OUR ALUMNI NIU HAS A FIERCELY PROUD ALUMNI BASE OF MORE THAN 250,000 HUSKIES. THEY BOAST ACCOMPLISHED CAREERS IN A DIVERSE ARRAY OF PROFESSIONS AND PRIMARILY RESIDE IN THE NORTHERN ILLINOIS REGION. THESE GRADUATES CREATE DYNAMIC PROFESSIONAL NETWORKS FOR STUDENTS AND FACULTY AND PROVIDE ECONOMIC VITALITY TO THE REGION AND STATE. ALUMNI ALSO REPRESENT A VAST PIPELINE OF SUPPORT FOR THE UNIVERSITY. IN FY22, THE NIU FOUNDATION HOSTED MORE THAN 100 ENGAGEMENT EVENTS ALLOWING ALUMNI AND DONORS TO CONNECT WITH NIU, EACH OTHER, AND THE STUDENTS WHO BENEFIT FROM THEIR SUPPORT. THESE EVENTS ALSO PROVIDE VALUABLE OPPORTUNITIES TO MEET WITH NIU PRESIDENT LISA C. FREEMAN AND OTHER KEY UNIVERSITY LEADERS TO LEARN MORE ABOUT THE UNIVERSITY'S VISION AND STRATEGIC DIRECTION. MORE THAN 2,100 PEOPLE ATTENDED THESE EVENTS IN FY22, 340 OF WHOM WERE FIRST-TIME EVENT ATTENDEES. FUNDING THE FUTURE THE NIU FOUNDATION WORKS TO FIND THE INTERSECTION OF DONORS' PHILANTHROPIC GOALS AND THE STRATEGIC PRIORITIES OF THE UNIVERSITY.

<u>Schedule O (Form 990) 2021</u> Page **2**

Name of the organization **Employer identification number** NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 THE FOUNDATION MEASURES THE PRODUCTIVITY OF ITS FUNDRAISING EFFORTS ACROSS ALL AREAS OF THE UNIVERSITY THROUGH "NEW GIFTS AND COMMITMENTS," WHICH INCLUDE GIFTS OF CASH, GIFTS-IN-KIND, PLEDGES, UNREALIZED BEQUESTS, AND OTHER PLANNED GIFT COMMITMENTS. IN FY22, DONORS PROVIDED \$23.3M IN NEW GIFTS AND COMMITMENTS. THIS NUMBER INCLUDES DONATIONS MADE THROUGH TWO HIGHLY SUCCESSFUL VIRTUAL GIVING CAMPAIGNS, HUSKIES UNITED AND THOUSANDS STRONG, WHICH BROUGHT IN \$1.1M AND \$1.98M RESPECTIVELY. SCHOLARSHIPS: THE PATH FOR SOCIAL MOBILITY ACCORDING TO A REPORT FROM THE BROOKINGS INSTITUTION, NIU WAS AMONG A SELECT GROUP OF THE NATION'S PUBLIC UNIVERSITIES CITED FOR SIMULTANEOUSLY PRODUCING IMPORTANT RESEARCH WHILE ALSO ENHANCING THE SOCIAL MOBILITY OF ITS STUDENTS FROM LOW-INCOME HOUSEHOLDS: THE TWO MOST CELEBRATED PURPOSES OF THE AMERICAN PUBLIC UNIVERSITY SYSTEM. IN FACT, NIU RECEIVED THE HIGHEST RANK OF ALL PUBLIC UNIVERSITIES IN THE STATE OF ILLINOIS. IN FALL OF 2022. NIU SAW ITS NEW FRESHMAN ENROLLMENT CLIMB BY NEARLY 7% OVER THE SAME TIME LAST YEARTHE SIXTH STRAIGHT YEAR OF INCREASES IN THE SIZE OF THE FRESHMAN CLASS. THIS HAS BEEN THE LARGEST FRESHMAN CLASS SINCE 2014. ADDITIONALLY, THE FRESHMAN HUSKIES ARRIVED WITH AN IMPRESSIVE AVERAGE HIGH SCHOOL GPA OF 3.42, WHICH IS THE HIGHEST AVERAGE FOR NEW FRESHMEN ON RECORD. LOOKING AT OTHER CHARACTERISTICS OF THE FALL 2022 CLASS, NIU CONTINUES TO HAVE SUCCESS RECRUITING STUDENTS OF COLOR, WITH INCREASES IN THE

Schedule O (Form 990) 2021 Page **2**

Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification number 36-6086819
PERCENTAGES OF ASIAN AND LATINX STUDENTS, AND STRONG REPRESENTATION	
AMONG BLACK STUDENTS. THE NEW FRESHMAN CLASS IS THE MOST DIVERSE IN	
UNIVERSITY HISTORY. THE UNIVERSITY IS WORKING TOWARD A GOAL OF BECOMING	
A DESIGNATED HISPANIC SERVING INSTITUTION (HSI) WITHIN THE NEXT SEVERAL	
YEARS.	
NIU TAKES PRIDE IN PROVIDING AN ACCESSIBLE, AFFORDABLE, AND	
HIGH-QUALITY EDUCATION TO STUDENTS FROM ALL WALKS OF LIFE. IN FACT,	
MORE THAN HALF OF NIU STUDENTS ARE THE FIRST IN THEIR FAMILIES TO	
ATTEND COLLEGE, THREE IN FOUR STUDENTS ARE FROM AN UNDERREPRESENTED	
GROUP, AND 86% RELY ON SOME FORM OF FINANCIAL AID.	
SCHOLARSHIPS PLAY AN IMPORTANT ROLE IN MAKING A COLLEGE EDUCATION A	
REALITY FOR MANY OF THESE STUDENTS. THIS SUPPORT HAS ALSO PROVEN KEY TO	
PERSISTENCE, HELPING STUDENTS BECOME PROUD HUSKIE ALUMNI. IN FACT, NINE	
OUT OF 10 STUDENTS WHO RECEIVE NIU FOUNDATION SCHOLARSHIPS GRADUATE.	
THE FOUNDATION HAS BEEN WORKING TOWARD A GOAL OF RAISING \$50M IN	
SCHOLARSHIP SUPPORT BY THE END OF 2023. AT CLOSE OF FY22, WE HAD RAISED	
\$37.2M TOWARD THAT GOAL.	
TN EV22 MUE NITH BOTTON DROWTDED 62 755 044 NO OWIDENING BOD	
IN FY22, THE NIU FOUNDATION PROVIDED \$2,755,944 TO STUDENTS FOR	
SCHOLARSHIPS AND AID.	
COMMITTED TO EQUITY AND INCLUSION	
NIU IS COMMITTED TO DOING THE WORK NECESSARY TO ADDRESS SYSTEMIC	
INEQUITIES AND FOSTER A MORE INCLUSIVE CAMPUS CULTURE WHERE EVERY	
HUSKIE FEELS VALUED AND HAS THE OPPORTUNITY TO THRIVE.	

<u>Schedule O (Form 990) 2021</u> Page **2**

Employer identification number Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 NIU HAS TAKEN MANY STEPS TO STRENGTHEN ITS COMMITMENT TO DIVERSITY EQUITY, INCLUSION AND SOCIAL JUSTICE, EFFORTS THAT HAVE EARNED THE UNIVERSITY RECOGNITION AS A NATIONAL LEADER IN THESE AREAS. NIU WAS AMONG A SELECT GROUP OF INSTITUTIONS RECEIVING THE HIGHER EDUCATION EXCELLENCE IN DIVERSITY (HEED) AWARD FROM INSIGHT INTO DIVERSITY MAGAZINE THE OLDEST AND LARGEST DIVERSITY-FOCUSED PUBLICATION IN HIGHER EDUCATION. IN ADDITION, THE ASSOCIATION OF AMERICAN COLLEGES AND UNIVERSITIES (AAC&U) HAS SELECTED NIU TO HOST A TRUTH, RACIAL HEALING, AND TRANSFORMATION (TRHT) CENTER. NIU IS ONE OF ONLY 49 SUCH CENTERS NATIONWIDE. NIU AGAIN EARNED NATIONAL RECOGNITION WHEN ITS CENTER FOR BLACK STUDIES WAS NAMED CULTURAL CENTER OF THE YEAR BY THE ASSOCIATION OF BLACK CULTURAL CENTERS (ABCC). FACULTY WHO TEACH AND DO NIU'S NATIONALLY AND INTERNATIONALLY RECOGNIZED FACULTY ARE DEDICATED TO ADVANCING THEIR ACADEMIC DISCIPLINES, WORKING BEYOND TRADITIONAL BOUNDARIES, AND CREATING SUSTAINABLE SOLUTIONS TO COMPLEX SOCIETAL PROBLEMS. ADVANCING TRANSDISCIPLINARY SCHOLARSHIP IS ESSENTIAL TO PREPARING STUDENTS FOR A CENTURY OF CHANGE. THE UNIVERSITY'S PLAN FOR THE NORTHERN ILLINOIS CENTER FOR COMMUNITY SUSTAINABILITY, COMMONLY REFERRED TO AS NICCS, IS AN EXCELLENT EXAMPLE. NICCS ADDRESSES THE CHALLENGES OF ENVIRONMENTAL CHANGE, SHIFTING DEMOGRAPHICS AND

Schedule O (Form 990) 2021 Page **2**

Name of the organization	Employer identification number
NORTHERN ILLINOIS UNIVERSITY FOUNDATION	36-6086819
SOCIOPOLITICAL INEQUITY IMPACTING OUR REGION AND THE WORLD, AND	
CONNECTS RESEARCH AND INNOVATION TO ADVANCE SUSTAINABILITY IN FOOD	
SYSTEMS, WATER RESOURCES AND ENVIRONMENTAL ADAPTATION WHILE ALSO	
PROMOTING SCIENCE-BASED POLICIES AND PRACTICES FOR COMMUNITIES	
NICCS IS A HUB IN THE ILLINOIS INNOVATION NETWORK. THE NETWORK CONNECTS	
ILLINOIS PUBLIC UNIVERSITIES AND SUPPORTS COLLABORATION TO DRIVE	
INCLUSIVE INNOVATION, EQUITABLE WORKFORCE DEVELOPMENT, AND SUSTAINABLE	
ECONOMIC GROWTH THROUGHOUT OUR STATE.	
NIU FACULTY ARE ALSO CONDUCTING CUTTING-EDGE, TRANSDISCIPLINARY	
RESEARCH IN RELATIVELY NEW FIELDS SUCH AS URBAN-REGIONAL MODELING,	
DISASTER ATTRIBUTION, CONTROLLED ENVIRONMENT AGRICULTURE, PRAIRIE	
RESTORATION AND FOREVER CHEMICALS. MOREOVER, WE ARE FORGING TIES	
BETWEEN UNIVERSITY, COMMUNITY, GOVERNMENT AND BUSINESSES, WITH PROJECTS	
UNDERWAY BETWEEN NIU AND THE U.S. GEOLOGICAL SURVEY, ARGONNE NATIONAL	
LABORATORY, THE DEKALB COUNTY COMMUNITY GARDENS, THE UNIVERSITY OF	
ILLINOIS, AND SOUTHERN ILLINOIS UNIVERSITY.	
THESE AND MYRIAD OTHER PROJECTS PROVIDE THE OPPORTUNITY FOR STUDENTS TO	
PARTICIPATE IN CUTTING-EDGE RESEARCH ALONGSIDE FACULTY WHO ARE LEADERS	
IN THEIR FIELDS. THESE OPPORTUNITIES ARE A HALLMARK OF THE	
UNDERGRADUATE EXPERIENCE AT NIU.	
FROM THE MOMENT THEY STEP ON CAMPUS, STUDENTS ARE ENCOURAGED TO APPLY	
WHAT THEY'VE LEARNED IN THE CLASSROOM TO THEIR OWN FACULTY GUIDED	
RESEARCH OR ARTISTRY PROJECT. WORKING CLOSELY WITH THEIR FACULTY	
MENTORS, STUDENTS PRESENT AT CONFERENCES, WIN AWARDS FOR THEIR	

Schedule O (Form 990) 2021 Page 2

Employer identification number Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 RESEARCH, AND HAVE ATTRACTED MEDIA ATTENTION WITH THEIR FINDINGS. PRIVATE DONATIONS HELP MAKE THESE EXPERIENCES POSSIBLE BY SUPPORTING INITIATIVES LIKE RESEARCH ROOKIES, A PROGRAM THAT LINKS FIRST-YEAR AND SOPHOMORE STUDENTS WITH FACULTY MENTORS IN THEIR AREAS OF INTEREST TO CONDUCT SMALL SCALE RESEARCH PROJECTS. EVIDENCE STRONGLY INDICATES THAT STUDENT RESEARCH PARTICIPATION INCREASES RETENTION AND BOLSTERS JOB AND GRADUATE SCHOOL OPPORTUNITIES. IN FY22, THE NIU FOUNDATION SUPPLIED \$5,325,420 TO SUPPORT CAMPUS DEPARTMENTS, PROGRAMS AND PROFESSORSHIPS. CAPITAL PROJECTS OVER THE PAST 20 YEARS, NIU DONORS HAVE LITERALLY CHANGED THE LANDSCAPE OF THE UNIVERSITY BY PROVIDING STATE-OF-THE-ART FACILITIES THAT SIMPLY WOULD NOT EXIST WITHOUT PRIVATE SUPPORT. CAMPUS LANDMARKS SUCH AS BARSEMA HALL, THE BARSEMA ALUMNI AND VISITORS CENTER, THE YORDON CENTER, AND THE KENNETH AND ELLEN CHESSICK PRACTICE FACILITY WERE MADE POSSIBLE THROUGH THE GENEROSITY OF DONORS. IN FY22 OVER \$269,374 WAS SPENT ON BUILDING PROJECTS AND EQUIPMENT TO ENHANCE THE LEARNING AND LIVING ENVIRONMENT AT NIU. PART III LINE 4A NARRATIVE CONTINUATION INVESTING FOR THE FUTURE THE NIU FOUNDATION ENDOWMENT PROVIDES A STRATEGIC BASE OF FUNDING TO SUSTAIN EXCELLENCE AT NIU. AS OF JUNE 30, 2022, THE NIU FOUNDATION HELD

Schedule O (Form 990) 2021 Page 2 **Employer identification number** Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 NET ASSETS OF \$155.5M, \$89.7M OF WHICH WERE ENDOWMENT. THE NIU FOUNDATION ENDOWMENT FUND IS OVERSEEN BY MEMBERS OF THE NIU FOUNDATION BOARD OF DIRECTORS, ALL OF WHOM ARE GENEROUS DONORS THEMSELVES. THE BOARD'S INVESTMENT COMMITTEE CONDUCTS A THOROUGH PROCESS TO SELECT AND ENGAGE AN INDEPENDENT INVESTMENT CONSULTANT AND TO SET AND EXECUTE INVESTMENT POLICY AIMED TO ATTAIN THE HIGHEST POSSIBLE TOTAL RATE OF RETURN, COMMENSURATE WITH AN APPROPRIATE DEGREE OF RISK; PROVIDE GROWTH OF THE MARKET VALUE OF THE ENDOWMENT'S PRINCIPAL TO PROTECT AGAINST INFLATION; AND GENERATE CASH FLOW TO FUND SCHOLARSHIPS AND OTHER PRIORITIES. ANOTHER IMPORTANT INVESTMENT MADE BY THE NIU FOUNDATION IS IN THE CREATION OF OPPORTUNITIES FOR STUDENTS TO BE INVOLVED IN THE LIFE OF OUR WORK. THE NIU FOUNDATION BOARD OF DIRECTORS HAS TWO ACTIVE, VOTING MEMBERS FROM THE STUDENT BODY WHOSE INPUT IS HIGHLY SOUGHT AND VALUED. AND DOZENS OF STUDENTS GAIN VALUABLE EXPERIENCE WORKING IN THE FOUNDATION'S VARIOUS DEPARTMENTS FROM ALUMNI RELATIONS, ACCOUNTING, COMMUNICATIONS, AND DONOR RELATIONS.

IN ADDITION, THE FOUNDATION SPONSORS A STUDENT-MANAGED PORTFOLIO

PROGRAM IN PARTNERSHIP WITH OUR NATIONALLY RANKED COLLEGE OF BUSINESS

THAT PROVIDES STUDENT ANALYSTS REAL-WORLD EXPERIENCE IN INVESTMENT AND

PORTFOLIO MANAGEMENT. STUDENTS MEET WEEKLY TO MANAGE A PORTION OF THE

Schedule O (Form 990) 2021

Schedule O (Form 990) 2021	Page 2
Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer identification number 36-6086819
NIU FOUNDATION PORTFOLIO. THEIR RESULTS ARE OUTSTANDING AS EVIDENCED IN	
THEIR YEAR-OVER-YEAR PERFORMANCE. STUDENTS PROVIDE PRESENTATIONS TO THE	
NIU FOUNDATION BOARD OF DIRECTORS, EXPERIENCES THAT ARE PIVOTAL TO	
THEIR CAREER DEVELOPMENT. EMPLOYERS REPORT THAT THESE STUDENTS ARE	
PREPARED TO WORK QUICKLY IN THE REAL WORLD AND HIGHLY VALUED.	
THE FUTURE LOOKS BRIGHT	
A UNIVERSITY WITH THE ENERGY AND MOMENTUM OF NIU REQUIRES AN	
EVER-INCREASING LEVEL OF SUPPORT.	
IN ANTICIPATION OF THESE NEEDS, THE NIU FOUNDATION IS CURRENTLY	
PLANNING FOR A COMPREHENSIVE CAMPAIGN TO ENSURE THAT POSITIVE ENERGY,	
AND LIFE-CHANGING MOMENTUM CONTINUE FOR GENERATIONS OF HARDWORKING,	
DEDICATED HUSKIES.	
THE ENGAGEMENT AND SUPPORT OF NIU DONORS AND ALUMNI ARE ALWAYS	
INVALUABLE, BUT EVEN MORE SO AS THE NIU FOUNDATION NEARS LAUNCHING	
NIU'S MOST ASPIRATIONAL MULTIYEAR COMPREHENSIVE FUNDRAISING CAMPAIGN.	
THROUGH A UNITED EFFORT AND A VISIONARY PLAN FOR THE FUTURE, OUR	
ABILITY TO MEET THE NEEDS OF OUR STUDENTS AND SOCIETY'S CHALLENGES WILL	
BE ENHANCED AND ENERGIZED DUE TO THE CONTINUED GENEROSITY OF OUR ALUMNI	
AND DONORS.	

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.

Attach to Form 990.

Department of the Treasury Internal Revenue Service

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization NORTHERN ILLINOIS UN	IVERSITY FOUNDATION				Employer identifi 36-6086819	
Part I Identification of Disregarded Entities. Comple	te if the organization answered "Ye	s" on Form 990, Part IV, line 33	з.			
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state o foreign country)	(d) r Total incor	(e) End-of-year	assets Direct of	(f) controlling ntity
ALUMNI ASSOCIATION TRAVEL PROGRAM LLC -						
85-3230744, 595 COLLEGE AVENUE, ALTGLD HALL					NORTHERN IL	LINOIS
135, DEKALB, IL 60115	ALUMNI TRAVEL PROGRAM	ILLINOIS	460,	877. 245	,804. UNIVERSITY	FOUNDATION
Part II Identification of Related Tax-Exempt Organizations during the tax year.	ations. Complete if the organization	n answered "Yes" on Form 990	, Part IV, line 34, be	ecause it had one o	or more related tax-exe	mpt
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes No

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

PUBLIC UNI.

REAL ESTATE HOLDING

NORTHERN ILLINOIS UNIVERSITY - 36-6008480

NIU REAL ESTATE FOUNDATION - 84-2917334

595 COLLEGE AVENUE, ALTGLD HALL 135

1515 W LINCOLN HIGHWAY DEKALB, IL 60015

DEKALB, IL 60015

Schedule R (Form 990) 2021

NORTHERN ILLINOIS

UNIVERSITY

FOUNDATION

LINE 12A, I

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Х

ILLINOIS

ILLINOIS

GOVT

501(C)(3)

Part III Identification of Related Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a)	(b)	(c)	(d)	(e)	(f)	(g)	(1	h)	(i)	(j)	(k)
Name, address, and EIN of related organization	Primary activity	Legal domicile (state or foreign	Direct controlling	Predominant income (related, unrelated, excluded from tax under sections 512-514)	Share of total	Share of end-of-year assets	Disprop alloca	ortionata	code V-UBI amount in box 20 of Schedule		Percentage
		country)		sections 512-514)			Yes	No	K-1 (Form 1065)	Yes N	<u> </u>
	1										
	1										
	1										
	1										
	1										
	1										
	l	l	l	1		l			<u> </u>	\perp	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust. Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership		tion b)(13) rolled tity?
		country						Yes	No

Schedule R (Form 990) 2021

art V	Transactions With Related Organizations.	Complete if the organization answered '	"Yes" on Form 990,	Part IV, line 34, 35b, or 36.
-------	--	---	--------------------	-------------------------------

Not	e: Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.					Yes	No
1	During the tax year, did the organization engage in any of the following transactions						
а	Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity				1a		Х
b	Gift, grant, or capital contribution to related organization(s)				1b		Х
С	Gift, grant, or capital contribution from related organization(s)				1c		Х
d	Loans or loan guarantees to or for related organization(s)				1d		Х
	Loans or loan guarantees by related organization(s)				1e		Х
	Dividends from related organization(s)				1f		Х
g	Sale of assets to related organization(s)				1g		Х
h	Purchase of assets from related organization(s)				1h		Х
i	Exchange of assets with related organization(s)				1i		Х
j	Lease of facilities, equipment, or other assets to related organization(s)				1j	Х	
k	Lease of facilities, equipment, or other assets from related organization(s)				1k		Х
- 1	Performance of services or membership or fundraising solicitations for related organ	nization(s)			11	Х	
	Performance of services or membership or fundraising solicitations by related organ				1m		Х
n	Sharing of facilities, equipment, mailing lists, or other assets with related organization	on(s)			1n	Х	
0	Sharing of paid employees with related organization(s)				10	Х	
р	Reimbursement paid to related organization(s) for expenses				1p		Х
	Reimbursement paid by related organization(s) for expenses				1q		Х
r	Other transfer of cash or property to related organization(s)				1r	Х	
s	Other transfer of cash or property from related organization(s)				1s		X
_2	If the answer to any of the above is "Yes," see the instructions for information on whether the answer to any of the above is "Yes," see the instructions for information on whether the answer to any of the above is "Yes," see the instructions for information on whether the answer to any of the above is "Yes," see the instructions for information on whether the answer to any of the above is "Yes," see the instructions for information on whether the above is "Yes," see the instructions for information on whether the above is "Yes," see the instructions for information on whether the above is "Yes," see the instructions for information on whether the above is "Yes," see the instructions for information on whether the above is "Yes," see the instructions for information on whether the above is "Yes," and "Y	ho must complete th	is line, including covered r	elationships and transaction thresholds.			
	(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount inv	olved		
<u>(1)</u>							
(2)							
(3)							
<u>,</u>							
(4)							

<u>(5)</u>

Part VI Unrelated Organizations Taxable as a Partnership. Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under sections 512-514)	Are all partners sec 501(c)(3) orgs.?	(g) Share of end-of-year assets	Dispretion allocat	opor- ate tions?		General manage partne	(k) Al or Percentage ownership
			,	Tes No		163	140		1031	10
	-									
	-									
										<u> </u>
	-							Och dala		

Schedule R (Form 990) 2021

CARRYOVER DATA TO 2022

Name NORTHERN ILLINOIS UNIVERSITY FOUNDATION	Employer Identificatio 36-6086819	n Number
Based on the information provided with this return, the following are possible carryover amounts to next yo	ear.	
FEDERAL POST-2017 NET OPERATING LOSS - PARTNERSHIP INVESTMEN		53,805.
FEDERAL CONTRIBUTION - 50% CASH		3,153,505.
CA CONTRIBUTION - 50% CASH		202.
	·	_
	· ·	

	32 Annual Limitation		Section 382 Carryover Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amoun
'ear	Original	Total	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used fo
rigi-	Carrvover	Amount	06/30/22	-							
ated	Amount	Used	17.610								<u> </u>
2020	71,417.	17,612.	17,612.								
	E Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amou
etail	S Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used f
уре	Amount S Used for B C										
	C										
					1	I	ı	1	1	1	1

	nd Entity: ALUM 32 Annual Limitation	MNI TRAVEL TOU	RS AN POST-203 Section 382 Carryover		DETAIL C	ARRYOVER SCH	EDULE				
/ear Origi- ated	Original Carryover Amount	Total Amount Used	Amount Used for 06/30/22	Amount Used for	Amoui Used f						
2020	47,956.	47,956.	47,956.								
_	E Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amou
etail	E Amount S Used for	Used for	Used for	Amount Used for	Amount Used for	Used for	Used for	Used for	Amount Used for	Used for	Used
/ре	S Used for B C										
	C										
- 1	1 1										

		and Entity: CON 382 Annual Limitation	NTRIBUTION - 5	0% CASH CA Section 382 Carryover		DETAIL C	ARRYOVER SCH	EDULE				
	Year Origi- ated	Original Carryover Amount	Total Amount Used	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for
	2020 2021	101. 101.	Used									
A B C D E F G H	2021	101.										
E												
G												
ı I J												
K												
M												
K L M N O P Q R S T U V W												
Q												
S												
U												
w		E Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
	Detail Type	S Used for B C	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for
A												
A B C D E F G H												
E												
G												
J K												
M												
K L M N O P Q R S T U												
Q												
S												
U V												
W												

	e and Entity: CC	NTRIBUTION - 5	50% CASH FED Section 382 Carryover		DETAIL CARRYOVER SCHEDULE						
Yea Orig	r Original i- Carryover d Amount	Total Amount Used	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for	Amount Used for
20: 3	3,153,505	•									
20: 3 0 1											
Ì											
3											
(
/ /	E Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount	Amount
Deta Typ	il S Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for	Used for
3											
A B C D E E E E											
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N											
3											
N N N N N N N N N N N N N N N N N N N											
/ V											

Form	990-T	E	Exempt Organization Business Income Tax Return (and proxy tax under section 6033(e))		OMB No. 1545-0047	
	For calendar year 2021 or other tax year beginning JUL 1, 2021 , and ending JUN 30, 2022				2021	
Department of the Treasury Internal Revenue Service		•	\blacktriangleright Go to www.irs.gov/Form990T for instructions and the latest information. Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).	Op:	en to Public Inspection for 1(c)(3) Organizations Only	
Α	Check box if address changed.		Name of organization (D Employe	r identification number	
B Exempt under section		Print	nt NORTHERN ILLINOIS UNIVERSITY FOUNDATION		36-6086819	
X	X 501(c)(3) 408(e) 220(e)		Number, street, and room or suite no. If a P.O. box, see instructions. ALTGELD HALL 134	EGroup ex (see instr	kemption number ructions)	
	408A 530(a) 529(a) 529A		City or town, state or province, country, and ZIP or foreign postal code DEKALB, IL 60115	F	Check box if	
	C Book value of all assets at end of year ► 169,106,712.		ok value of all assets at end of year 169,106,712.	an amended return.		
G	Check organization	type 🕨	X 501(c) corporation 501(c) trust 401(a) trust Other trust			
Н	Check if filing only to ▶ ☐ Claim credit from Form 8941 ☐ Claim a refund shown on Form 2439					
<u></u>	Check if a 501(c)(3) organization filing a consolidated return with a 501(c)(2) titleholding corporation					
<u>J</u>	Enter the number of attached Schedules A (Form 990-T)					
	During the tax year, was the corporation a subsidiary in an affiliated group or a parent-subsidiary controlled group? Yes X No If "Yes," enter the name and identifying number of the parent corporation.					
<u></u>	The books are in care of ► KYM KOWALSKI Telephone number ► 815-753-1663					
Part I Total Unrelated Business Taxable Income						
1	Total of unrelated business taxable income computed from all unrelated trades or businesses (see					
	instructions)			1	109,367.	
2	Reserved			2		
3	Add lines 1 and 2				109,367.	
4	Charitable contributions (see instructions for limitation rules) STMT 1 STMT 2				27,092.	
5	Total unrelated business taxable income before net operating losses. Subtract line 4 from line 3				82,275.	
6	Deduction for net operating loss. See instructions					
7	Total of unrelated business taxable income before specific deduction and section 199A deduction.				00 005	
	Subtract line 6 from line 5				82,275.	
8	1 , , , , , , , , , , , , , , , , , , ,				1,000.	
9					1 000	
10	Total deductions. Add lines 8 and 9				1,000.	
11		ss taxa	ble income. Subtract line 10 from line 7. If line 10 is greater than line 7,		01 275	
enter zero 11 Part II Tax Computation					81,275.	
			s corporations. Multiply Part I, line 11 by 21% (0.21)	1	17,068.	
 Organizations taxable as corporations. Multiply Part I, line 11 by 21% (0.21) Trusts taxable at trust rates. See instructions for tax computation. Income tax on the amount on 					27,000.	
Part I, line 11 from: Tax rate schedule or Schedule D (Form 1041)				2		
3						
4	Other tax amounts			3		
5						
6			cility income. See instructions	6		
	7 Total. Add lines 3 through 6 to line 1 or 2, whichever applies				17,068.	
LHA			on Act Notice, see instructions.		Form 990-T (2021)	

Part		Tax and Payments							age 2
			110: truoto attach Form	1116\	10				
1a		gn tax credit (corporations attach Form 1 redits (see instructions)					\dashv		
b		ral business credit. Attach Form 3800 (se	oo instructions)				\dashv		
c d		t for prior year minimum tax (attach Forn							
e		credits. Add lines 1a through 1d					1e		
2		and the state of a fermion David II. the state					2	17	068.
3			1 4255 Form 861				-		
Ū	Otiloi						3		
4	Total	tax. Add lines 2 and 3 (see instructions)	`						
·			· eneck ii iiie	•		aridor	4	17,	068.
5		nt net 965 tax liability paid from Form 96							0.
6a		ents: A 2020 overpayment credited to 2				72,068			
b		estimated tax payments. Check if section		_	6b				
С					6c				
d	Forei	gn organizations: Tax paid or withheld at							
е	Backı	up withholding (see instructions)			. 6e				
f	Credi	t for small employer health insurance pre	emiums (attach Form 894	.1)	6f				
g	Other	credits, adjustments, and payments:			_				
		Form 4136	Other	Total	▶ 6g				
7	Total	payments. Add lines 6a through 6g					7	72,	,068.
8	Estim	ated tax penalty (see instructions). Chec	k if Form 2220 is attache	ed		▶ ∟	8		
9		lue. If line 7 is smaller than the total of lir					9		
10		payment. If line 7 is larger than the total					10	55,	,000.
11 Part		the amount of line 10 you want: Credite				Refunded >	11		0.
		Statements Regarding Certain						1.,	Т
1		y time during the 2021 calendar year, did	•		•	•	,	Yes	No
		a financial account (bank, securities, or c							
	here	EN Form 114, Report of Foreign Bank an	d Financial Accounts. II	res, enter tr	ie name or the r	oreign country			х
2		g the tax year, did the organization recei	vo a distribution from or	was it the ara	entor of or trans	eforer to a			
		n trust?		-					х
		s," see instructions for other forms the o							
3		the amount of tax-exempt interest receive				\$			
4		available pre-2018 NOL carryovers here					arrvover		
		n on Schedule A (Form 990-T). Don't red							
5		2017 NOL carryovers. Enter available Bu	•	-	-	-	,		
		mounts shown below by any NOL claime					S.		
		Business Activ				ost-2017 NOL			
		9000	000		\$		71,417.		
		5600	000		\$		47,956.		
6a	Did th	ne organization change its method of acc	counting? (see instruction	ns)					Х
b	If 6a i	s "Yes," has the organization described	the change on Form 990,	, 990-EZ, 990-	PF, or Form 11	28? If "No,"			
		in in Part V							
Part	V	Supplemental Information							
Provide	e the ex	xplanation required by Part IV, line 6b. A	lso, provide any other ad	ditional inform	nation. See instr	ructions.			
	1								
Sign		nder penalties of perjury, I declare that I have examined prect, and complete. Declaration of preparer (other tha					eage and beliet, it is ti	ue,	
Here			1		and		May the IRS discuss the		with
		Signature of officer	Date	Title	T AND CEO	_	the preparer shown be	_	¬ м.
		1	T	11116	D .			Yes	No
		Print/Type preparer's name	Preparer's signature		Date	Check	if PTIN		
Paid		DORI I ECCEMM	DOBI I ECCEME		1 /00 /22	self- employed		: 2	
Prepa		DORI J. EGGETT	DORI J. EGGETT	<u> </u>	11/09/22	Firms - FIN .	P0064525		
Use C	Only	Firm's name PLANTE & MORAN, P 8181 E TUFTS A				Firm's EIN	30-135	1931	
		Firm's address DENVER, CO 802	•			Dhone no	303-740-9400		
123711 0	11-21-00	TIMES AUDIESS DEMVER, CO 602				Phone no.		990-T	(0004)
123/11	, 1-0 1-22						⊢orm -	I	(2027)

FORM 990-T	CONTRIBUTIONS	STATEMENT 1
DESCRIPTION/KIND OF PROPERTY	METHOD USED TO DETERMINE FMV	AMOUNT
CHARITABLE CONTRIBUTIONS - NORTHERN ILLINOIS UNIVERSITY	N/A	3,180,496.
CHARITABLE CONTRIBUTIONS - NORTHGATE PRIVATE EQUITY	N/A	
PARTNERS II, LP CHARITABLE CONTRIBUTIONS -	N/A	80.
AMBERBROOK VI, LLC CHARITABLE CONTRIBUTIONS -	N/A	2.
AMBERBROOK VII LP CHARITABLE CONTRIBUTIONS - AMBERBROOK VIII LP	N/A	5. 2.
CHARITABLE CONTRIBUTIONS - ROCKLAND POWER PARTNERS III,	N/A	2.
LP CHARITABLE CONTRIBUTIONS -	N/A	11.
RIVERCREST CAPITAL PARTNERS	,	1.
TOTAL TO FORM 990-T, PART I, L	INE 4	3,180,597.

FORM 990-T CONTRIBUTIONS SUMMARY		STATEMENT 2
QUALIFIED CONTRIBUTIONS SUBJECT TO 100% LIMIT		
QUALIFIED CONTRIBUTIONS SUBJECT TO 25% LIMIT	3,180,496	
CARRYOVER OF PRIOR YEARS UNUSED CONTRIBUTIONS		
FOR TAX YEAR 2016		
FOR TAX YEAR 2017		
FOR TAX YEAR 2018 FOR TAX YEAR 2019		
FOR TAX YEAR 2019		
TOTAL CARRYOVER	101	
TOTAL CURRENT YEAR 10% CONTRIBUTIONS	101	_
TOTAL CONTRIBUTIONS AVAILABLE	3,180,597	
TAXABLE INCOME LIMITATION AS ADJUSTED	27,092	
EXCESS CONTRIBUTIONS	3,153,505	_
EXCESS 100% CONTRIBUTIONS	0,133,303	
TOTAL EXCESS CONTRIBUTIONS	3,153,505	
ALLOWABLE CONTRIBUTIONS DEDUCTION		- 27,092
TOTAL CONTRIBUTION DEDUCTION		27,092

SCHEDULE A (Form 990-T)

Unrelated Business Taxable Income From an Unrelated Trade or Business

OMB No. 1545-0047

2021

Open to Public Inspection for

Department of the Treasury Internal Revenue Service ► Go to www.irs.gov/Form990T for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

A Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION

B Employer identification number 36-6086819

C Unrelated business activity code (see instructions) 900000

D Sequence: 1 of 2

Pa	Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1 a	Gross receipts or sales				
b	Less returns and allowances c Balance ▶	1c			
2	Cost of goods sold (Part III, line 8)	2			
3	Gross profit. Subtract line 2 from line 1c	3			
4 a	Capital gain net income (attach Sch D (Form 1041 or Form				
	1120)). See instructions	4a	43,092.		43,092.
b	Net gain (loss) (Form 4797) (attach Form 4797). See instructions)	4b			
С	Capital loss deduction for trusts	4c			
5	Income (loss) from a partnership or an S corporation (attach				
	statement) STATEMENT 3	5	16,218.		16,218.
6	Rent income (Part IV)	6			
7	Unrelated debt-financed income (Part V)	7			
8	Interest, annuities, royalties, and rents from a controlled				
	organization (Part VI)	8			
9	Investment income of section 501(c)(7), (9), or (17)				
	organizations (Part VII)	9			
10	Exploited exempt activity income (Part VIII)	10			
11	Advertising income (Part IX)	11			
12	Other income (see instructions; attach statement) STMT 4	12	271.		271.
13	Total. Combine lines 3 through 12	13	59,581.		59,581.

Part II Deductions Not Taken Elsewhere See instructions for limitations on deductions. Deductions must be directly connected with the unrelated business income

1	Compensation of officers, directors, and trustees (Part X)		1	
2	Salaries and wages			
3	Repairs and maintenance		3	
4	Bad debts		4	
5	Interest (attach statement). See instructions			
6	Taxes and licenses			
7	Depreciation (attach Form 4562). See instructions			
8	Less depreciation claimed in Part III and elsewhere on return	8a	8b	
9	Depletion		9	
10				
11	Employee benefit programs		11	
12	Excess exempt expenses (Part VIII)			
13	Excess readership costs (Part IX)			
14	Other deductions (attach statement)	SEE STATEMENT 5	14	37,566.
15	Total deductions. Add lines 1 through 14		15	37,566.
16	Unrelated business income before net operating loss deduction. Subtract	line 15 from Part I, line 13,		
	column (C)		16	22,015.
17	Deduction for net operating loss. See instructions	STATEMENT 6	17	17,612.
18	Unrelated business taxable income. Subtract line 17 from line 16		18	4,403.
1 1 1 1	For Denominal Poduction Act Notice and instructions		Calaadula A /F	000 T) 0004

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule A (Form 990-T) 2021

Dogo	

Part	III Cost of Goods Sold Fnter me	thod of inventory valuatio	n •		Page Z
1		thou of inventory valuation	., -	1	_
2	Purchases				
3	Cost of labor				
4	Additional section 263A costs (attach statement)			4	
5	Other costs (attach statement)				
6	Total. Add lines 1 through 5				
7	Inventory at end of year				
8	Cost of goods sold. Subtract line 7 from line 6. Enter				_
9	Do the rules of section 263A (with respect to property				Yes No
Part					
1	Description of property (property street address, city,	state, ZIP code). Check if	a dual-use. See instru	ctions.	
	A	,			
	В				
	С				
	D				
		Α	В	С	
2	Rent received or accrued				
а	From personal property (if the percentage of				
	rent for personal property is more than 10%				
	but not more than 50%)				
b	From real and personal property (if the				
	percentage of rent for personal property exceeds				
	50% or if the rent is based on profit or income)				
С	Total rents received or accrued by property.				
	Add lines 2a and 2b, columns A through D				
	,		•	<u>'</u>	
3	Total rents received or accrued. Add line 2c columns	A through D. Enter here a	nd on Part I. line 6. col	umn (A)	0.
	Deductions directly connected with the income		, ,		
4	in lines 2(a) and 2(b) (attach statement)				
	() () () () () () () () () ()		•	<u>'</u>	
5	Total deductions. Add line 4 columns A through D. E	nter here and on Part I, lir	ne 6, column (B)		0.
Part		see instructions)			
1	Description of debt-financed property (street address,	city, state, ZIP code). Che	eck if a dual-use. See i	nstructions.	
	A				
	В				
	c 🗆				
	D				
		Α	В	С	D
2	Gross income from or allocable to debt-financed				
	property				
3	Deductions directly connected with or allocable				
	to debt-financed property				
а	Straight line depreciation (attach statement)				
b	Other deductions (attach statement)				
С	Total deductions (add lines 3a and 3b,				
-	columns A through D)				
4	Amount of average acquisition debt on or allocable				
•	to debt-financed property (attach statement)				
5	Average adjusted basis of or allocable to debt-				
•	financed property (attach statement)				
6	Divide line 4 by line 5		%	%	%
7	Gross income reportable. Multiply line 2 by line 6		70	70	70
8	Total gross income (add line 7, columns A through D		L line 7 column (Δ)	<u> </u>	0.
		,. Entor hore and one all	.,o r, column (A)		
9	Allocable deductions. Multiply line 3c by line 6				
10	Total allocable deductions. Add line 9, columns A th	rough D. Enter here and	on Part I. line 7. colum	n (B)	0.
11	Total dividends-received deductions included in line				0.

Part \	VI Interest, Annu	uities, R	oyalties, and Re	ents fror	n Control	led Or	ganizations	S (se	ee instruct	ions)	Page 3	
						E	Exempt Contro					
	Name of controlled organization		identification inco				al of specified nents made	5. Part of column 4 that is included in the controlling organization's gross income		in the aniza-	6. Deductions directly connected with income in column 5	
(1)												
(2)												
(3)												
(4)						L						
	Tayabla Ingome				Controlled O		ons 10. Part	of colu		- 44	Daduations directly	
	Taxable Income	ir	Net unrelated ncome (loss) e instructions)		otal of specif yments mad		that is inc	luded	in the zation's		Deductions directly connected with come in column 10	
(1)												
(2)											<u> </u>	
(3)												
(4)												
							Add colum Enter here line 8, c	and or	n Part I,	Ente	d columns 6 and 11. er here and on Part I, ine 8, column (B)	
Totals						<u></u>			0.		0.	
Part \			of a Section 50	1(c)(7), (T		nization (s	ee inst	ructions)			
	1. Des	cription of	income		2. Amou incor		3. Deduction directly connected (attach states	ected	4. Set- (attach st	asides atemer	5. Total deductions and set-asides (add cols 3 and 4)	
(1)												
(2)												
(3)												
(4)					Add amor column 2 here and o line 9, colu	. Enter n Part I,					Add amounts in column 5. Enter here and on Part I, line 9, column (B)	
Totals	7111					0.					0.	
Part \	VIII Exploited E	xempt A	Activity Income	Other 1	Than Adve	ertising	g Income (see ins	structions)			
1	Description of exploite	•										
2	Gross unrelated busin						•			2		
3	Expenses directly con		•									
	line 10, column (B) Net income (loss) from	n unrelated	I trade or business.	Subtract lir	ne 3 from line	e 2. If a (gain, complete			3		
5	lines 5 through 7 Gross income from ac	tivity that	is not uprolated bus	inose inos						5		
										6		
7	Expenses attributable Excess exempt expen											
	4. Enter here and on F			, but uo 11	or officer fillor	o undir li	io amount off f			7		

Schedule A (Form 990-T) 2021

P:	ar	10	

Part	IX Advertising Income					Page
1	Name(s) of periodical(s). Check box if reporting	two or more n	oriodicale on	a consolidated basis	•	
'		two or more p	eriodicais on a	a consolidated basis	5.	
	A					
	В					
	c					
	D					
Enter a	amounts for each periodical listed above in the co	rresponding c	olumn.			
			Α	В	С	D
2	Gross advertising income					
	Add columns A through D. Enter here and on Pa		olumn (A)	•	•	. 0
а	3	,	()			
3	Direct advertising costs by periodical					
а	Add columns A through D. Enter here and on Pa		olumn (B)	_ l		. 0
<u> </u>	Add Goldming A through D. Enter Horo and off the	urt 1, 11110 1 1 1, 0	olamir (b)		······································	
4	Advertising gain (loss). Subtract line 3 from line					
-						
	2. For any column in line 4 showing a gain,					
	complete lines 5 through 8. For any column in					
	line 4 showing a loss or zero, do not complete					
_	lines 5 through 7, and enter zero on line 8			+		
5	Readership costs			+		
6	Circulation income			1		
7	Excess readership costs. If line 6 is less than					
	line 5, subtract line 6 from line 5. If line 5 is less	I				
	than line 6, enter zero					
8	Excess readership costs allowed as a					
	deduction. For each column showing a gain on					
	line 4, enter the lesser of line 4 or line 7					
а	Add line 8, columns A through D. Enter the great		8a, columns t	otal or zero here an	d on	
	Part II, line 13					. 0
Part	X Compensation of Officers, Dire	ctors, and		(see instructions)		
					3. Percentage	4. Compensation
	1. Name		2. Title		of time devoted	attributable to
					to business	unrelated business
(1)					%	
(2)					%	
(3)					%	
(4)					%	
<u> /</u>	1				, ,	
Total	Enter here and on Part II, line 1				▶	0
Part						
ı uıt	Cappionional information (See	iristructions)				

FORM 990-T (A) INCOME (LOSS) FROM PARTNERSHIPS	STATEMENT 3
DESCRIPTION	NET INCOME OR (LOSS)
HARVEST MLP INCOME FUND II LLC - ORDINARY BUSINESS INCOME	-35.
(LOSS) HARVEST MLP INCOME FUND II LLC - ORDINARY BUSINESS INCOME (LOSS)	-56 .
ROCKLAND POWER PARTNERS III, LP - ORDINARY BUSINESS INCOME (LOSS)	-65,520.
NORTHGATE IV, LP - ORDINARY BUSINESS INCOME (LOSS) NORTHGATE PRIVATE EQUITY PARTNERS II, LP - ORDINARY	303.
BUSINESS INCOME (LOSS) NORTHGATE PRIVATE EQUITY PARTNERS II, LP - NET RENTAL REAL	1,455.
ESTATE INCOME NORTHGATE PRIVATE EQUITY PARTNERS II, LP - INTEREST INCOME	-102. 16.
AMBERBROOK VI, LLC - ORDINARY BUSINESS INCOME (LOSS) AMBERBROOK VI, LLC - NET RENTAL REAL ESTATE INCOME	86. -8.
AMBERBROOK VI, LLC - INTEREST INCOME AMBERBROOK VI, LLC - DIVIDEND INCOME	19. 30.
AMBERBROOK VI, LLC - ROYALTIES AMBERBROOK VI, LLC - OTHER PORTFOLIO INCOME (LOSS)	2. 81.
AMBERBROOK VI, LLC - OTHER INCOME (LOSS) AMBERBROOK VII LP - ORDINARY BUSINESS INCOME (LOSS)	153. 2,504.
AMBERBROOK VII LP - NET RENTAL REAL ESTATE INCOME AMBERBROOK VII LP - OTHER NET RENTAL INCOME (LOSS)	-25. 13.
AMBERBROOK VII LP - INTEREST INCOME AMBERBROOK VII LP - DIVIDEND INCOME	1,712. 58.
AMBERBROOK VII LP - ROYALTIES AMBERBROOK VII LP - OTHER PORTFOLIO INCOME (LOSS)	4. 75.
AMBERBROOK VII LP - OTHER INCOME (LOSS) AMBERBROOK VIII LP - ORDINARY BUSINESS INCOME (LOSS)	95. 1,245.
AMBERBROOK VIII LP - NET RENTAL REAL ESTATE INCOME AMBERBROOK VIII LP - OTHER NET RENTAL INCOME (LOSS)	-8. 2.
AMBERBROOK VIII LP - INTEREST INCOME AMBERBROOK VIII LP - DIVIDEND INCOME	22. 48.
AMBERBROOK VIII LP - ROYALTIES AMBERBROOK VIII LP - OTHER PORTFOLIO INCOME (LOSS)	1. -1.
AMBERBROOK VIII LP - OTHER INCOME (LOSS) THE ENERGY & MINERALS GROUP FUND II, LP - ORDINARY	444.
BUSINESS INCOME (LOSS) ACCOLADE PARTNERS VII, P.L ORDINARY BUSINESS INCOME	62,304.
(LOSS) ACCOLADE PARTNERS VII, P.L INTEREST INCOME	-1,026. 114.
ACCOLADE PARTNERS VII, P.L DIVIDEND INCOME ACCOLADE PARTNERS VII, P.L OTHER PORTFOLIO INCOME	135.
(LOSS) RIVERCREST CAPITAL PARTNERS LP - ROYALTIES RIVERCREST CAPITAL PARTNERS LP - OTHER PORTFOLIO INCOME	18. 15,737.
(LOSS) SRE OPPORTUNITY FUND III - NET RENTAL REAL ESTATE INCOME	205. 1,853.
WCP NEWCOLD LP - ORDINARY BUSINESS INCOME (LOSS) COMMONFUND CAPITAL PARTNERS 2000, LP - ORDINARY BUSINESS	-5,417.
INCOME (LOSS) COMMONFUND CAPITAL PARTNERS 2000, LP - OTHER INCOME (LOSS)	-349. 31.

TOTAL INCLUDED ON SCHEDULE A, PART I, LINE 5

16,218.

FORM 990-T (A)		OTHER INCOME			STATEMENT	4
DESCRIPTION					AMOUNT	
CANCELLATION OF	DEBT - AMBERBR	OOK VI. LLC		-		34
CANCELLATION OF	DEBT - AMBERBR	OOK VII LP				204
CANCELLATION OF			~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~			32
CANCELLATION OF LP	DEBT - THE ENE	KGY & MINERALS	GROUP FUNI) 11,		1
TOTAL TO SCHEDUL	E A, PART I, L	INE 12		-		271
FORM 990-T (A)		OTHER DEDUCTI	ONS 		STATEMENT	5
DESCRIPTION					AMOUNT	
OTHER DEDUCTIONS	FROM PASSTHRO	UGH K-1S			37	,566
TOTAL TO SCHEDUL	E A, PART II,	LINE 14		-	37	,566
FORM 990-T (A)	PO	ST 2017 NOL SCH	EDULE		STATEMENT	6
PRIOR YEAR POST 2017 NOL		OL DEDUCTION		CARRYFORW.		
71,417.	·	17,612.			53,805.	
990-T SCH A	POST-2017	NET OPERATING	LOSS DEDUC	CTION	STATEMENT	7
		LOSS				
TAX YEAR LOSS	SUSTAINED	PREVIOUSLY APPLIED	LOSS REMAIN	ING	AVAILABLE THIS YEAR	
06/30/21	71,417.	0.		71,417.	71 4	417.

71,417.

71,417.

NOL CARRYOVER AVAILABLE THIS YEAR

SCHEDULE D (Form 1120)

Name

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-RIC, 1120-SF, or certain Forms 990-T.
■ Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

2021

Employer identification number

NORTHERN ILLINOIS UNIVERSI	NORTHERN ILLINOIS UNIVERSITY FOUNDATION he corporation dispose of any investment(s) in a qualified opportunity fund during the tax year?						
Did the corporation dispose of any investme	nt(s) in a qualified opportun	ity fund during the tax y	ear?		Yes X No		
If "Yes," attach Form 8949 and see its instru							
Part I Short-Term Capital Ga	ins and Losses - Ass	ets Held One Year	or Less				
See instructions for how to figure the amounts to enter on the lines below.	(d)	(e)	(g) Adjustments to ga	ain	(h) Gain or (loss) Subtract column (e) from		
This form may be easier to complete if you	Proceeds (sales price)	Cost (or other basis)	or loss from Form(s) 89 Part I, line 2, column		column (d) and combine the		
round off cents to whole dollars.	(sales price)	(Or Other basis)	Fart I, IIIIe 2, COIUITIII	(9)	result with column (g)		
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you							
have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b							
1b Totals for all transactions reported on							
Form(s) 8949 with Box A checked							
2 Totals for all transactions reported on							
Form(s) 8949 with Box B checked							
3 Totals for all transactions reported on							
Form(s) 8949 with Box C checked					3,535.		
4 Short-term capital gain from installment sales	s from Form 6252, line 26 or 37	7	•	4			
5 Short-term capital gain or (loss) from like-kir				5			
6 Unused capital loss carryover (attach comput				6	()		
7 Net short-term capital gain or (loss). Combin	ne lines 1a through 6 in column	h		7	3,535.		
Part II Long-Term Capital Gai	ins and Losses - Ass	ets Held More Tha	n One Year				
See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to ga or loss from Form(s) 89 Part II, line 2, column	949,	(h) Gain or (loss) Subtract column (e) from column (d) and combine the		
round off cents to whole dollars.	(Saiss priss)	(or other basis)	T dit ii, iiilo 2, doldiiii	(9)	result with column (g)		
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b							
8b Totals for all transactions reported on							
Form(s) 8949 with Box D checked							
9 Totals for all transactions reported on							
Form(s) 8949 with Box E checked							
10 Totals for all transactions reported on							
Form(s) 8949 with Box F checked					38,040.		
				11	1,517.		
12 Long-term capital gain from installment sales	s from Form 6252, line 26 or 37	7		12			
13 Long-term capital gain or (loss) from like-kir	d exchanges from Form 8824			13			
14 Capital gain distributions				14			
15 Net long-term capital gain or (loss). Combin		n h		15	39,557.		
Part III Summary of Parts I and			,				
16 Enter excess of net short-term capital gain (li				16	3,535.		
17 Net capital gain. Enter excess of net long-term	n capital gain (line 15) over net	short-term capital loss (line	e 7)	17	39,557.		
18 Add lines 16 and 17. Enter here and on Form	1120, page 1, line 8, or the app	plicable line on other return	S	18	43,092.		
Note: If losses exceed gains, see Capital Lo.	the state of the s						

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2021

LHA

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

Go to www.irs.gov/Form8949 for instructions and the latest information. ▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D. OMB No. 1545-0074

Name(s) shown on return

Social security number or taxpayer identification no.

36-6086819

NORTHERN ILLINOIS UNIVERSITY FOUNDATION Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your

broker and may even tell you which box to check Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term Part I

transactions, see page 2. Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions). You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above) (B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS (C) Short-term transactions not reported to you on Form 1099-B 1 Adjustment, if any, to gain or (h) (c) (d) (e) loss. If you enter an amount Proceeds Description of property Date sold or Cost or other Gain or (loss). Date acquired in column (g), enter a code in (sales price) basis. See the Subtract column (e) (Example: 100 sh. XYZ Co.) (Mo., day, yr.) disposed of column (f). See instructions. Note below and from column (d) & (Mo., day, yr.) (g) Amount of see *Column (e*) ir combine the result Code(s) with column (g) the instructions adjustment 3. AMBERBROOK VI, LLC AMBERBROOK VII LP 23. 37. AMBERBROOK VIII LP ACCOLADE PARTNERS VII, 1,111. SRE OPPORTUNITY FUND III 2,361.

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)

adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment. Form 8949 (2021)

3,535.

Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an

Attachment Sequence No. 12A

name(s) shown on return. Name and	33N OF TAXPAYE	i identification n	o. not required in	Silowii oli page i			ntification no.
NORTHERN ILLINOIS UNI		36-6	086819				
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	w, see whether y tion as Form 109	ou received any 99-B. Either will s	Form(s) 1099-B o show whether you	or substitute statem Ir basis (usually you	ent(s) from yo r cost) was re	our broker. A sur ported to the IF	bstitute S by your
Part II Long-Term. Transaction		al assets you held r	nore than 1 year are	generally long-term (s	ee instructions). For short-term to	ransactions,
see page 1. Note: You may aggregate all codes are required. Enter the	totals directly on S	Schedule D, line 8a	; yoù aren't required	I to report these trans	actions on Forn	n 8949 (see instru	ctions).
You must check Box D, E, or F below. On the second of the							each applicable box.
(D) Long-term transactions rep					=		
(E) Long-term transactions rep	orted on Form(s	1099-B showing	g basis wasn't re	•		,	
X (F) Long-term transactions not	•	on Form 1099-B		Т	A al !		
1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See instructions.		(h) Gain or (loss). Subtract column (e) from column (d) &
		(Mo., day, yr.)		see Column (e) in the instructions	(f) Code(s)	(g) Amount of adjustment	combine the result with column (g)
NORTHGATE PRIVATE EQUITY							
PARTNERS II, LP							25,882.
AMBERBROOK VI, LLC							344.
AMBERBROOK VIII LP							11.
ACCOLADE PARTNERS VII, P.L.							10,962.
2 Totals. Add the amounts in colun	nns (d). (e). (a). a	nd (h) (subtract					
negative amounts). Enter each tot							
Schedule D, line 8b (if Box D abo		•					
above is checked), or line 10 (if B	• •	•					38,040.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2021)

Department of the Treasury Internal Revenue Service

Name(s) shown on return

Sales of Business Property
(Also Involuntary Conversions and Recapture Amounts
Under Sections 179 and 280F(b)(2))

► Attach to your tax return.

► Go to www.irs.gov/Form4797 for instructions and the latest information.

OMB No. 1545-0184

Identifying number

NOR	THERN ILLINOIS UNIVERSITY FO	UNDATION						36-6086819
1a	Enter the gross proceeds from sales of	or exchanges rep	orted to you for	2021 on Form(s) 1	1099-B or 1099-S			
	(or substitute statement) that you are	including on line	2, 10, or 20				1a	
b	Enter the total amount of gain that yo	u are including o	on lines 2, 10, an	d 24 due to the pa	artial dispositions o	f		
	MACRS assets						1b	
С	Enter the total amount of loss that you	u are including o	n lines 2 and 10	due to the partial	dispositions of MA	CRS	4.	
Pa	rt I Sales or Exchanges of	Property Use	ed in a Trade	or Business	and Involuntar	v Convers	1c sions	From Other
	Than Casualty or Theft					-		
2	(a) Description	(b) Date acquired	(C) Date sold	(d) Gross sales	(e) Depreciation	(f) Cost or o		(g) Gain or (loss)
	of property	(mo., day, yr.)	(mo., day, yr.)	price	allowed or allowable since	basis, plus improvements	and	Subtract (f) from the sum of (d) and (e)
SEI	S STATEMENT 12				acquisition	expense of s	ale	(-,(-,
	Online if any from France 4004 line 00						_	
3	Gain, if any, from Form 4684, line 39 Section 1231 gain from installment sa						3_4	
4 5	Section 1231 gain or (loss) from like-k						<u>4</u> 5	
6	Gain, if any, from line 32, from other t						6	
7	Combine lines 2 through 6. Enter the	•			llows		7	1,517.
•	Partnerships and S corporations. R		· ·					,
	line 10, or Form 1120-S, Schedule K,							
	Individuals, partners, S corporation	shareholders, a	and all others.	If line 7 is zero or a	loss, enter the am	ount		
	from line 7 on line 11 below and skip	lines 8 and 9. If I	ine 7 is a gain ar	nd you didn't have	any prior year sect	tion		
	1231 losses, or they were recaptured	•	. •		ng-term capital gair	n on		
	the Schedule D filed with your return	and skip lines 8,	9, 11, and 12 be	elow.				
8	Nonrecaptured net section 1231 loss	es from prior yea	ırs. See instructi	ons			8	
9	Subtract line 8 from line 7. If zero or le	•	•	•		I .		
	line 9 is more than zero, enter the am			-	n from line 9 as a lo	ong-term		4 545
	capital gain on the Schedule D filed w	ith your return. S	See instructions				9	1,517.
Pa	rt II Ordinary Gains and I	_osses (see in	structions)					
10	Ordinary gains and losses not includ	ed on lines 11 th	rough 16 (includ	de property held 1	vear or less):			
11							11	()
12	Gain, if any, from line 7 or amount fro	m line 8, if applic	able				12	
13	Gain, if any, from line 31						13	
14	Net gain or (loss) from Form 4684, line						14	
15	Ordinary gain from installment sales f						15	
16	Ordinary gain or (loss) from like-kind e	exchanges from I	Form 8824				16	
17							17	
18	For all except individual returns, enter			appropriate line of	your return and sk	tip lines		
	a and b below. For individual returns,	•						
а	If the loss on line 11 includes a loss fr	•						
	loss from income-producing property						46	
L	as an employee.) Identify as from "For						18a	
b	Redetermine the gain or (loss) on line	· ·					104	
	(Form 1040), Part I, line 4						18b	

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form **4797** (2021)

Part III Gain From Disposition of Proper	ty Unde	er Sections 1245,	1250, 1252	, 125	54, and 1255 (se	ee instructions)
19 (a) Description of section 1245, 1250, 1252, 1254,	or 1255 p	oroperty:			(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)
Α						
В						
С						
D						
These columns relate to the properties on lines 19A through 19D.	•	Property A	Property I	3	Property C	Property D
20 Gross sales price (Note: See line 1a before completing.)	20					
Cost or other basis plus expense of sale	21					
Depreciation (or depletion) allowed or allowable	22					
Adjusted basis. Subtract line 22 from line 21	23					
24 Total gain. Subtract line 23 from line 20	24					
25 If section 1245 property:						
a Depreciation allowed or allowable from line 22	25a					
b Enter the smaller of line 24 or 25a	25b					
If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.						
a Additional depreciation after 1975. See instructions	26a					
b Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b					
c Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c					
d Additional depreciation after 1969 and before 1976	26d					
e Enter the smaller of line 26c or 26d	26e					
f Section 291 amount (corporations only)	26f					
g Add lines 26b, 26e, and 26f	26g					
27 If section 1252 property: Skip this section if you didn't dispose of farmland or if this form is being completed for a partnership.						
a Soil, water, and land clearing expenses	27a	+				
b Line 27a multiplied by applicable percentage	27b					
c Enter the smaller of line 24 or 27b	27c					
28 If section 1254 property: a Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions	28a					
b Enter the smaller of line 24 or 28a	28b					
29 If section 1255 property: a Applicable percentage of payments excluded from income under section 126. See instructions	29a					
b Enter the smaller of line 24 or 29a. See instructions	29b					
	•		201 1 1			•
Summary of Part III Gains. Complete property of	columns	A through D through III	ne 29b betore (going	to line 30.	
Total gains for all properties. Add property columns	A throu	gh D, line 24			30	
Add property columns A through D, lines 25b, 26g,					31	
32 Subtract line 31 from line 30. Enter the portion from	•	y or theft on Form 4684	1, line 33. Ente	r the		
From other than casualty or theft on Form 4797, line Part IV Recapture Amounts Under Section	e 6 ons 179	and 280F(b)(2) W	/hen Busine	ess l	Jse Drops to 50	
(see instructions)					Г	1
			_		(a) Section 179	(b) Section 280F(b)(2)
33 Section 179 expense deduction or depreciation allo	wable in	prior years		33		
Recomputed depreciation. See instructions				34		
35 Recapture amount. Subtract line 34 from line 33. S	ee the ins	structions for where to	report	35		

118012 12-17-21

Form 4797 (2021)

SCHEDULE A (Form 990-T)

Unrelated Business Taxable Income From an Unrelated Trade or Business

OMB No. 1545-0047

2021

Open to Public Inspection for 501(c)(3) Organizations Only

Department of the Treasury Internal Revenue Service

► Go to www.irs.gov/Form990T for instructions and the latest information.

▶ Do not enter SSN numbers on this form as it may be made public if your organization is a 501(c)(3).

Name of the organization NORTHERN ILLINOIS UNIVERSITY FOUNDATION	B Employer identification number 36-6086819	
Unrelated business activity code (see instructions) 560000	D Sequence: ² of ²	

<u>E [</u>	Describe the unrelated trade or business ALUMNI TRAVEL TOUR	S AND	AFFINITY PROGRAM		Т
Pa	t I Unrelated Trade or Business Income		(A) Income	(B) Expenses	(C) Net
1 a	Gross receipts or sales				
b	Less returns and allowances c Balance ▶	1c			
2	Cost of goods sold (Part III, line 8)	2			
3	Gross profit. Subtract line 2 from line 1c	3			
4 a	Capital gain net income (attach Sch D (Form 1041 or Form				
	1120)). See instructions	4a			
b	Net gain (loss) (Form 4797) (attach Form 4797). See instructions)	4b			
С	Capital loss deduction for trusts	4c			
5	Income (loss) from a partnership or an S corporation (attach				
	statement)	5			
6	Rent income (Part IV)	6			
7	Unrelated debt-financed income (Part V)	7			
8	Interest, annuities, royalties, and rents from a controlled				
	organization (Part VI)	8			
9	Investment income of section 501(c)(7), (9), or (17)				
	organizations (Part VII)	9			
10	Exploited exempt activity income (Part VIII)	10			
11	Advertising income (Part IX)	11			
12	Other income (see instructions; attach statement) STMT 8	12	177,920.		177,920.
13	Total. Combine lines 3 through 12	13	177,920.		177,920.

Part II Deductions Not Taken Elsewhere See instructions for limitations on deductions. Deductions must be directly connected with the unrelated business income

1	Compensation of officers, directors, and trustees (Part X)			 1			
2	Salaries and wages			2			
3	Repairs and maintenance			 3			
4	Bad debts			4			
5	Interest (attach statement). See instructions			5			
6	Taxes and licenses			6			
7	Depreciation (attach Form 4562). See instructions						
8	Less depreciation claimed in Part III and elsewhere on return		Ва	8b			
9	Depletion			 9			
10	Contributions to deferred compensation plans			10			
11	Employee benefit programs			11			
12	Excess exempt expenses (Part VIII)			12			
13	Excess readership costs (Part IX)			13			
14	Other deductions (attach statement)			14		25,000	١.
15	Total deductions. Add lines 1 through 14			15		25,000	١.
16	Unrelated business income before net operating loss deduction. Subtract line 1						
	column (C)			 16		152,920	١.
17	Deduction for net operating loss. See instructions	S'	TATEMENT 10	 17	·	47,956	; .
18	Unrelated business taxable income. Subtract line 17 from line 16			18		104,964	

LHA For Paperwork Reduction Act Notice, see instructions.

Schedule A (Form 990-T) 2021

Part	III Cost of Goods Sold Enter met	hod of inventory valuat	ion		
1	Inventory at beginning of year			1	
2	Purchases			_	
3	Cost of labor			3	
4	Additional section 263A costs (attach statement)			4	
5	Other costs (attach statement)				
6	Total. Add lines 1 through 5				
7	Inventory at end of year				
8	Cost of goods sold. Subtract line 7 from line 6. Enter			_	
9	Do the rules of section 263A (with respect to property	produced or acquired t	or resale) apply to th	e organization?	Yes No
Part	IV Rent Income (From Real Property and	d Personal Proper	ty Leased with	Real Property)	
1	Description of property (property street address, city, s	tate, ZIP code). Check	if a dual-use. See in	structions.	
	A				
	В 🔲				
	c 🗆				
	D				
		Α	В	С	D
2	Rent received or accrued				
а	From personal property (if the percentage of				
	rent for personal property is more than 10%				
	but not more than 50%)				
b	From real and personal property (if the				
	percentage of rent for personal property exceeds				
	50% or if the rent is based on profit or income)				
С	Total rents received or accrued by property.				
	Add lines 2a and 2b, columns A through D				
3	Total rents received or accrued. Add line 2c columns A	through D. Enter here	and on Part I, line 6	, column (A)	0.
	Deductions directly connected with the income				
4	in lines 2(a) and 2(b) (attach statement)				
					_
5	Total deductions. Add line 4 columns A through D. Er	nter here and on Part I,	line 6, column (B)	>	0.
Part '	V Unrelated Debt-Financed Income (s	ee instructions)			
1	Description of debt-financed property (street address,	city, state, ZIP code). C	heck if a dual-use. S	ee instructions.	
	A				
	В 🔲				
	c 🗆				
	D				-
		Α	В	С	D
2	Gross income from or allocable to debt-financed				
	property				
3	Deductions directly connected with or allocable				
	to debt-financed property				
а	Straight line depreciation (attach statement)				
b	Other deductions (attach statement)				
С	Total deductions (add lines 3a and 3b,				
	columns A through D)				
4	Amount of average acquisition debt on or allocable				
	to debt-financed property (attach statement)				
5	Average adjusted basis of or allocable to debt-				
	financed property (attach statement)				
6	Divide line 4 by line 5			% %	%
7	Gross income reportable. Multiply line 2 by line 6			,,	/ / /
8	Total gross income (add line 7, columns A through D)		rt I, line 7, column (A) •	0.
	,		, , , ,		
9	Allocable deductions. Multiply line 3c by line 6				
10	Total allocable deductions. Add line 9, columns A the	rough D. Enter here an	d on Part I, line 7. co	lumn (B)	0.
11	Total dividends-received deductions included in line				0.

Schedule A (Form 990-T) 2021

	VI Interest, Annu		oyalties, and Re	ents fror	n Control	led Or	ganizations	S (se	e instruct	ions)	r age o
			_			E	xempt Contro	lled Org	ganization	s .	
	Name of controlle organization	d	2. Employer identification number			1	al of specified nents made	that is	ort of colur included olling orga gross inc	in the aniza-	6. Deductions directly connected with income in column 5
(1)											
(2)											
(3)											
(4)											
	'. Taxable Income		No Net unrelated		Controlled Or otal of specif			of ooluu	mn 0	44	Dadustiana directly
,	. Taxable income	in	net unrelated scome (loss) e instructions)	1	yments mad		that is inc controlling gross	luded i	n the zation's		Deductions directly connected with one in column 10
(1)											
(2)											
(3)											
(4)											
							Add colum Enter here line 8, c	and on	Part I,	Ente	columns 6 and 11. r here and on Part I, ne 8, column (B)
Totals						•			0.		0.
Part	VII Investment	Income	of a Section 50	1(c)(7), (9), or (17)	Orgar	nization (s	ee insti	ructions)		
	1. Desc	cription of	income		2. Amou incon		3. Deduction directly connected (attach states	ected	4. Set- (attach st		5. Total deductions and set-asides (add cols 3 and 4)
(1)											
(2)											
(3)											
(4)											
Takala				_	Add amou column 2. here and or line 9, colu	Enter n Part I,					Add amounts in column 5. Enter here and on Part I, line 9, column (B)
Totals Part	VIII Exploited E	vemnt A	activity Income,	Other 1	 Than Δdve		Income	(aaa ina	tw.otiopol		0.
1	Description of exploite		Cavity moonie,	Julei I	I I GII AUVE	, uoni		see ins	structions)		
2	Gross unrelated busin	-	e from trade or busi	ness Ente	r here and or	n Part I	line 10. colum	n (Δ)		2	
3	Expenses directly con						•			-	
•										3	
4	Net income (loss) from										
							-			4	
5	Gross income from ac									5	
6	Expenses attributable									6	
7	Excess exempt expen										
	4. Enter here and on F	Part II, line	12	<u></u>	<u></u>	<u> </u>	·····	<u></u>		7	

Schedule A (Form 990-T) 2021

Part	IX Advertising Income				
1	Name(s) of periodical(s). Check box if report	ting two or more periodicals on a	consolidated basi	s.	
	A				
	В				
	c 🗆				
	D				
Entor /	amounts for each periodical listed above in the	o corresponding column			
LIILEI	amounts for each periodical listed above in the		В	С	D
•	Our and adventision in a second	A	В В		
2	Gross advertising income				. 0.
	Add columns A through D. Enter here and c	on Part I, line 11, column (A)		>	
а			T	1	
3	Direct advertising costs by periodical				
а	Add columns A through D. Enter here and c	on Part I, line 11, column (B)		>	0.
4	Advertising gain (loss). Subtract line 3 from	line			
	2. For any column in line 4 showing a gain,				
	complete lines 5 through 8. For any column	in			
	line 4 showing a loss or zero, do not comple	ete			
	lines 5 through 7, and enter zero on line 8				
5	Readership costs				
6	Circulation income				
7	Excess readership costs. If line 6 is less that				
	line 5, subtract line 6 from line 5. If line 5 is I	less			
	than line 6, enter zero				
8	Excess readership costs allowed as a				
	deduction. For each column showing a gain	ı on			
	line 4, enter the lesser of line 4 or line 7				
а	Add line 8, columns A through D. Enter the	· · · · · · · · · · · · · · · · · · ·	tal or zero here ar	nd on	•
	Part II, line 13	,		>	0.
Part		irectors, and Trustees (s	see instructions)		
				3. Percentage	4. Compensation
	1. Name	2. Title		of time devoted	attributable to
				to business	unrelated business
(1)				%	
(2)				%	
(3)				%	
(4)				%	
<u> ,</u>				, , ,	
Total	I. Enter here and on Part II, line 1			•	0.
Part					
		see mandenona)			

EODM 000 m	/ 7 \		OMITED	TNOOME			COLVENTA	
FORM 990-T	(A)		OTHER	INCOME			STATEMENT	8
DESCRIPTION	I						AMOUNT	
ALUMNI TRAV		IRS						,440
AFFINITY PR	ROGRAM							,480
TOTAL TO SC	HEDULE	A, PART I,	LINE 12				177 	,920
FORM 990-T	(A)		OTHER	DEDUCTI	ONS		STATEMENT	9
DESCRIPTION	I						AMOUNT	
SPONSORSHIP	EXPEN	ISES					25	,000
TOTAL TO SC	HEDULE	A, PART II	, LINE 14				25	,000
			POST 2017	NOL SCH	IEDULE		STATEMENT	
	(A)				IEDULE	CARRYFO	STATEMENT	
FORM 990-T PRIOR YEAR	(A)		POST 2017		IEDULE		STATEMENT	
FORM 990-T PRIOR YEAR	(A)		POST 2017	CTION	IEDULE		STATEMENT ORWARD OF 017 NOL	10
FORM 990-T PRIOR YEAR 2017 NOL	(A) R POST 47,956.		POST 2017	CTION 47,956.		POST 20	STATEMENT ORWARD OF 017 NOL	10
FORM 990-T PRIOR YEAR	(A) R POST 47,956.		POST 2017	CTION 47,956. ERATING S JSLY	LOSS DE	POST 20	STATEMENT ORWARD OF 017 NOL 0.	10

47,956.

47,956.

NOL CARRYOVER AVAILABLE THIS YEAR

FORM 4797	PRO:	PERTY HELI	MORE THAN	N ONE YEAR	ST.	ATEMENT 12
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS
NORTHGATE PRIVATE EQUITY PARTNERS II, LP						-43.
AMBERBROOK VI, LLC AMBERBROOK VII LP						16. 222.
AMBERBROOK VIII LP ACCOLADE PARTNERS						646.
VII, P.L. COMMONFUND						375.
CAPITAL PARTNERS 2000, LP						301.
TOTAL TO 4797, PAI	RT I, LINE	2				1,517.

SCHEDULE D (Form 1120)

Name

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1120, 1120-C, 1120-F, 1120-FSC, 1120-H, 1120-IC-DISC, 1120-L, 1120-ND, 1120-PC, 1120-POL, 1120-REIT, 1120-RIC, 1120-SF, or certain Forms 990-T.
 ► Go to www.irs.gov/Form1120 for instructions and the latest information.

OMB No. 1545-0123

Employer identification number

NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Yes X No Did the corporation dispose of any investment(s) in a qualified opportunity fund during the tax year? If "Yes," attach Form 8949 and see its instructions for additional requirements for reporting your gain or loss. Short-Term Capital Gains and Losses - Assets Held One Year or Less See instructions for how to figure the amounts (h) Gain or (loss) (g) Adjustments to gain (d) (e) to enter on the lines below. Subtract column (e) from or loss from Form(s) 8949, Proceeds Cost column (d) and combine the This form may be easier to complete if you round off cents to whole dollars. (or other basis) Part I, line 2, column (g) (sales price) result with column (a) 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b 1b Totals for all transactions reported on Form(s) 8949 with Box A checked 2 Totals for all transactions reported on Form(s) 8949 with Box B checked 3 Totals for all transactions reported on 3,535. Form(s) 8949 with Box C checked 4 Short-term capital gain from installment sales from Form 6252, line 26 or 37 4 5 Short-term capital gain or (loss) from like-kind exchanges from Form 8824 6 Unused capital loss carryover (attach computation) 6 3 535. 7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column h 7 Long-Term Capital Gains and Losses - Assets Held More Than One Year Part II See instructions for how to figure the amounts (h) Gain or (loss) (g) Adjustments to gain to enter on the lines below. Subtract column (e) from Proceeds Cost or loss from Form(s) 8949, column (d) and combine the This form may be easier to complete if you (sales price) (or other basis) Part II, line 2, column (g) result with column (a) round off cents to whole dollars. 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to 8b Totals for all transactions reported on Form(s) 8949 with Box D checked 9 Totals for all transactions reported on Form(s) 8949 with Box E checked 10 Totals for all transactions reported on 38,040. Form(s) 8949 with Box F checked 1,517. 11 11 Enter gain from Form 4797, line 7 or 9 12 Long-term capital gain from installment sales from Form 6252, line 26 or 37 12 13 Long-term capital gain or (loss) from like-kind exchanges from Form 8824 13 14 Capital gain distributions 14 39,557. 15 Net long-term capital gain or (loss). Combine lines 8a through 14 in column h 15 Part III Summary of Parts I and II 16 Enter excess of net short-term capital gain (line 7) over net long-term capital loss (line 15) 3,535. 16 17 Net capital gain. Enter excess of net long-term capital gain (line 15) over net short-term capital loss (line 7) 17 39,557. 43,092. 18 Add lines 16 and 17. Enter here and on Form 1120, page 1, line 8, or the applicable line on other returns Note: If losses exceed gains, see Capital Losses in the instructions.

For Paperwork Reduction Act Notice, see the Instructions for Form 1120.

Schedule D (Form 1120) 2021

LHA

Department of the Treasury Internal Revenue Service

Sales and Other Dispositions of Capital Assets

Go to www.irs.gov/Form8949 for instructions and the latest information. ▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D. OMB No. 1545-0074

Name(s) shown on return

NORTHERN TLLINGTS UNIVERSITY FOUNDATION

Social security number or taxpayer identification no.

36-6086819

NORTHERN THEIROTS CHIVERSIII I COMMITTON	30 0000013
you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your br	roker. A substitute

Before statement will have the same information as Form 1099-B. Either will show whether your basis (usually your cost) was reported to the IRS by your

broker and may even tell you which box to check. Short-Term. Transactions involving capital assets you held 1 year or less are generally short-term (see instructions). For long-term Part I transactions, see page 2. Note: You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the totals directly on Schedule D, line 1a; you aren't required to report these transactions on Form 8949 (see instructions). You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box.

If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

(B) Short-term transactions reported on Form(s) 1099-B showing basis wasn't reported to the IRS (C) Short-term transactions not reported to you on Form 1099-B 1 Adjustment, if any, to gain or (h) (a) (c) (d) (e) loss. If you enter an amount Proceeds Description of property Date sold or Cost or other Gain or (loss). Date acquired in column (g), enter a code in (sales price) basis. See the Subtract column (e) (Example: 100 sh. XYZ Co.) (Mo., day, yr.) disposed of column (f). See instructions. Note below and from column (d) & (Mo., day, yr.) (g) Amount of see Column (e) ir combine the result Code(s) with column (g) the instructions adjustment AMBERBROOK VI, LLC 3. AMBERBROOK VII LP 23. 37. AMBERBROOK VIII LP ACCOLADE PARTNERS VII, 1,111. SRE OPPORTUNITY FUND III 2,361. 2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B

above is checked), or line 3 (if Box C above is checked) 3,535. Note: If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

LHA For Paperwork Reduction Act Notice, see your tax return instructions.

Form 8949 (2021)

Attachment Sequence No. 12A Form 8949 (2021)

riame(e) enerm en retarm riame and	i con or taxpayo	, idonamodatom m	o. Hot roquirou ii	onown on page 1		taxpayer ide	ntification no.
NORTHERN ILLINOIS UNI	VERSITY FOUN	DATION				36-60	086819
Before you check Box D, E, or F belo statement will have the same informa broker and may even tell you which b	ow, see whether y ation as Form 109 boox to check.	ou received any 99-B. Either will s	Form(s) 1099-B o show whether you	or substitute statem Ir basis (usually you	ent(s) from yo r cost) was re	our broker. A sul eported to the IR	bstitute S by your
Part II Long-Term. Transaction see page 1.		ıl assets you held n	nore than 1 year are	generally long-term (s	ee instructions	s). For short-term to	ransactions,
Note: You may aggregate all codes are required. Enter the	totals directly on S	Schedule D, line 8a	; yoù aren't required	d to report these transa	actions on Forr	m 8949 (see instru	ctions).
You must check Box D, E, or F below. O If you have more long-term transactions than will							each applicable box.
(D) Long-term transactions rep	· -				=		
(E) Long-term transactions rep	orted on Form(s	1099-B showing	g basis wasn't re	eported to the IRS			
X (F) Long-term transactions not	reported to you	on Form 1099-B					
1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed of (Mo., day, yr.)	(d) Proceeds (sales price)	(e) Cost or other basis. See the Note below and	loss. If you in column (g	if any, to gain or enter an amount), enter a code in see instructions.	(h) Gain or (loss). Subtract column (e) from column (d) &
				the instructions	Code(s)	Amount of adjustment	combine the result with column (g)
NORTHGATE PRIVATE EQUITY						•	
PARTNERS II, LP							25,882.
AMBERBROOK VI, LLC							344.
AMBERBROOK VIII LP							11.
ACCOLADE PARTNERS VII, P.L.							10,962.
2 Totals. Add the amounts in colun negative amounts). Enter each total							
Schedule D, line 8b (if Box D abo		-					
above is checked), or line 10 (if B				1			37,199.

Note: If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2021)

Form **4797**

Department of the Treasury Internal Revenue Service

Name(s) shown on return

Sales of Business Property

(Also Involuntary Conversions and Recapture Amounts Under Sections 179 and 280F(b)(2))

Attach to your tax return.

► Go to www.irs.gov/Form4797 for instructions and the latest information.

OMB No. 1545-0184

2027

Identifying number

NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 1a Enter the gross proceeds from sales or exchanges reported to you for 2021 on Form(s) 1099-B or 1099-S (or substitute statement) that you are including on line 2, 10, or 20 1a b Enter the total amount of gain that you are including on lines 2, 10, and 24 due to the partial dispositions of 1b c Enter the total amount of loss that you are including on lines 2 and 10 due to the partial dispositions of MACRS Sales or Exchanges of Property Used in a Trade or Business and Involuntary Conversions From Other Than Casualty or Theft-Most Property Held More Than 1 Year (see instructions) (f) Cost or other (e) Depreciation (g) Gain or (loss) (a) Description (b) Date acquired (C) Date sold (d) Gross sales basis, plus allowed or Subtract (f) from the of property (mo., day, yr.) (mo., day, yr.) price allowable since improvements and sum of (d) and (e) SEE STATEMENT 13 acquisition expense of sale Gain, if any, from Form 4684, line 39 3 Section 1231 gain from installment sales from Form 6252, line 26 or 37 4 Section 1231 gain or (loss) from like-kind exchanges from Form 8824 5 5 Gain, if any, from line 32, from other than casualty or theft 6 6 1 517. 7 Combine lines 2 through 6. Enter the gain or (loss) here and on the appropriate line as follows 7 Partnerships and S corporations. Report the gain or (loss) following the instructions for Form 1065, Schedule K, line 10, or Form 1120-S, Schedule K, line 9. Skip lines 8, 9, 11, and 12 below. Individuals, partners, S corporation shareholders, and all others. If line 7 is zero or a loss, enter the amount from line 7 on line 11 below and skip lines 8 and 9. If line 7 is a gain and you didn't have any prior year section 1231 losses, or they were recaptured in an earlier year, enter the gain from line 7 as a long-term capital gain on the Schedule D filed with your return and skip lines 8, 9, 11, and 12 below. 8 Nonrecaptured net section 1231 losses from prior years. See instructions Subtract line 8 from line 7. If zero or less, enter -0-. If line 9 is zero, enter the gain from line 7 on line 12 below. If line 9 is more than zero, enter the amount from line 8 on line 12 below and enter the gain from line 9 as a long-term capital gain on the Schedule D filed with your return. See instructions 1,517. Part II Ordinary Gains and Losses (see instructions) Ordinary gains and losses not included on lines 11 through 16 (include property held 1 year or less): Loss, if any, from line 7 11 Gain, if any, from line 7 or amount from line 8, if applicable 12 12 Gain, if any, from line 31 13 13 14 14 Net gain or (loss) from Form 4684, lines 31 and 38a Ordinary gain from installment sales from Form 6252, line 25 or 36 15 Ordinary gain or (loss) from like-kind exchanges from Form 8824 16 16 Combine lines 10 through 16 18 For all except individual returns, enter the amount from line 17 on the appropriate line of your return and skip lines a and b below. For individual returns, complete lines a and b below. If the loss on line 11 includes a loss from Form 4684, line 35, column (b)(ii), enter that part of the loss here. Enter the loss from income-producing property on Schedule A (Form 1040), line 16. (Do not include any loss on property used as an employee.) Identify as from "Form 4797, line 18a." See instructions 18a b Redetermine the gain or (loss) on line 17 excluding the loss, if any, on line 18a. Enter here and on Schedule 1 (Form 1040), Part I, line 4 18b

LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 4797 (2021)

Pa	Part III Gain From Disposition of Property Under Sections 1245, 1250, 1252, 1254, and 1255 (see instructions)									
19	9 (a) Description of section 1245, 1250, 1252, 1254, or 1255 property:						red .)	(c) Date sold (mo., day, yr.)		
_A										
<u>B</u>										
<u></u> C										
<u>D</u>			T							
	These columns relate to the properties on lines 19A through 19D.	•	Property A	Property I	В	Property	С	Property D		
20	Gross sales price (Note: See line 1a before completing.)	20								
21	Cost or other basis plus expense of sale	21								
22	Depreciation (or depletion) allowed or allowable \dots	22								
23	Adjusted basis. Subtract line 22 from line 21	23								
<u>24</u>	Total gain. Subtract line 23 from line 20	24								
	If section 1245 property:									
	Depreciation allowed or allowable from line 22	25a								
	Enter the smaller of line 24 or 25a	25b								
26	If section 1250 property: If straight line depreciation was used, enter -0- on line 26g, except for a corporation subject to section 291.									
а	Additional depreciation after 1975. See instructions	26a								
b	Applicable percentage multiplied by the smaller of line 24 or line 26a. See instructions	26b								
С	Subtract line 26a from line 24. If residential rental property or line 24 isn't more than line 26a, skip lines 26d and 26e	26c								
d	Additional depreciation after 1969 and before 1976	26d								
	Enter the smaller of line 26c or 26d	26e								
f	Section 291 amount (corporations only)	26f								
g	Add lines 26b, 26e, and 26f	26g								
	If section 1252 property: Skip this section if you didn't dispose of farmland or if this form is being completed for a partnership.									
	Soil, water, and land clearing expenses	27a								
	Line 27a multiplied by applicable percentage	27b								
	Enter the smaller of line 24 or 27b	27c								
	If section 1254 property: Intangible drilling and development costs, expenditures for development of mines and other natural deposits, mining exploration costs, and depletion. See instructions	28a								
	Enter the smaller of line 24 or 28a	28b								
29 a	If section 1255 property: Applicable percentage of payments excluded from income under section 126. See instructions	29a								
b	Enter the smaller of line 24 or 29a. See instructions	29b								
Sui	mmary of Part III Gains. Complete property of	columns	A through D through	line 29b before (going	to line 30.				
30	Total gains for all properties. Add property columns	A throu	ugh D, line 24				30			
31	Add property columns A through D, lines 25b, 26g,	27c. 28	b, and 29b. Enter here	e and on line 13			31			
32	Subtract line 31 from line 30. Enter the portion from		•							
	from other than according on the ft on Forms 4707 line		•	•			32			
Pa	rt IV Recapture Amounts Under Section	ns 17	9 and 280F(b)(2) \	When Busine	ess l	Jse Drops to	50% (or Less		
(see instructions)					# N D					
						(a) Section 179	ו ו	(b) Section 280F(b)(2)		
22	Coation 170 expense deducation or depresenting all-	wahla !-	a prior voora	Γ	22					
33 34	Section 179 expense deduction or depreciation allo Recomputed depreciation. See instructions			Г	33 34					
	Recapture amount. Subtract line 34 from line 33. Se		nstructions for where t		35					

118012 12-17-21

Form **4797** (2021)

FORM 4797	PRO	PERTY HELI	MORE THAN	N ONE YEAR	ST.	ATEMENT 13
DESCRIPTION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPR.	COST OR BASIS	GAIN OR LOSS
NORTHGATE PRIVATE EQUITY PARTNERS II, LP AMBERBROOK VI,						-43.
LLC AMBERBROOK VII LP AMBERBROOK VIII						16. 222.
LP ACCOLADE PARTNERS VII, P.L. COMMONFUND						646. 375.
CAPITAL PARTNERS 2000, LP						301.
TOTAL TO 4797, PAI	RT I, LINE	2				1,517.

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

merr	iai Rever	inde service Go to www.iis.gov/Formboz i for instructions an	d the latest illionilation.
Nam	e of sh	areholder	Identifying number (see instructions)
NOR	THERI	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819
		reet, and room or suite no. If a P.O. box, see instructions.	Shareholder tax year: calendar year or other tax year beginning
ALT	GELD	HALL 134	JUL 1 , 2021 and ending JUN 30 , 2022
		n, state, and ZIP code or country IL 60115	
			p S Corporation Nongrantor Trust Estate
		/ Excepted Specified Foreign Financial Assets are reported on this form. See instructions	
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tre Corporation under the alternative facts and circumstances test within the meaning of sectior	
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)
		INTERNATIONAL HOLDINGS	
Addı	ess (Ei	nter number, street, city or town, and country.)	Reference ID number (see instructions) BOSIDENG
		09, FL 57 99 QUEEN'S RD	Tax year of foreign corporation, PFIC, or QEF: Calendar year
HON	G KOI	NG, HONG KONG	or other tax year beginning JAN 1 2021 ,
_	at 1	Common of Annual Information (control of the	and ending DEC 21 , 2021.
	art I		
100		following information with respect to all shares of the PFIC held by the shareholder: cription of each class of shares held by the shareholder:	
•		Check if shares jointly owned with spouse.	
2	Date	shares acquired during the tax year, if applicable:	
3	Num	ber of shares held at the end of the tax year:	
4	Valu	a of charge hold at the end of the tay year (check the appropriate how if applicable):	
4	vaiu (a)	e of shares held at the end of the tax year (check the appropriate box, if applicable): \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000
	` '	If more than \$200,000, list value:	(u) \$\int \pi 100,001 200,000
	(-)		
5	Туре	of PFIC and amount of any excess distribution or gain treated as an excess distribution un	der section 1291, inclusion under section 1293,
		inclusion or deduction under section 1296 (check all boxes that apply):	
	(a)	Section 1291 \$	
	(b)	Section 1293 (Qualified Electing Fund) \$	
Р	(c) art II	Section 1296 (Mark to Market) \$ Elections (see instructions)	
A		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	a QEF. Complete lines 6a through 7c of Part III
В		Election To Extend Time For Payment of Tax. I. a shareholder of a QFF, elect to extend the	ne time for payment of tax on the undistributed earnings and profits
		of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III to</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may 1294(c) and 1294(f) and the related regulations for events that terminate this election.	calculate the tax that may be deferred. y not make this election. Also, see sections
С		Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	xet the PFIC stock that is marketable within the meaning of section
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF	, elect to recognize gain on the deemed sale of my interest in the
_		PFIC. Enter gain or loss on line 15f of Part V.	055 11 11 11 11 11 11 11 11 11 11 11 11 1
Ε		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a amount equal to my share of the post-1986 earnings and profits of the CFC as an excess of the cFC as an	
_		excess distribution is greater than zero, also complete line 16 of Part V.	DEIO
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	or a PFIC to which section 1297(d) applies, elect to treat as an excess day of its last tax year as a PFIC under section 1297(a). <i>Enter</i>
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of	a section 1297(e) PFIC, within the meaning of Regulations section
u		1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Edistribution is greater than zero, also complete line 16, Part V.) PFIC. My holding period in the stock of the Section 1297(e)
Н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding peridefined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, complete line 16, Part V.	iod in the stock of the former PFIC includes the termination date, as

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
Ī	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

14c

Form 8621 (Rev. 12-2018) Page **3**

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Form 8621 (Rev. 12-2018)

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Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form **8621** (Rev. 12-2018)

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Attachment www.irs.gov/Form8621 for instructions and the latest information.

OMB No. 1545-1002

Department of Internal Rever	f the Treasury nue Service Go to www.irs.gov/Form8621 for instructions a	nd the latest information.	Sequence No. 69					
Name of sh	<u> </u>	Identifying number (see instructions)						
NORTHERN	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819						
,	reet, and room or suite no. If a P.O. box, see instructions.		tax year beginning					
	HALL 134	JUL 1 , 2021 and ending JUI	N 30 , 2022 .					
	n, state, and ZIP code or country IL 60115							
	of shareholder filing the return: Individual X Corporation Partners							
	y Excepted Specified Foreign Financial Assets are reported on this form. See instructions nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to be							
	Corporation under the alternative facts and circumstances test within the meaning of secti							
	gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) INARY SALE TECHNOLOGY LTD	Employer identification number (if any)						
Address (Er	nter number, street, city or town, and country.)	Reference ID number (see instructions) CHINABINARY						
NO 1 BUI	LLDING, FL 3 TAI YUE YUAN	Tax year of foreign corporation, PFIC, or QEF						
BEIJING,	CHINA	or other tax year beginning JAN 1	2021 ,					
Part I	Summary of Annual Information (see instructions)	and ending DEC 31	, 2021 .					
	following information with respect to all shares of the PFIC held by the shareholder:							
	ription of each class of shares held by the shareholder:							
	Check if shares jointly owned with spouse.							
2 Date	shares acquired during the tax year, if applicable:							
3 Num	ber of shares held at the end of the tax year:							
(a) (e) 5 Type	(a) \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000 (d) \$150,001-200,000 (e) If more than \$200,000, list value: 5 Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, and inclusion or deduction under section 1296 (check all boxes that apply):							
(c)	Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$							
Part II								
A	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend of the QEF until this election is terminated. <i>Complete lines 8a through 9c of Part III</i> Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may 1294(c) and 1294(f) and the related regulations for events that terminate this election.	the time for payment of tax on the undistributed						
C	Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market 1296(e). <i>Complete Part IV.</i>	rket the PFIC stock that is marketable within the	meaning of section					
D	Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QE PFIC. <i>Enter gain or loss on line 15f of Part V.</i>	F, elect to recognize gain on the deemed sale of	my interest in the					
E	Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as amount equal to my share of the post-1986 earnings and profits of the CFC as an excess excess distribution is greater than zero, also complete line 16 of Part V.							
F	Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	t day of its last tax year as a PFIC under section	1297(a). Enter					
G _	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). distribution is greater than zero, also complete line 16, Part V.	e) PFIC. My holding period in the stock of the S	ection 1297(e)					
H 🗀	Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding prodefined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15 complete line 16, Part V.	eriod in the stock of the former PFIC includes the	e termination ďate, as					

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
·	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

14c

Form 8621 (Rev. 12-2018) Page **3**

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Form 8621 (Rev. 12-2018)

Form 8621 (Rev. 12-2018) Page 4

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Form **8621** (Rev. 12-2018)

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

► Go to www.irs.gov/Form8621 for instructions and the latest information.

OMB No. 1545-1002

Attachment Sequence No. 69

Name of s	hareholder	Identifying number (see instructions)				
NORTHER	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819				
-	treet, and room or suite no. If a P.O. box, see instructions. HALL 134	Shareholder tax year: calendar year or other tax year beginning JUL 1 , 2021 and ending JUN 30 , 2022 .				
-	n, state, and ZIP code or country IL 60115					
Check type	e of shareholder filing the return: Individual X Corporation Partnersh by Excepted Specified Foreign Financial Assets are reported on this form. See instructions					
	Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tr					
	Corporation under the alternative facts and circumstances test within the meaning of section	I				
	eign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) UTFITTERS HOLDINGS LIMITED	Employer identification number (if any)				
Address (E	nter number, street, city or town, and country.)	Reference ID number (see instructions) CHINAOUTFITTER				
	N 1225 TONGPU RD	Tax year of foreign corporation, PFIC, or QEF: Calendar year				
SHANGHA	I, CHINA 200333	or other tax year beginning JAN 1 2021, and ending DEC 31 2021.				
Part I	Summary of Annual Information (see instructions)	Tally thuring 525 ST , 2021.				
	e following information with respect to all shares of the PFIC held by the shareholder:					
1 Des	cription of each class of shares held by the shareholder:					
	Check if shares jointly owned with spouse.					
2 Dat	e shares acquired during the tax year, if applicable:					
3 Nur	nber of shares held at the end of the tax year:					
4 Valı	ue of shares held at the end of the tax year (check the appropriate box, if applicable):					
(a)	\$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000				
(e)	If more than \$200,000, list value:					
	e of PFIC and amount of any excess distribution or gain treated as an excess distribution ur	nder section 1291, inclusion under section 1293,				
(a)	inclusion or deduction under section 1296 (check all boxes that apply): Section 1291 \$					
(b)	Section 1293 (Qualified Electing Fund) \$					
(c)	Section 1296 (Mark to Market) \$					
Part I						
A	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	a QEF. Complete lines 6a through 7c of Part III.				
В 🔛	election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend to of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you ma 1294(c) and 1294(f) and the related regulations for events that terminate this election.	he time for payment of tax on the undistributed earnings and profits of calculate the tax that may be deferred. It not make this election. Also, see sections				
C	Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	ket the PFIC stock that is marketable within the meaning of section				
D	Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF PFIC. Enter gain or loss on line 15f of Part V.	F, elect to recognize gain on the deemed sale of my interest in the				
E	Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a amount equal to my share of the post-1986 earnings and profits of the CFC as an excess					
	excess distribution is greater than zero, also complete line 16 of Part V.					
F	Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last <i>gain on line 15f of Part V.</i>	or a PFIC to which section 1297(d) applies, elect to treat as an excess day of its last tax year as a PFIC under section 1297(a). <i>Enter</i>				
G 🗀	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).) PFIC. My holding period in the stock of the Section 1297(e)				
н 🗀	distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e complete line 16, Part V.	PFIC, within the meaning of Regulations section 1.1298-3(a), iod in the stock of the former PFIC includes the termination date, as				

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
·	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

14c

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Attachment Sequence No. 69

OMB No. 1545-1002

Depa Intern	rtment of al Rever	f the Treasury nue Service	► Go to www.irs.gov/Form8621 for instructions an	d the latest information.	Sequence No. 69	
		areholder	· ·	Identifying number (see instructions)		
NOR	THERN	N ILLINOI	S UNIVERSITY FOUNDATION	36-6086819		
		reet, and roor	n or suite no. If a P.O. box, see instructions.		r tax year beginning	
			ZIP code or country	JUL I , 2021 and ending JUI	. 2022	
DEK	ALB,	IL 6011	5			
			er filing the return: IndividualX Corporation Partnershi becified Foreign Financial Assets are reported on this form. See instructions	p S Corporation Nongrantor 1		
Qual	ifying I	nsurance Cor	poration Election-I, a shareholder of stock of a foreign corporation, elect to tre	eat such stock as the stock of a Qualifying		
			nder the alternative facts and circumstances test within the meaning of section			
			passive foreign investment company (PFIC), or qualified electing fund (QEF) WIRELESS COMM TECH LTD	Employer identification number (if any)		
Addr	ess (Er	nter number,	street, city or town, and country.)	Reference ID number (see instructions) 162		
			NO 13 1 BEIYUAN RD	Tax year of foreign corporation, PFIC, or QEF		
BEI	JING,	CHINA		or other tax year beginning JAN 1 and ending DEC 31	2021 , 2021	
Р	art I	Sumn	nary of Annual Information (see instructions)	and ending 526 51	, 2021.	
Prov		-	ormation with respect to all shares of the PFIC held by the shareholder:			
1	Desc	¬ `	ch class of shares held by the shareholder:			
2	Date		red during the tax year, if applicable:			
3	Num	ber of shares	s held at the end of the tax year:			
4	Valu	e of shares he	eld at the end of the tax year (check the appropriate box, if applicable):			
	(a)	\$0-50,		(d) \$150,001-200,000		
	(e)	If more than :	\$200,000, list value:			
5	Туре	of PFIC and	amount of any excess distribution or gain treated as an excess distribution un	der section 1291, inclusion under section 129	3,	
			leduction under section 1296 (check all boxes that apply):			
	(a) (b)		n 1291 \$ n 1293 (Qualified Electing Fund) \$			
	(C)		n 1296 (Mark to Market) \$			
P	art II		ons (see instructions)			
Α		Election To	Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	a QEF. Complete lines 6a through 7c of Pa	art III.	
В		of the QEF u Note: If any	Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the intil this election is terminated. Complete lines 8a through 9c of Part III to portion of line 6a or line 7a of Part III is includible under section 951, you mand 1294(f) and the related regulations for events that terminate this election.	ne time for payment of tax on the undistributed o calculate the tax that may be deferred. y not make this election. Also, see sections	J earnings and profits	
С			Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark omplete Part IV.	ket the PFIC stock that is marketable within the	meaning of section	
D			le Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF gain or loss on line 15f of Part V.	, elect to recognize gain on the deemed sale of	my interest in the	
Ε		amount equ	vidend Election. I, a shareholder on the first day of a PFIC's first tax year as a al to my share of the post-1986 earnings and profits of the CFC as an excess of			
_			tribution is greater than zero, also complete line 16 of Part V.			
F		distribution	Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC the gain recognized on the deemed sale of my interest in the PFIC on the last e 15f of Part V.			
G		1.1297-3(a)	vidend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of , elect to make a deemed dividend election with respect to the Section 1297(e es the CFC qualification date, as defined in Regulations section 1.1297-3(d).) PFIC. My holding period in the stock of the S	ection 1297(e)	
н		distribution Deemed Div elect to mak defined in R	in is greater than zero, also complete line 16, Part V. widend Election With Respect to a Former PFIC. I, a shareholder of a former a deemed dividend election with respect to the former PFIC. My holding per egulations section 1.1298-3(d). Enter the excess distribution on line 15e, ine 16. Part V.	PFIC, within the meaning of Regulations section in the stock of the former PFIC includes the	on 1.1298-3(a), e termination date, as	

F <u>o</u> rm	8621 (Rev. 12-2018)			Page 2
	art III Income From a Qualified Electing Fund (QEF). All QEF shar	reholders complete lines 6a through	7c. If you	
	Election B, also complete lines 8a through 9c. See instructions.			<u>-</u>
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
С	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount	t in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the			
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
C	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
е	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brack		8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible	e in income under section 951,		
	you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
	on line 8e	9b		
C	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is ex	ctended by making		
	Election B		9c	
P	art IV Gain or (Loss) From Mark-to-Market Election (see ins	structions)		
10a	Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b	Enter your adjusted basis in the stock at the end of the tax year		10b	
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount $\frac{1}{2}$			
	on your tax return. If a loss, go to line 11		10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))		11	
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include $\frac{1}{2}$			
	loss on your tax return		12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the ta	ax year:		
а	Enter the fair market value of the stock on the date of sale or disposition		13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition		13b	
C	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordered as $\frac{1}{2}$	dinary income on your		
	tax return. If a loss, go to line 14		13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 14a. Inc	ude this amount as an ordinary		
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, \cos	mplete line 14c	14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

► Go to www.irs.gov/Form8621 for instructions and the latest information.

OMB No. 1545-1002

Attachment Sequence No. 69

Nam	e of sh	areholder	Identifying number (see instructions)			
NOR	THERN	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819			
		reet, and room or suite no. If a P.O. box, see instructions. HALL 134	Shareholder tax year: calendar year or other tax year beginning JUL 1 , 2021 and ending JUN 30 , 2022			
-		n, state, and ZIP code or country IL 60115				
Che	ck type	of shareholder filing the return: Individual X Corporation Partnershi y Excepted Specified Foreign Financial Assets are reported on this form. See instructions				
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tre				
		Corporation under the alternative facts and circumstances test within the meaning of section				
	e of foreig	gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) CO AB	Employer identification number (if any)			
Add	ress (Er	nter number, street, city or town, and country.)	Reference ID number (see instructions) CIBVEST			
		INVESTMENT BANK M 103 38, SWEDEN	Tax year of foreign corporation, PFIC, or QEF: Calendar year or other tax year beginning $^{\mathrm{JAN}}$ 1 $^{\mathrm{2021}}$,			
D	ort I	Summary of Annual Information (see instructions)	and ending DEC 31 , 2021.			
	art I	following information with respect to all shares of the PFIC held by the shareholder:				
1		cription of each class of shares held by the shareholder: COMMON				
		Check if shares jointly owned with spouse.				
2	Date	shares acquired during the tax year, if applicable:				
3	Num	ber of shares held at the end of the tax year:				
4	Value	e of shares held at the end of the tax year (check the appropriate box, if applicable):				
		X \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000			
	(e)	If more than \$200,000, list value:				
_	_					
5		of PFIC and amount of any excess distribution or gain treated as an excess distribution un inclusion or deduction under section 1296 (check all boxes that apply):	der section 1291, inclusion under section 1293,			
	(a)	Section 1291 \$				
	(b)	Section 1293 (Qualified Electing Fund) \$				
	(c)	Section 1296 (Mark to Market) \$	SEE STATEMENT 14			
Р	art II					
A B		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may	he time for payment of tax on the undistributed earnings and profits			
		1294(c) and 1294(f) and the related regulations for events that terminate this election.	y not make this election. Also, see sections			
С		Election To Mark-to-Market PFIC Stock . I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). <i>Complete Part IV</i> .	ket the PFIC stock that is marketable within the meaning of section			
D		Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF PFIC. Enter gain or loss on line 15f of Part V.	, elect to recognize gain on the deemed sale of my interest in the			
Е		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a				
		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess excess distribution is greater than zero, also complete line 16 of Part V.	distribution. Enter this amount on line 15e of Part V. If the			
F Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat a						
		distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	day of its last tax year as a PFIC under section 1297(a). <i>Enter</i>			
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).) PFIC. My holding period in the stock of the Section 1297(e)			
Н		distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, complete line 16, Part V.	iod in the stock of the former PFIC includes the termination date, as			

F <u>o</u> rm	8621 (Rev. 12-2018)			Page 2
	art III Income From a Qualified Electing Fund (QEF). All QEF shar	reholders complete lines 6a through	7c. If you	
	Election B, also complete lines 8a through 9c. See instructions.			<u>-</u>
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
С	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount	t in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the			
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
C	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
е	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brack		8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible	e in income under section 951,		
	you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
	on line 8e	9b		
C	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is ex	ctended by making		
	Election B		9c	
P	art IV Gain or (Loss) From Mark-to-Market Election (see ins	structions)		
10a	Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b	Enter your adjusted basis in the stock at the end of the tax year		10b	
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount $\frac{1}{2}$			
	on your tax return. If a loss, go to line 11		10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))		11	
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include $\frac{1}{2}$			
	loss on your tax return		12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the ta	ax year:		
а	Enter the fair market value of the stock on the date of sale or disposition		13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition		13b	
C	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordered as $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$	dinary income on your		
	tax return. If a loss, go to line 14		13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 14a. Inc	ude this amount as an ordinary		
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, \cos	mplete line 14c	14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

OMB No. 1545-1002 Attachment ► Go to www.irs.gov/Form8621 for instructions and the latest information. Sequence No. 69 Identifying number (see instructions) Name of shareholder NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Number, street, and room or suite no. If a P.O. box, see instructions. Shareholder tax year: calendar year or other tax year beginning ALTGELD HALL 134 JUN 30 and ending City or town, state, and ZIP code or country DEKALB, IL 60115 Check type of shareholder filing the return: Individual X Corporation Partnership S Corporation Check if any Excepted Specified Foreign Financial Assets are reported on this form. See instructions Qualifying Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to treat such stock as the stock of a Qualifying Insurance Corporation under the alternative facts and circumstances test within the meaning of section 1297(f)(2). See instructions Employer identification number (if any) Name of foreign corporation, passive foreign investment company (PFIC), or gualified electing fund (QEF) DECCAN HEATHCARE PRIVATE LIMITED Address (Enter number, street, city or town, and country.) Reference ID number (see instructions) 207 247 FL 2, DWARKAPURI CLNY Tax year of foreign corporation, PFIC, or QEF: Calendar year PUJAGUTTA, INDIA 500082 JAN 1 2021 or other tax year beginning DEC 31 2021 and ending Summary of Annual Information (see instructions) Provide the following information with respect to all shares of the PFIC held by the shareholder: Description of each class of shares held by the shareholder: PREFERRED Check if shares jointly owned with spouse. Date shares acquired during the tax year, if applicable: Number of shares held at the end of the tax year: Value of shares held at the end of the tax year (check the appropriate box, if applicable): (c) \$100,001-150.000 (a) X \$0-50,000 **(b)** \$50,001-100,000 \$150,001-200,000 (e) If more than \$200,000, list value: Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, and inclusion or deduction under section 1296 (check all boxes that apply): Section 1291 \$ (b) Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$ SEE STATEMENT 15 (c) Part II **Elections** (see instructions) Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III. Α В Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.

Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election. Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V. Εl Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V. Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V. Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I. a shareholder of a section 1297(e) PFIC. within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a),

elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also

н

distribution is greater than zero, also complete line 16, Part V.

complete line 16, Part V.

F <u>o</u> rm	8621 (Rev. 12-2018)			Page 2
	art III Income From a Qualified Electing Fund (QEF). All QEF shar	reholders complete lines 6a through	7c. If you	
	Election B, also complete lines 8a through 9c. See instructions.			<u>-</u>
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
С	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount	t in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the			
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
C	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
е	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brack		8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible	e in income under section 951,		
	you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
	on line 8e	9b		
C	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is ex	ctended by making		
	Election B		9c	
P	art IV Gain or (Loss) From Mark-to-Market Election (see ins	structions)		
10a	Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b	Enter your adjusted basis in the stock at the end of the tax year		10b	
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount $\frac{1}{2}$			
	on your tax return. If a loss, go to line 11		10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))		11	
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include $\frac{1}{2}$			
	loss on your tax return		12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the ta	ax year:		
а	Enter the fair market value of the stock on the date of sale or disposition		13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition		13b	
C	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordered as $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ and $\frac{1}{2}$ are $\frac{1}{2}$ are $\frac{1}{2}$	dinary income on your		
	tax return. If a loss, go to line 14		13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 14a. Inc	ude this amount as an ordinary		
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, \cos	mplete line 14c	14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Intern	al Rever	nue Service Coto www.irs.gov/Form8621 for instructions ar	nd the latest information.	Sequence No. 69
Nam	e of sh	areholder	Identifying number (see instructions)	
NOR	THERN	N ILLINOIS UNIVERSITY FOUNDATION	36-6086819	
		reet, and room or suite no. If a P.O. box, see instructions.	Shareholder tax year: calendar year or othe	r tax year beginning
	-	HALL 134		N 30 , 2022
		n, state, and ZIP code or country IL 60115		
Chec	k type	of shareholder filing the return: Individual X Corporation Partnersh	ip S Corporation Nongrantor	Trust Estate
Chec	k if any	y Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
Qual	ifying I	nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tr	eat such stock as the stock of a Qualifying	
Insu	rance C	Corporation under the alternative facts and circumstances test within the meaning of section		<u></u>
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) LDINGS LIMITED	Employer identification number (if any)	
Addr	ess (Er	nter number, street, city or town, and country.)	Reference ID number (see instructions) FANG01	
TWR	A NO	O 2 GUOGONGZHUANG MIDDLE ST	Tax year of foreign corporation, PFIC, or QEF	: Calendar year
BEI	JING,	, CHINA	or other tax year beginning JAN 1	2021 ,
			and ending DEC 31	, 2021 .
P	art I	Summary of Annual Information (see instructions)		
Prov		following information with respect to all shares of the PFIC held by the shareholder:		
1	Desc	cription of each class of shares held by the shareholder:		
_		Check if shares jointly owned with spouse.		
2	Date	shares acquired during the tax year, if applicable:		
3	Num	ber of shares held at the end of the tax year:		
4	Valu	e of shares held at the end of the tax year (check the appropriate box, if applicable):		
7	(a)	\$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000	
	` '	If more than \$200,000, list value:	(a) \$100,501 200,000	
	(-)			
5	Туре	e of PFIC and amount of any excess distribution or gain treated as an excess distribution ur	nder section 1291, inclusion under section 129	13,
	and i	inclusion or deduction under section 1296 (check all boxes that apply):		
	(a)	Section 1291 \$		
	(b)	Section 1293 (Qualified Electing Fund) \$		
_	(c)	Section 1296 (Mark to Market) \$		
	art II			
A B		Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend to the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may	the time for payment of tax on the undistribute	
С		1294(c) and 1294(f) and the related regulations for events that terminate this election. Election To Mark-to-Market PFIC Stock . I, a shareholder of a PFIC, elect to mark-to-mar		e meaning of section
D		1296(e). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEI		-
		PFIC. Enter gain or loss on line 15f of Part V.		•
E		Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a amount equal to my share of the post-1986 earnings and profits of the CFC as an excess excess distribution is greater than zero, also complete line 16 of Part V.		
F		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	day of its last tax year as a PFIC under section	1297(a). <i>Enter</i>
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). A distribution is greater than zero, also complete line 16, Part V.) PFIC. My holding period in the stock of the S	Section 1297(e)
Н		Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e complete line 16. Part V.	riod in the stock of the former PFIC includes th	e termination date, as

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
Ī	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
			1	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

(Rev. December 2018) Department of the Treasury Internal Revenue Service

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

► Go to www.irs.gov/Form8621 for instructions and the latest information.

Attachment Sequence No. 69

Nam	e of sh	areholder	Identifying number (see instructions)	
NORTHERN ILLINOIS UNIVERSITY FOUNDATION			36-6086819	
	-	reet, and room or suite no. If a P.O. box, see instructions. HALL 134	Shareholder tax year: calendar year or other tax year beginning JUL 1 , 2021 and ending JUN 30 , 2022	
		n, state, and ZIP code or country IL 60115		
		of shareholder filing the return: Individual X Corporation Partnershi	p S Corporation Nongrantor Trust Estate	
		/ Excepted Specified Foreign Financial Assets are reported on this form. See instructions		
		nsurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tre Corporation under the alternative facts and circumstances test within the meaning of section	· · ·	
		gn corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF)	Employer identification number (if any)	
GET	HOLI	DINGS LIMITED		
Addı	ess (Er	nter number, street, city or town, and country.)	Reference ID number (see instructions) 221	
		INA HK TWR, 8-12 HENNESSY RD	Tax year of foreign corporation, PFIC, or QEF: Calendar year	
HON	G KOI	NG, HONG KONG	or other tax year beginning JAN 1 2021 , and ending DEC 31 , 2021 .	
Р	art I	Summary of Annual Information (see instructions)	and ending	
Prov	ide the	following information with respect to all shares of the PFIC held by the shareholder:		
1	Desc	ription of each class of shares held by the shareholder:		
2	L	Check if shares jointly owned with spouse. shares acquired during the tax year, if applicable:		
2	Date	Shares acquired during the tax year, if applicable.		
3	Num	ber of shares held at the end of the tax year:		
4	Valu	e of shares held at the end of the tax year (check the appropriate box, if applicable):		
·	(a)	\$0-50,000 (b) \$50,001-100,000 (c) \$100,001-150,000	(d) \$150,001-200,000	
	(e)	If more than \$200,000, list value:		
_	_			
5		of PFIC and amount of any excess distribution or gain treated as an excess distribution un inclusion or deduction under section 1296 (check all boxes that apply):	der section 1291, inclusion under section 1293,	
	(a)	Section 1291 \$		
	(b)	Section 1293 (Qualified Electing Fund) \$		
	(c)	Section 1296 (Mark to Market) \$		
_	art II			
A B	\square	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	•	
Ь		Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may 1294(c) and 1294(f) and the related regulations for events that terminate this election.	calculate the tax that may be deferred. y not make this election. Also, see sections	
С		Election To Mark-to-Market PFIC Stock . I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	xet the PFIC stock that is marketable within the meaning of section	
D		Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF	, elect to recognize gain on the deemed sale of my interest in the	
Е		PFIC. Enter gain or loss on line 15f of Part V. Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a	OFF that is a controlled foreign cornoration (CFC), elect to treat an	
_		amount equal to my share of the post-1986 earnings and profits of the CFC as an excess of		
F		excess distribution is greater than zero, also complete line 16 of Part V.	or a DEIC to which costion 1907/d) applies, clost to treat as an expense	
•		Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last <i>gain on line 15f of Part V.</i>		
G		Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).	PFIC. My holding period in the stock of the Section 1297(e)	
н		distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, complete line 16, Part V.	iod in the stock of the former PFIC includes the termination date, as	

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
·	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

OMB No. 1545-1002 Attachment ► Go to www.irs.gov/Form8621 for instructions and the latest information. Sequence No. 69 Identifying number (see instructions) Name of shareholder NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Number, street, and room or suite no. If a P.O. box, see instructions. Shareholder tax year: calendar year or other tax year beginning ALTGELD HALL 134 JUN 30 and ending City or town, state, and ZIP code or country DEKALB, IL 60115 Check type of shareholder filing the return: Individual X Corporation Partnership S Corporation Check if any Excepted Specified Foreign Financial Assets are reported on this form. See instructions Qualifying Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to treat such stock as the stock of a Qualifying Insurance Corporation under the alternative facts and circumstances test within the meaning of section 1297(f)(2). See instructions Employer identification number (if any) Name of foreign corporation, passive foreign investment company (PFIC), or gualified electing fund (QEF) IMAGI INTERNATIONAL HOLDINGS LTD Address (Enter number, street, city or town, and country.) Reference ID number (see instructions) 163 1105, K. WAH CTR, 191 JAVA RD Tax year of foreign corporation, PFIC, or QEF: Calendar year HONG KONG, HONG KONG JAN 1 2021 or other tax year beginning DEC 31 2021 and ending Summary of Annual Information (see instructions) Provide the following information with respect to all shares of the PFIC held by the shareholder: Description of each class of shares held by the shareholder: Check if shares jointly owned with spouse. Date shares acquired during the tax year, if applicable: Number of shares held at the end of the tax year: Value of shares held at the end of the tax year (check the appropriate box, if applicable): (c) \$100,001-150.000 \$0-50,000 **(b)** \$50,001-100,000 \$150,001-200,000 (e) If more than \$200,000, list value: Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, and inclusion or deduction under section 1296 (check all boxes that apply): Section 1291 \$ (b) Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$ (c) Part II **Elections** (see instructions) Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III. Α В Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.

Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election. Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V.

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I. a shareholder of a section 1297(e) PFIC. within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess

elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a),

н

Εl

gain on line 15f of Part V.

complete line 16, Part V.

excess distribution is greater than zero, also complete line 16 of Part V.

distribution is greater than zero, also complete line 16, Part V.

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
·	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Attachment

OMB No. 1545-1002

Depa Intern	tment of al Rever	f the Treasury nue Service Go to www.irs.gov	/Form8621 for instructions an	d the latest information.	Sequence No. 69
		areholder		Identifying number (see instructions)	
NOR	THERN	N ILLINOIS UNIVERSITY FOUNDATION		36-6086819	
		reet, and room or suite no. If a P.O. box, see instruction:	TIT 1 0001 TIT	tax year beginning	
		n, state, and ZIP code or country		JUL 1 , 2021 and ending JUI	N 30 , 2022 .
		IL 60115			
		of shareholder filing the return; Individual2 y Excepted Specified Foreign Financial Assets are report	·	p S Corporation Nongrantor	
		nsurance Corporation Election-I, a shareholder of stock			
Insu	rance C	Corporation under the alternative facts and circumstance	s test within the meaning of section		
		gn corporation, passive foreign investment company (PFIC), or qua NG CORPORATION	lified electing fund (QEF)	Employer identification number (if any)	
Δddr	ess (Fr	nter number, street, city or town, and country.)		Reference ID number (see instructions)	
				166	
		NO. 138 XIZHIMENWAI ST		Tax year of foreign corporation, PFIC, or QEF	
BEI	JING,	, CHINA		or other tax year beginning JAN 1 and ending DEC 31	2021 , 2021 .
Р	art I	Summary of Annual Information (s	see instructions)	and onang	
		following information with respect to all shares of the F			
1	Desc	cription of each class of shares held by the shareholder: Check if shares jointly owned with spouse.			
2	Date	shares acquired during the tax year, if applicable:			
3	Num	ober of shares held at the end of the tax year:			
·	· · · · · ·				
4		e of shares held at the end of the tax year (check the app		(1) 4450 004 000 000	
	(a) (e)	\$0-50,000 (b) \$50,001-100,000 If more than \$200,000, list value:	(c) \$100,001-150,000	(d) \$150,001-200,000	
	(-)				
5		e of PFIC and amount of any excess distribution or gain		der section 1291, inclusion under section 129	3,
	and (a)	inclusion or deduction under section 1296 (check all bo Section 1291 \$	xes tnat apply):		
	(b)	Section 1293 (Qualified Electing Fund) \$			
_	(c)	Section 1296 (Mark to Market) \$			
A	art II	Elections (see instructions) Election To Treat the PFIC as a QEF. I, a shareholder	of a PEIC elect to treat the PEIC as	3 OFF Complete lines for through 7s of D	ort III
В		Election To Extend Time For Payment of Tax. I, a sha of the QEF until this election is terminated. <i>Complete</i> Note : If any portion of line 6a or line 7a of Part III is in	reholder of a QEF, elect to extend th lines 8a through 9c of Part III to cludible under section 951, you ma	ne time for payment of tax on the undistributed	
С		1294(c) and 1294(f) and the related regulations for every Election To Mark-to-Market PFIC Stock. I, a sharehold 1296(e). Complete Part IV.		eet the PFIC stock that is marketable within the	meaning of section
D		Deemed Sale Election. I, a shareholder on the first da	y of a PFIC's first tax year as a QEF	, elect to recognize gain on the deemed sale of	my interest in the
Ε		PFIC. Enter gain or loss on line 15f of Part V. Deemed Dividend Election. I, a shareholder on the fir amount equal to my share of the post-1986 earnings a			
		excess distribution is greater than zero, also com		istribution. Enter this amount on line 15e	of Part V. If the
F		Election To Recognize Gain on Deemed Sale of PFIC distribution the gain recognized on the deemed sale of gain on line 15f of Part V.			
G		Deemed Dividend Election With Respect to a Section 1.1297-3(a), elect to make a deemed dividend election PFIC includes the CFC qualification date, as defined in	with respect to the Section 1297(e) Regulations section 1.1297-3(d). <i>E</i>	PFIC. My holding period in the stock of the S	ection 1297(e)
н		distribution is greater than zero, also complete lin Deemed Dividend Election With Respect to a Former elect to make a deemed dividend election with respect defined in Regulations section 1.1298-3(d). Enter the complete line 16, Part V.	PFIC. I, a shareholder of a former to the former PFIC. My holding periods	od in the stock of the former PFIC includes th	e termination date, as

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
·	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

OMB No. 1545-1002 Attachment

► Go to www.irs.gov/Form8621 for instructions and the latest information. Sequence No. 69 Identifying number (see instructions) Name of shareholder NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Number, street, and room or suite no. If a P.O. box, see instructions. Shareholder tax year: calendar year or other tax year beginning ALTGELD HALL 134 JUN 30 and ending City or town, state, and ZIP code or country DEKALB, IL 60115 Check type of shareholder filing the return: Individual X Corporation Partnership S Corporation Check if any Excepted Specified Foreign Financial Assets are reported on this form. See instructions Qualifying Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to treat such stock as the stock of a Qualifying Insurance Corporation under the alternative facts and circumstances test within the meaning of section 1297(f)(2). See instructions Employer identification number (if any) Name of foreign corporation, passive foreign investment company (PFIC), or gualified electing fund (QEF) LEYSHON ENERGY LIMITED Address (Enter number, street, city or town, and country.) Reference ID number (see instructions) 222 TWR B, STE 4, FL 21 3 XINYUAN S RD Tax year of foreign corporation, PFIC, or QEF: Calendar year BEIJING, CHINA JAN 1 2021 or other tax year beginning DEC 31 2021 and ending Summary of Annual Information (see instructions) Provide the following information with respect to all shares of the PFIC held by the shareholder: Description of each class of shares held by the shareholder: Check if shares jointly owned with spouse. Date shares acquired during the tax year, if applicable: Number of shares held at the end of the tax year: Value of shares held at the end of the tax year (check the appropriate box, if applicable): (c) \$100,001-150.000 \$0-50,000 **(b)** \$50,001-100,000 \$150,001-200,000 (e) If more than \$200,000, list value: Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, and inclusion or deduction under section 1296 (check all boxes that apply): Section 1291 \$ (b) Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$ (c) Part II **Elections** (see instructions) Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III. Α В Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.

Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election. Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V. Εl Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V. Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V. Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I. a shareholder of a section 1297(e) PFIC. within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), н elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also

complete line 16, Part V.

orm	8621 (Rev. 12-2018)			Page :
Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
Ī	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred. Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election. Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV. Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the PFIC. Enter gain or loss on line 15f of Part V. E Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V. Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V. G Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of a section 1297(e) PFIC, within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1.297-3(d). Enter the excess distribution on line 15e, Part V. If the excess defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If	men	iai Rever	inde service Go to www.iis.gov/Formboz i for instructions an	d the latest illionilation.
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sustrayer Corporation Under the alternative tects and circumstances test within the meaning of section 1297/19/2. See instructions Employer identification number (if any)	Che	ck type	of shareholder filing the return: Individual X Corporation Partnershi	p S Corporation Nongrantor Trust Estate
Surance Corporation under the alternative facts and circumstances test within the meaning of section 1297(I)(2). See instructions Part No.				
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Pa	art III Income From a Qualified Electing Fund (QEF). All QEF sha	areholders complete lines 6a through	h 7c. If you are mak	ing
	Election B, also complete lines 8a through 9c. See instructions.			
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
b	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		. 6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
b	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
C	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	nt in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the		•	
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
С	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
Ī	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c	· · · · · · · · · · · · · · · · · · ·	8d	
e	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brac			
•	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includib			
	you may make Election B with respect to the amount on line 8e.	ne in income under section con,		
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
·	on line 8e	9b		
c	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is e			
·	Election B	Action by making	9c	
Pa	art IV Gain or (Loss) From Mark-to-Market Election (see in	structions)	1 00 1	
	Enter the fair market value of your PFIC stock at the end of the tax year	· · · · · · · · · · · · · · · · · · ·	10a	
	Enter your adjusted basis in the stock at the end of the tax year			
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amo		100	
·	on your tax return. If a loss, go to line 11	•	10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))			
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Incl		- ' '	
12		· · · · · · · · · · · · · · · · · · ·	12	
12	loss on your tax return If you sold or otherwise disposed of any section 1296 stock (see instructions) during the		12	
	Enter the fair market value of the stock on the date of sale or disposition		13a	
		urdinary income on your	130	
Ü	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as o		120	
14-	tax return. If a loss, go to line 14			
	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
D	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Inc			
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, c	omplete line 14c	. 14b	

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

► Go to www.irs.gov/Form8621 for instructions and the latest information.

OMB No. 1545-1002

Name of shareholder		Identifying number (see instructions)				
NORTHER	RN ILLINOIS UNIVERSITY FOUNDATION	36-6086819				
-	street, and room or suite no. If a P.O. box, see instructions. HALL 134	Shareholder tax year: calendar year or other tax year beginning JUL 1 , 2021 and ending JUN 30 , 2022				
-	vn, state, and ZIP code or country IL 60115					
Check typ	e of shareholder filing the return: Individual X Corporation Partnershing Excepted Specified Foreign Financial Assets are reported on this form. See instructions					
	Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to tree					
	Corporation under the alternative facts and circumstances test within the meaning of section					
	eign corporation, passive foreign investment company (PFIC), or qualified electing fund (QEF) LL COMMODITY MANAGEMENTPRIVATE LIMITED	Employer identification number (if any)				
Address (Enter number, street, city or town, and country.)	Reference ID number (see instructions) 206				
	1 NAYA BAZAAR	Tax year of foreign corporation, PFIC, or QEF: Calendar year				
DELHI,	INDIA 110006	or other tax year beginning APR 1 2020 , and ending MAR 31 , 2021 .				
Part	Summary of Annual Information (see instructions)	and finding ,				
	e following information with respect to all shares of the PFIC held by the shareholder:					
1 Des	scription of each class of shares held by the shareholder:					
L	Check if shares jointly owned with spouse.					
2 Dat	e shares acquired during the tax year, if applicable:					
3 Nu	mber of shares held at the end of the tax year:					
4 Val	ue of shares held at the end of the tax year (check the appropriate box, if applicable):					
(a)		(d) \$150,001-200,000				
(e)	If more than \$200,000, list value:					
	e of PFIC and amount of any excess distribution or gain treated as an excess distribution un I inclusion or deduction under section 1296 (check all boxes that apply);	der section 1291, inclusion under section 1293,				
(a)	The second of th					
(b)						
(c)	Section 1296 (Mark to Market) \$					
Part I						
A	Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as	•				
В	Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the QEF until this election is terminated. Complete lines 8a through 9c of Part III to Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you ma 1294(c) and 1294(f) and the related regulations for events that terminate this election.	the time for payment of tax on the undistributed earnings and profits a calculate the tax that may be deferred. y not make this election. Also, see sections				
C	Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-mark 1296(e). Complete Part IV.	ket the PFIC stock that is marketable within the meaning of section				
D	Deemed Sale Election . I, a shareholder on the first day of a PFIC's first tax year as a QEF PFIC. Enter gain or loss on line 15f of Part V.	, elect to recognize gain on the deemed sale of my interest in the				
E	Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a amount equal to my share of the post-1986 earnings and profits of the CFC as an excess of the cFC as an					
	excess distribution is greater than zero, also complete line 16 of Part V.					
F	Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC distribution the gain recognized on the deemed sale of my interest in the PFIC on the last gain on line 15f of Part V.	or a PFIC to which section 1297(d) applies, elect to treat as an excess day of its last tax year as a PFIC under section 1297(a). <i>Enter</i>				
G _	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shareholder of 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d).) PFIC. My holding period in the stock of the Section 1297(e)				
н 🗀	distribution is greater than zero, also complete line 16, Part V. Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former elect to make a deemed dividend election with respect to the former PFIC. My holding per defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, complete line 16, Part V.	iod in the stock of the former PFIC includes the termination date, as				

F <u>o</u> rm	8621 (Rev. 12-2018)			Page 2
	art III Income From a Qualified Electing Fund (QEF). All QEF shar	reholders complete lines 6a through	7c. If you	
	Election B, also complete lines 8a through 9c. See instructions.			<u>-</u>
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
С	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount	t in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the			
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
C	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
е	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brack		8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible	e in income under section 951,		
	you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
	on line 8e	9b		
C	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is ex	ctended by making		
	Election B		9c	
P	art IV Gain or (Loss) From Mark-to-Market Election (see ins	structions)		
10a	Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b	Enter your adjusted basis in the stock at the end of the tax year		10b	
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount $\frac{1}{2}$			
	on your tax return. If a loss, go to line 11		10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))		11	
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include $\frac{1}{2}$			
	loss on your tax return		12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the ta	ax year:		
а	Enter the fair market value of the stock on the date of sale or disposition		13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition		13b	
C	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordered as $\frac{1}{2}$	dinary income on your		
	tax return. If a loss, go to line 14		13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 14a. Inc	ude this amount as an ordinary		
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, \cos	mplete line 14c	14b	

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

14c

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund

OMB No. 1545-1002 Attachment ► Go to www.irs.gov/Form8621 for instructions and the latest information. Sequence No. 69 Identifying number (see instructions) Name of shareholder NORTHERN ILLINOIS UNIVERSITY FOUNDATION 36-6086819 Number, street, and room or suite no. If a P.O. box, see instructions. Shareholder tax year: calendar year or other tax year beginning ALTGELD HALL 134 JUN 30 and ending City or town, state, and ZIP code or country DEKALB, IL 60115 Check type of shareholder filing the return: Individual X Corporation Partnership S Corporation Check if any Excepted Specified Foreign Financial Assets are reported on this form. See instructions Qualifying Insurance Corporation Election-I, a shareholder of stock of a foreign corporation, elect to treat such stock as the stock of a Qualifying Insurance Corporation under the alternative facts and circumstances test within the meaning of section 1297(f)(2). See instructions Employer identification number (if any) Name of foreign corporation, passive foreign investment company (PFIC), or gualified electing fund (QEF) TIAN GE INTERACTIVE HOLDINGS LIMITED Address (Enter number, street, city or town, and country.) Reference ID number (see instructions) TIANGE01 12A/F, INTIME CITY TWR R, Tax year of foreign corporation, PFIC, or QEF: Calendar year HANGZHOU, CHINA 310011 JAN 1 2021 or other tax year beginning DEC 31 2021 and ending Summary of Annual Information (see instructions) Provide the following information with respect to all shares of the PFIC held by the shareholder: Description of each class of shares held by the shareholder: Check if shares jointly owned with spouse. Date shares acquired during the tax year, if applicable: Number of shares held at the end of the tax year: Value of shares held at the end of the tax year (check the appropriate box, if applicable): (c) \$100,001-150.000 \$0-50,000 **(b)** \$50,001-100,000 \$150,001-200,000 (e) If more than \$200,000, list value: Type of PFIC and amount of any excess distribution or gain treated as an excess distribution under section 1291, inclusion under section 1293, and inclusion or deduction under section 1296 (check all boxes that apply): Section 1291 \$ (b) Section 1293 (Qualified Electing Fund) \$ Section 1296 (Mark to Market) \$ (c) Part II **Elections** (see instructions) Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the PFIC as a QEF. Complete lines 6a through 7c of Part III. Α В Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to extend the time for payment of tax on the undistributed earnings and profits

of the QEF until this election is terminated. Complete lines 8a through 9c of Part III to calculate the tax that may be deferred.

Note: If any portion of line 6a or line 7a of Part III is includible under section 951, you may not make this election. Also, see sections 1294(c) and 1294(f) and the related regulations for events that terminate this election.

Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to mark-to-market the PFIC stock that is marketable within the meaning of section 1296(e). Complete Part IV.

Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF, elect to recognize gain on the deemed sale of my interest in the

PFIC. Enter gain or loss on line 15f of Part V. Εl

Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first tax year as a QEF that is a controlled foreign corporation (CFC), elect to treat an amount equal to my share of the post-1986 earnings and profits of the CFC as an excess distribution. Enter this amount on line 15e of Part V. If the excess distribution is greater than zero, also complete line 16 of Part V.

Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a former PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess distribution the gain recognized on the deemed sale of my interest in the PFIC on the last day of its last tax year as a PFIC under section 1297(a). Enter gain on line 15f of Part V.

Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I. a shareholder of a section 1297(e) PFIC. within the meaning of Regulations section 1.1297-3(a), elect to make a deemed dividend election with respect to the Section 1297(e) PFIC. My holding period in the stock of the Section 1297(e) PFIC includes the CFC qualification date, as defined in Regulations section 1.1297-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of a former PFIC, within the meaning of Regulations section 1.1298-3(a), н elect to make a deemed dividend election with respect to the former PFIC. My holding period in the stock of the former PFIC includes the termination date, as defined in Regulations section 1.1298-3(d). Enter the excess distribution on line 15e, Part V. If the excess distribution is greater than zero, also complete line 16, Part V.

F <u>o</u> rm	8621 (Rev. 12-2018)			Page 2
	art III Income From a Qualified Electing Fund (QEF). All QEF shar	reholders complete lines 6a through	7c. If you	
	Election B, also complete lines 8a through 9c. See instructions.			<u>-</u>
6 a	Enter your pro rata share of the ordinary earnings of the QEF	6a		
	Enter the portion of line 6a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	6b		
C	Subtract line 6b from line 6a. Enter this amount on your tax return as ordinary income		6c	
7 a	Enter your pro rata share of the total net capital gain of the QEF	7a		
	Enter the portion of line 7a that is included in income under section 951 or that may be			
	excluded under section 1293(g)	7b		
С	Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amount	t in Part II of the Schedule D		
	used for your income tax return. See instructions		7c	
	Complete lines 8 and 9 only if you are making a section 1294 election (Election B) for the			
8 a	Add lines 6c and 7c		8a	
b	Enter the total amount of cash and the fair market value of other property distributed			
	or deemed distributed to you during the tax year of the QEF. See instructions	8b		
C	Enter the portion of line 8a not already included in line 8b that is attributable to shares			
	in the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d	Add lines 8b and 8c		8d	
е	Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in brack		8e	
	Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includible	e in income under section 951,		
	you may make Election B with respect to the amount on line 8e.			
9 a	Enter the total tax for the tax year. See instructions	9a		
b	Enter the total tax for the tax year determined without regard to the amount entered			
	on line 8e	9b		
C	Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is ex	ctended by making		
	Election B		9c	
P	art IV Gain or (Loss) From Mark-to-Market Election (see ins	structions)		
10a	Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b	Enter your adjusted basis in the stock at the end of the tax year		10b	
	Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12. Include this amount $\frac{1}{2}$			
	on your tax return. If a loss, go to line 11		10c	
11	Enter any unreversed inclusions (as defined in section 1296(d))		11	
	Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include $\frac{1}{2}$			
	loss on your tax return		12	
13	If you sold or otherwise disposed of any section 1296 stock (see instructions) during the ta	ax year:		
а	Enter the fair market value of the stock on the date of sale or disposition		13a	
b	Enter the adjusted basis of the stock on the date of sale or disposition		13b	
C	Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordered as $\frac{1}{2}$	dinary income on your		
	tax return. If a loss, go to line 14		13c	
14a	Enter any unreversed inclusions (as defined in section 1296(d))		14a	
	Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Including the loss from line 14a. Inc	ude this amount as an ordinary		
	loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, \cos	mplete line 14c	14b	

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

Note: See instructions in case of multiple sales or dispositions.

Form **8621** (Rev. 12-2018)

14c

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (see instructions) Complete a separate Part V for each excess distribution and disposition. See instructions. 15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the holding period of the stock began in the current tax year, see instructions 15a **b** Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year) 15b c Divide line 15b by 3.0. (See instructions if the number of preceding tax years is less than 3.) 15c **d** Multiply line 15c by 125% (1.25) 15d e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock. If there is an excess distribution, complete line 16. If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess distribution on your income tax return 15e f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain. complete line 16. If a loss, show it in brackets and **do not** complete line 16 15f 16 a If there is a positive amount on line 15e or 15f (or both), attach a statement for each excess distribution and disposition. Show your holding period for each share of stock or block of shares held. Allocate the excess distribution or gain to each day in your holding period. Add all amounts that are allocated to days in each tax year. b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years before the foreign corporation became a PFIC (pre-PFIC years). Enter these amounts on your income tax return as other income 16b c Enter the aggregate increases in tax (before credits) for each tax year in your holding period (other than the current tax year and pre-PFIC years). See instructions 16c d Foreign tax credit (see instructions) 16d e Subtract line 16d from line 16c. Enter this amount on your income tax return as "additional tax." See instructions 16e f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621. Enter the aggregate amount of interest here. See instructions 16f

Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294 Elections

	Complete a se	parate column for ea	ch outstanding elec	tion.			
	Complete lines 17 through						
	20 to report the status of						
	outstanding prior year						
	section 1294 elections.						
		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17	Tax year of outstanding						
	election						
18	Undistributed earnings to						
	which the election relates						
	Deferred tax						
20	Interest accrued on deferred						
	tax (line 19) as of the filing						
_	date						
	Complete lines 21 through						
	24 only if a section 1294						
	election is terminated in						
	the current year.						
21	Event terminating election						
	Earnings distributed or						
	deemed distributed during						
	the tax year						
23	Deferred tax due with this						
	return						
24	Accrued interest due with						
	this return						
	Complete lines 25 and 26						
	only if there is a partial						
	termination of a section						
	1294 election in the						
	current tax year.						
25	Deferred tax outstanding						
	after partial termination of						
	election. Subtract line 23						
	from line 19						
26	Interest accrued after partial						
	termination of election.						
	Subtract line 24 from line 20						

NORTHERN IDDING		ATTON			30-0000019
FORM 8621	ADDITION	AL INFORMAT	ION	S'	TATEMENT 14
NAME OF PASSIVE	FOREIGN INVESTMENT	COMPANY OR	QUALIFIED	ELECTING FU	JND
CIBVESTCO AB					
CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE	NUMBER OF SHARES AT END OF YEAR	VALUE OF SHARES HELD AT END OF YEAR
COMMON					
FORM 8621	ADDITION	AL INFORMAT	ION	S'	TATEMENT 15
NAME OF PASSIVE	FOREIGN INVESTMENT	COMPANY OR	QUALIFIED	ELECTING FU	JND
DECCAN HEATHCAR	E PRIVATE LIMITED				
CLASS OF STOCK	NUMBER OF SHARES AT BEGINING OF YEAR	CHANGE IN NUMBER OF SHARES	DATE OF CHANGE		VALUE OF SHARES HELD AT END OF YEAR
PREFERRED					

Return by a U.S. Transferor of Property to a Foreign Corporation ▶ Go to www.irs.gov/Form926 for instructions and the latest information.

► Attach to your income tax return for the year of the transfer or distribution.

OMB No. 15	45-0026
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Part	U.S. Transferor Information (see instructions)					
Name o	of transferor	Ic	dentifyin	g numbe	er (see in	structions)
ALTO	R FUND III (NO.1) LIMITED PARTNERSHIP		98-059	4713		
1 ls	the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?			Yes	X	No
2 If	the transferor was a corporation, complete questions 2a through 2d.					
a If	the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by					
fiv	ve or fewer domestic corporations?			Yes	X	No
b D	id the transferor remain in existence after the transfer?		X	Yes		No
	not, list the controlling shareholder(s) and their identifying number(s).					
	Controlling shareholder	Identi	fying nu	ımber		
	the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation	 n?		Yes		No
	not, list the name and employer identification number (EIN) of the parent corporation. Name of parent corporation	EIN of pa	rent co	rporati	on	
d H	lave basis adjustments under section 367(a)(4) been made?			Yes	X	No
	the transferor was a partner in a partnership that was the actual transferor (but is not treated as such unde omplete questions 3a through 3d.	r section 3	367),			
	ist the name and EIN of the transferor's partnership.					
	Name of partnership	EIN o	f partne	ership		
ALTO	R FUND III (NO. 1) LIMITED PARTNERSHIP 98-05	94713				
b D	id the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	X	No
	the partner disposing of its entire interest in the partnership?			Yes	X	No
d Is	the partner disposing of an interest in a limited partnership that is regularly traded on an established					
	ecurities market?			Yes	X	No
Part	II Transferee Foreign Corporation Information (see instructions)					-
4 N	lame of transferee (foreign corporation)	5a Ide	entifying	numb	er, if a	ıny
AF I	II TOPCO AS					
	ddress (including country) DLMEN ALLE 19 NO 0252	5b Ref	ference	ID num	ber	
oslo,	NORWAY	A3TA				
	country code of country of incorporation or organization					
	oreign law characterization (see instructions) ORATION					
9 Is	the transferee foreign corporation a controlled foreign corporation?			Yes	Х	No
124531 04		·	Forn	n 926 (F	Rev. 1	1-2018)

	Regarding Trans	sfer of Property (see i	nstructions)						
Section A - Cash		(r)		()					
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer				
Cash	transfer	property	date of transfer	54616	tranoioi				
10 Was cash the only pro If "Yes," skip the rema	• •	o to Part IV.			Yes X No				
Section B - Other Pro	perty (other that	n intangible property s	subject to section 3						
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer				
Stock and securities	03/23/2020	STOCK	551.	1,000.					
Inventory									
-									
Other property									
(not listed under									
another category)									
Property with									
built-in loss									
			FF1	1 000					
Totals			551.	1,000.					
 12 a Were any assets of a foreign corporation? If "Yes," go to line 12th b Was the transferor a continue to limple. c Immediately after the transferee foreign corporation. d Enter the transferred I d Enter the transferred I 13 Did the transferor transfer Skip Section C 	If "Yes," go to line 12b. b Was the transferor a domestic corporation that transferred substantially all of the assets of a foreign branch (including a branch that is a foreign disregarded entity) to a specified 10%-owned foreign corporation? If "Yes," continue to line 12c. If "No," skip lines 12c and 12d, and go to line 13. c Immediately after the transfer, was the domestic corporation a U.S. shareholder with respect to the transferee foreign corporation? If "Yes," continue to line 12d. If "No," skip line 12d, and go to line 13. d Enter the transferred loss amount included in gross income as required under section 91								
Section C - Intangible	Property Subje	ct to Section 367(d)	T T		1				
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length pron date of trans		(f) Income inclusion for year of transfer				
			+ +						
Property described									
in sec. 367(d)(4)									
			† †						
			† †						
 Totals									
Ισιαίδ									

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	□ No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		□ No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ►\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	oplemental Part III Information Required To Be Reported (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After001 % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following.		X No
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After001_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No X No
16 17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After001_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	=
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

124533 04-01-21

Return by a U.S. Transferor of Property to a Foreign Corporation ▶ Go to www.irs.gov/Form926 for instructions and the latest information.

▶ Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Par	t I U.S. Transferor Information (see instructions)					
Name	e of transferor	I	dentifyi	ng numb	er (see in	structions)
ALT	FOR FUND III (NO.1) LIMITED PARTNERSHIP		98-05	94713		
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?		\top	Yes	Х	No
2	If the transferor was a corporation, complete questions 2a through 2d.		. —	,		,
	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by					
_	five or fewer domestic corporations?			Yes	Х	No
b	Did the transferor remain in existence after the transfer?		X	Yes		No
_	If not, list the controlling shareholder(s) and their identifying number(s).			,		,
	Controlling shareholder	Ident	ifying r	number		
С	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation. If not, list the name and employer identification number (EIN) of the parent corporation.	i?	. L	Yes		No
	Name of parent corporation	EIN of pa	arent c	orporati	on	
d	Have basis adjustments under section 367(a)(4) been made?			Yes	X	No
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under	section	367).			
	complete questions 3a through 3d.		,,			
а	List the name and EIN of the transferor's partnership.					
	Name of partnership	EIN c	of partn	nership		
AL	TOR FUND III (NO. 1) LIMITED PARTNERSHIP 98-05	94713				
b	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	Х	No
	Is the partner disposing of its entire interest in the partnership?			Yes	X	No
d	Is the partner disposing of an interest in a limited partnership that is regularly traded on an established					
_	securities market?	<u></u>		Yes	Х	No
Par	Transferee Foreign Corporation Information (see instructions)					
4	Name of transferee (foreign corporation)	5a Ide	∍ntifyin	g numb	er, if a	any
MON	NT BLANC BRANDS HOLDING S.A.R.L					
6	Address (including country)	5b Re	ference	e ID num	ber	
8, R	UE LOU HEMMER					
SENN	INGERBERG, LUXEMBOURG	MBBH				
7 LU	Country code of country of incorporation or organization					
8 COI	Foreign law characterization (see instructions)					
9	Is the transferee foreign corporation a controlled foreign corporation?			Yes	X	No
124531	1 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.		For	m 926 (l	Rev. 1	1-2018)

	Regarding Tran	sfer of Property (see	instructi	ons)		r age z
Section A - Cash	(2)	(b)		(c)	(d)	(a)
Type of property	(a) Date of transfer	(b) Description of property		(c) narket value on e of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash				6.		
10 Was cash the only pro If "Yes," skip the rema Section B - Other Pro	inder of Part III and g					Yes X No
Type of	(a)	(b) Description of		(c)	(d)	(e)
property	Date of transfer	Description of property		narket value on e of transfer	Cost or other basis	Gain recognized on transfer
Stock and securities						
Inventory						
		PROPERTY		211.	133.	
Other property						
(not listed under						
another category)						
Property with						
built-in loss						
Totals				211.	133.	
(including a branch that If "Yes," continue to linct Immediately after the stransferee foreign corp. If "Yes," continue to linct Immediately after the transferred left.	oreign branch (included) comestic corporation at is a foreign disregance 12c. If "No," skip I transfer, was the domooration? The 12d. If "No," skip I toss amount included sfer property describ	that transferred substantially urded entity) to a specified 1 ines 12c and 12d, and go to nestic corporation a U.S. shading ine 12d, and go to line 13. in gross income as required ed in section 367(d)(4)?	n disregard y all of the 0%-owned oline 13. areholder v	assets of a foreig foreign corporation	erred to a n branch on?	Yes X No Yes X No Yes No Yes No Yes No
Section C - Intangible	Property Subje	ct to Section 367(d)				
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length pri on date of transf		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)						
 Totals						

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		☐ No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section	···	
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable	· —	
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	pplemental Part III Information Required To Be Reported (see instructions)		
			_
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	(a) Before % (b) After %		
17	Type of nonrecognition transaction (see instructions) ► IRC SECTION 351		
18		-	
а	Indicate whether any transfer reported in Part III is subject to any of the following.		
b	Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)	Yes	X No
	Gain recognition under section 904(f)(3)		=
C	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	X No
q C	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d)	Yes Yes	X No
d	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987	Yes Yes Yes	X No X No X No
d 19	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification?	Yes Yes Yes Yes Yes	X No X No X No X No
d 19	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes Yes Yes	X No X No X No
d 19 20 a	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c.	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
d 19 20 a b	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes Yes Yes Yes Yes	X No X No X No X No
d 19 20 a b	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
d 19 20 a b c	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
d 19 20 a b	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No X No No
d 19 20 a b c	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

Return by a U.S. Transferor of Property to a Foreign Corporation ► Go to www.irs.gov/Form926 for instructions and the latest information.

•	Attach to your income tax return	n for the vear of	the transfer or	distribution.

OMB No.	1545-0026

Part I	U.S. Transferor Information (see instructions)					
Name of t	ransferor		Identifyi	ng numbe	r (see in	structions)
NEWCOL	D HOLDINGS, LLC		46-05	90883		
1 ls th	ne transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporat	ion?		Yes	X	No
2 If th	e transferor was a corporation, complete questions 2a through 2d.					
a If th	e transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c	e)) by				
five	or fewer domestic corporations?			Yes	X	No
b Did	the transferor remain in existence after the transfer?			Yes		No
	ot, list the controlling shareholder(s) and their identifying number(s).					
	Controlling shareholder		Identifying r	umber		
	e transferor was a member of an affiliated group filing a consolidated return, was it the parent cot, list the name and employer identification number (EIN) of the parent corporation.	orporation?		Yes		No
	Name of parent corporation	EIN	l of parent c	orporation	on	
d Hav	re basis adjustments under section 367(a)(4) been made?			Yes	X	No
	e transferor was a partner in a partnership that was the actual transferor (but is not treated as supplete questions 3a through 3d.	uch under se	ection 367),			
	the name and EIN of the transferor's partnership.					
			FIN of a cut-			
	Name of partnership		EIN of partr	iersnip		
NEWCOL	D HOLDINGS, LLC	45-05908	883			
b Did	the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	Х	No
	ne partner disposing of its entire interest in the partnership?			Yes	X	No
	ne partner disposing of an interest in a limited partnership that is regularly traded on an establish					
	urities market?			Yes	Х	No
Part II	Transferee Foreign Corporation Information (see instructions)			163		140
	ne of transferee (foreign corporation)	5	5a Identifyin	g numbe	er, if a	ny
DAVANT	I GROUP BV					
	dress (including country)	5	5b Reference	ID num	ber	
HOGWEG :	35-E					
ZALTMOMI	M, 5301 LJ NETHERLANDS		DGB			
7 Cou	untry code of country of incorporation or organization					
8 Fore	eign law characterization (see instructions)					
	ne transferee foreign corporation a controlled foreign corporation?		X	Yes		No
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Part III Information	Regarding Tran	sfer of Property (see	instructions	s)		r ago <u>z</u>
Section A - Cash	(-)	(In)	1		(41)	(-)
Type of property	(a) Date of transfer	(b) Description of property	Fair marke date of	et value on transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash						
10 Was cash the only pro- If "Yes," skip the rema	ainder of Part III and o					Yes X No
Section B - Other Pro Type of property	(a) Date of transfer	(b) Description of property	Fair marked	et value on	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities	transion	property	date of	transfer	basis	transfer
Inventory						_
Other property (not listed under another category)		LOAN RECEIVABL		2,903.	2,903.	
Property with built-in loss						
Totals				2,903.	2,903.	
(including a branch that If "Yes," continue to linct Immediately after the stransferee foreign corp. If "Yes," continue to linct Immediately after the transferred letter the transferr	lomestic corporation at is a foreign disregane 12c. If "No," skip I transfer, was the donoration? ne 12d. If "No," skip I oss amount included sfer property describ	that transferred substantiall arded entity) to a specified 1 ines 12c and 12d, and go to nestic corporation a U.S. shalline 12d, and go to line 13. in gross income as required ed in section 367(d)(4)?	y all of the asse 0%-owned fore o line 13. areholder with	ets of a foreign brign corporation?	ranch	Yes X No Yes No Yes No Yes No
Section C - Intangible	Property Subje	ect to Section 367(d)				
Type of property	(a) Date of transfer	(b) Description of property		(d) n's length price date of transfer	(e) Cost or other basis	(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)						
Totals						orm 026 (Poy. 11 2019)

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	☐ No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		☐ No
С	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
	ISFERS OCCURRED ON VARIOUS DATES DURING THE YEAR.		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa :	rt IV Additional Information Regarding Transfer of Property (see instructions) Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before082_ % (b) After082_ %		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before		
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes	X No
16 17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before082_ % (b) After082_ % Type of nonrecognition transaction (see instructions) \(\bigsim \) IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		=
16 17 18 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

Return by a U.S. Transferor of Property to a Foreign Corporation

► Go to www.irs.gov/Form926 for instructions and the latest information.	
Attach to your income toy return for the year of the transfer or distribution	

OMB I	No.	1545-0026

Pari	·					
Name	of transferor		Identify	ing numbe	r (see in	structions)
NEW	COLD HOLDINGS, LLC		46-05	590883		
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation	n?		Yes	X	No
2	If the transferor was a corporation, complete questions 2a through 2d.					
а	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c))	by				
	five or fewer domestic corporations?			Yes	Х	No
b	Did the transferor remain in existence after the transfer?		X	Yes		No
	If not, list the controlling shareholder(s) and their identifying number(s).					
	Controlling shareholder	lo	dentifying	number		
	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent cor If not, list the name and employer identification number (EIN) of the parent corporation.	poration?		Yes		No
	Name of parent corporation	EIN	of parent o	corporation	on	
d	Have basis adjustments under section 367(a)(4) been made?			Yes	X] No
	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as suc complete questions 3a through 3d.	ch under sec	tion 367),			
а	List the name and EIN of the transferor's partnership.					
	None of a subscribe					
	Name of partnership		IN of part	nersnip		
NEW	COLD HOLDINGS, LLC	45-059088	33			
b	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?		\square	Yes	X	No
С	Is the partner disposing of its entire interest in the partnership?			Yes	X	No
d	Is the partner disposing of an interest in a limited partnership that is regularly traded on an establishe	d				
	securities market?			Yes	Х	No
Part	Transferee Foreign Corporation Information (see instructions)					
4	Name of transferee (foreign corporation)	5а	Identifyir	ng numbe	er, if a	เทy
NEW	COLD ARGENTAN HOLDING BV					
	Address (including country) INNEN VAN NASSAUBOULEVARD 105	5b	Referenc	e ID num	ber	
	A, 4811 BN NETHERLANDS	l N	AHB			
	Country code of country of incorporation or organization					
8	Foreign law characterization (see instructions) ITED LIABILITY COMPANY					
			Х	Yes		No
	Is the transferee foreign corporation a controlled foreign corporation? 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.			<u>Yes</u> orm 926 (F	Rev. 1	

	Regarding Tran	sfer of Property (see	instructions)		<u> </u>
Section A - Cash					
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash	09/09/2021		10.		
10 Was cash the only pro	ainder of Part III and g	go to Part IV.			Yes X No
Section B - Other Pro	1	n intangible property			
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities	09/21/2021		3,496.	5,519.	
Inventory					
Other property (not listed under another category)					
Property with					
built-in loss					
Totals			3,496.	5,519.	
 12 a Were any assets of a foreign corporation? If "Yes," go to line 12 b Was the transferor a c (including a branch the If "Yes," continue to little transferee foreign corlif "Yes," continue to little transferee foreign corlif "Yes," continue to little transferree d Enter the transferred 	foreign branch (included) b. domestic corporation last is a foreign disregatine 12c. If "No," skip I transfer, was the domporation? ine 12d. If "No," skip I loss amount included insfer property describ	that transferred substantially urded entity) to a specified 10 ines 12c and 12d, and go to nestic corporation a U.S. shatine 12d, and go to line 13. in gross income as required ed in section 367(d)(4)?	n disregarded entity) transferment of the assets of a foreign corporation line 13. The property of the assets of a foreign corporation of the assets of a foreign corporation of the assets of a foreign corporation of the assets of the assets of a foreign corporation of the assets o	erred to a gn branch on?	Yes X No Yes X No Yes No Yes No
Section C - Intangible	e Property Subje	ct to Section 367(d)			
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length prion date of trans	(e) ce Cost or other fer basis	(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
 Totals					
			·	· · · · · · · · · · · · · · · · · · ·	

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		☐ No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section	—	
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	(a) Before% (b) After%		
17	Type of nonrecognition transaction (see instructions) ► IRC SECTION 351		
18	Indicate whether any transfer reported in Part III is subject to any of the following.	_	
	Gain recognition under section 904(f)(3)	Yes	
b			X No
c		Yes	=
	Gain recognition under section 904(f)(5)(F)		X No
u	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d)	Yes	X No
	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987	Yes	X No X No X No
19	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification?	Yes Yes Yes	X No X No X No X No
19	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes	X No X No X No
19 20 a	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c.	Yes Yes Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes	X No X No X No X No X No
19 20 a b c	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation		X No
19 20 a b c	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes	X No

Return by a U.S. Transferor of Property to a Foreign Corporation ▶ Go to www.irs.gov/Form926 for instructions and the latest information.

▶ Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Par	t I U.S. Transferor Information (see instructions)					
Name	of transferor		Identifyi	ng numbe	r (see in	structions)
NEW	COLD HOLDINGS, LLC		46-05	90883		
	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation	on?		Yes	Х	No
	If the transferor was a corporation, complete questions 2a through 2d.					
	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c))	bv				
	five or fewer domestic corporations?	-		Yes	Х	No
	Did the transferor remain in existence after the transfer?					No
	If not, list the controlling shareholder(s) and their identifying number(s).					,
	Controlling shareholder	ldei	ntifying n	umber		
	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corl f not, list the name and employer identification number (EIN) of the parent corporation.	poration?	L	Yes		No
	Name of parent corporation	EIN of	parent c	orporati	on	
				•		
	Have basis adjustments under section 367(a)(4) been made?			Yes	X	No
u	Trave basis adjustificitis drider section 507 (a)(4) been made:			163] 140
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as suc	ch under sectio	n 367)			
	complete questions 3a through 3d.	orrander dedile	11 007),			
	List the name and EIN of the transferor's partnership.					
	Elot the finance and Environmental and analogous a partitionally.					
	Name of partnership	EIN	of partn	ership		
NEW	COLD HOLDINGS, LLC	45-0590883				
	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	X	No
	Is the partner disposing of its entire interest in the partnership?			Yes	Х	No
	Is the partner disposing of an interest in a limited partnership that is regularly traded on an establishe					
	securities market?			Yes	X	No
Par	t II Transferee Foreign Corporation Information (see instructions)					
4	Name of transferee (foreign corporation)	5a I	dentifyin	g numb	er, if a	any
NEW	COLD DEVELOPMENT BV					
6	Address (including country)	5b F	Reference	ID num	ber	
GRAV:	INNEN VAN NASSAUBOULEVARD 105					
BREDZ	A, 4811 BN NETHERLANDS	NDB				
7 NL	Country code of country of incorporation or organization					
	Foreign law characterization (see instructions)					
	Is the transferee foreign corporation a controlled foreign corporation?		Х	Yes		No

	Regarding Tran	nsfer of Property (see	instructions)		1 ago <u>2</u>
Section A - Cash	(a)	(b)	(c)	(d)	(e)
Type of property	Date of transfer	(b) Description of property	Fair market value on date of transfer	Cost or other basis	Gain recognized on transfer
Cash	transier	property	date of transier	basis	transion
10 Was cash the only pro	•	go to Part IV.			Yes X No
Section B - Other Pro	nerty (other the	an intangible property	subject to section 36	87(d))	
Type of	(a) Date of	(b) Description of	(c) Fair market value on	(d) Cost or other	(e) Gain recognized on
property Stock and	transfer	property	date of transfer	basis	transfer
securities					
Inventory					
	12/01/2021	INTANGIBLE PRO	489.	489.	
Other property					
(not listed under					
another category)					
Due a cut v v ith					
Property with built-in loss					
Totals			489.	489.	
foreign corporation? If "Yes," go to line 12th b Was the transferor a complete (including a branch the lif "Yes," continue to lith c Immediately after the transferee foreign corporation of lift "Yes," continue to lith d Enter the transferred lift.	foreign branch (inclusion). domestic corporation at is a foreign disregine 12c. If "No," skip transfer, was the doporation? ine 12d. If "No," skip loss amount included insfer property descril	that transferred substantially arded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. shalline 12d, and go to line 13d in gross income as required ped in section 367(d)(4)?	n disregarded entity) transforms of a foreign corporate to the assets of a foreign corporate the same that are holder with respect to the same than the same that are holder with the same than the	erred to a gn branch ion?	Yes X No Yes X No Yes No Yes No Yes No
Section C - Intangible	e Property Subj	ect to Section 367(d)			
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length pr on date of trans		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					
 Totals					
ı uldış					Orm 026 (Pay 11 2019)

	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
	, i		
Sup	plemental Part III Information Required To Be Reported (see instructions)		
	, , , , , , , , , , , , , , , , ,		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions) Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	— Yes	X No
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After082 % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No
16 17 18 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After082_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

Department of the Treasury Internal Revenue Service

Return by a U.S. Transferor of Property

to a Foreign Corporation

• Go to www.irs.gov/Form926 for instructions and the latest information.

► Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Par	t I U.S. Transferor Information (see instructions)			
Name	e of transferor		Identifying numbe	r (see instructions
NEV	WCOLD HOLDINGS, LLC		46-0590883	
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation	on?	Yes	X No
2	If the transferor was a corporation, complete questions 2a through 2d.			
а	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by		
	five or fewer domestic corporations?		Yes	X No
b	Did the transferor remain in existence after the transfer?		X Yes	☐ No
	If not, list the controlling shareholder(s) and their identifying number(s).			
	Controlling shareholder	ld	lentifying number	
С	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent could not, list the name and employer identification number (EIN) of the parent corporation.	rporation?	Yes	☐ No
	Name of parent corporation	EIN o	of parent corporation	on
d	Have basis adjustments under section 367(a)(4) been made?		Yes	X No
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as su complete questions 3a through 3d.	ch under sect	tion 367),	
а	List the name and EIN of the transferor's partnership.			
		_		
	Name of partnership	E	IN of partnership	
NEV	WCOLD HOLDINGS, LLC	45-059088	:3	
	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			X No
	Is the partner disposing of its entire interest in the partnership?			X No
d	Is the partner disposing of an interest in a limited partnership that is regularly traded on an establish	ed		
	securities market?		Yes	X No
Par	Transferee Foreign Corporation Information (see instructions)			
4	Name of transferee (foreign corporation)	5a	Identifying number	er, if any
NEV	NCOLD RHEINE HOLDING II BV			
6 GRAV	Address (including country) INNEN VAN NASSAUBOULEVARD 105	5b	Reference ID numb	per
BRED	A, 4811 BN NETHERLANDS	N	RH2B	
7 NL	Country code of country of incorporation or organization			
8	Foreign law characterization (see instructions)			
9			X Yes	No
	Is the transferee foreign corporation a controlled foreign corporation?		1es	

	Regarding Tra	nsfer of Property (see	instructions)	10 000	Page Z
Section A - Cash	11094141119 1141		mon donone,		
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash	06/29/2021		8.		
10 Was cash the only pro If "Yes," skip the rema Section B - Other Pro	ainder of Part III and		subject to section 36		Yes X No
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities	06/29/2021	COMMON STOCK	3,126.	4,257.	
Inventory					
Other property (not listed under another category)					
Property with built-in loss					
Totals			3,126.	4,257.	
foreign corporation? If "Yes," go to line 12l b Was the transferor a c (including a branch th If "Yes," continue to li c Immediately after the transferee foreign corp If "Yes," continue to li d Enter the transferred	foreign branch (inclumentation) b. domestic corporation at is a foreign disreg ine 12c. If "No," skip transfer, was the do poration? ine 12d. If "No," skip loss amount include asfer property descri	n that transferred substantiall garded entity) to a specified 1 b lines 12c and 12d, and go to emestic corporation a U.S. should be line 12d, and go to line 12d, and go to line 13d in gross income as required bed in section 367(d)(4)?	n disregarded entity) transferments of the assets of a foreign corporation of the transferments of the transferments of the transfer with respect to the control of the transfer with respect to the transfer with respect to the control of the transfer with respect to the trans	n branch	Yes X No X Yes No Yes X No Yes X No Yes X No
Section C - Intangible	e Property Subj	ect to Section 367(d)		1	
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life Arm's length pric on date of transfe		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
Totals					

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?		∟ No
	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?	Yes	∟ No
С	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	∟ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) \$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pai	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before		
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before		V
16 17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After082_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No
16 17 18 a b	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After082_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes	X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

Return by a U.S. Transferor of Property to a Foreign Corporation ▶ Go to www.irs.gov/Form926 for instructions and the latest information.

▶ Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Part I U.S. Transferor Information (see instructions)				
Name of transferor		lder	ntifying numbe	r (see instructions)
WCP NEWCOLD, LP		47	-3997648	
1 Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign	corporation?		Yes	X No
2 If the transferor was a corporation, complete questions 2a through 2d.				
a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 361).	tion 368(c)) by			
five or fewer domestic corporations?			Yes	X No
b Did the transferor remain in existence after the transfer?			X Yes	☐ No
			1es	NO
If not, list the controlling shareholder(s) and their identifying number(s).				
Controlling shareholder		Identifyi	ng number	
c If the transferor was a member of an affiliated group filing a consolidated return, was it the If not, list the name and employer identification number (EIN) of the parent corporation.	parent corporation?		Yes	☐ No
Name of parent corporation	EI	IN of pare	nt corporation	on
d Have basis adjustments under section 367(a)(4) been made?			Yes	X No
3 If the transferor was a partner in a partnership that was the actual transferor (but is not tre	ated as such under s	section 36	7),	
complete questions 3a through 3d.				
a List the name and EIN of the transferor's partnership.				
Name of partnership		EIN of p	artnership	
WCP NEWCOLD LP	47-3997			X No
b Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			└── Yes	==
c Is the partner disposing of its entire interest in the partnership?			Yes	X No
d Is the partner disposing of an interest in a limited partnership that is regularly traded on an	n established			
securities market?			Yes	X No
Part II Transferee Foreign Corporation Information (see instructions)				
4 Name of transferee (foreign corporation)		5a Ident	ifying numbe	er, if any
NEWCOLD SYDNEY HOLDING UNIT TRUST		98-137		
6 Address (including country) LEVEL 1 140 BOURKE STREET		5b Refer	ence ID numb	oer
MELBOURNE , VIC 3000 AUSTRIA				
7 Country code of country of incorporation or organization AU				
8 Foreign law characterization (see instructions) LIMITED LIABILITY COMPANY				
9 Is the transferee foreign corporation a controlled foreign corporation?			X Yes	☐ No
124531 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.			Form 926 (F	Rev. 11-2018

Part III Information	Regarding Tran	sfer of Property (see i	instructions)		<u> </u>
Section A - Cash		_			
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
10 Was cash the only pro	ainder of Part III and				Yes X No
Section B - Other Pro	* * *	n intangible property		_``	
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities					
Inventory					
Other property (not listed under another category)	10/12/2020	LOAN RECEIVABL	1,386.	1,386.	
Property with built-in loss					
Totals			1,386.	1,386.	
foreign corporation? If "Yes," go to line 12th b Was the transferor a continuous distribution of the transferor of the transferee foreign corporation of the transferee foreign corporation of the transferree of transferree of the transferree of the transferred of the transferre	domestic corporation at is a foreign disregane 12c. If "No," skip transfer, was the dorporation? ne 12d. If "No," skip oss amount included isfer property describe.	that transferred substantially arded entity) to a specified 10 lines 12c and 12d, and go to mestic corporation a U.S. shalline 12d, and go to line 13d in gross income as required ped in section 367(d)(4)?	vall of the assets of a foreig 1%-owned foreign corporati line 13. reholder with respect to the	in branch	Yes X No Yes No Yes No Yes No
Section C - Intangible	Property Subje	ect to Section 367(d)			
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length pri life on date of trans		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
Totals					

	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	plemental Part III Information Required To Be Reported (see instructions)		
	, , , , , , , , , , , , , , , ,		
	IN Additional Information Department Transfer of Durantment (1997)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
Pa	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
16 17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before		X No
16 17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3)		X No X No
16 17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes	=
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes	X No
16 17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes	X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
16 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
116 17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
116 117 118 a b c d 119 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No

Return by a U.S. Transferor of Property to a Foreign Corporation ▶ Go to www.irs.gov/Form926 for instructions and the latest information.

▶ Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Par	t U.S. Transferor Information (see instructions)					
Name	of transferor		Identifyii	ng numbe	r (see ir	nstructions)
WCP	NEWCOLD, LP		47-39	97648		
	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation?		\square	Yes	X	No
2	If the transferor was a corporation, complete questions 2a through 2d.					
	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by					
	five or fewer domestic corporations?			Yes	Х	No
	Did the transferor remain in existence after the transfer?			Yes		No
	If not, list the controlling shareholder(s) and their identifying number(s).			, 100		, 110
	Controlling shareholder	Idon	tifying n	umbor		
	Controlling Shareholder	luen	urying i	uiiibei		
	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporat	ion?		Yes		No
	If not, list the name and employer identification number (EIN) of the parent corporation.			100		,
	Name of parent corporation	EIN of p	arent c	orporati	on	
				1	77	1
d	Have basis adjustments under section 367(a)(4) been made?		. L	Yes	Х	No
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such und	der section	367),			
	complete questions 3a through 3d.					
	List the name and EIN of the transferor's partnership.					
	Name of partnership	EIN	of partn	ership		
WCD	NEWCOLD LP 47-3	3997648				
	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	X	No
	Is the partner disposing of its entire interest in the partnership?		. =	Yes	Х	=
	Is the partner disposing of its entire interest in the partnership that is regularly traded on an established			163] 140
u				V	Х	l Na
Par	securities market? t II Transferee Foreign Corporation Information (see instructions)			Yes	21	No
	Name of transferee (foreign corporation)	Fo. Io	lontifi (in	g numb	or if	
•	Traine of transferee (foreign corporation)	Jane	Cittiyiii	g mamb	51,116	arry
NEW	COLD MELBOURNE 2 PTY LTP					
	Address (including country) L 1 140 BOURKE STREET	5b R	eference	ID num	ber	
		MMOT).T			
	DURNE , VIC 3000 AUSTRIA	NM21	'Г			
7 AU	Country code of country of incorporation or organization					
	Foreign law characterization (see instructions)					
	ITED LIABILITY COMPANY		Х	Voc] N/a
	Is the transferee foreign corporation a controlled foreign corporation? 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.			Yes m 926 (F	Rev. 1	<u></u> No 1-2018)

-3997648	Page 2
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Part III Information	Regarding Tran	nsfer of Property (see i	nstructi	ons)		
Section A - Cash						
Type of property	(a) Date of transfer	(b) Description of property		(c) arket value on e of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash						
10 Was cash the only pro If "Yes," skip the rema	ainder of Part III and					Yes X No
Section B - Other Pro		n intangible property	subject			
Type of property	(a) Date of transfer	(b) Description of property		(c) arket value on e of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities						
Inventory						
Other property (not listed under another category)	11/11/2020	LOAN RECEIVABL		8,683.	8,683.	
Property with						
built-in loss						
Totals				8,683.	8,683.	
 12 a Were any assets of a foreign corporation? If "Yes," go to line 12b b Was the transferor a definition (including a branch that If "Yes," continue to limit transferee foreign corporate in "Yes," continue to limit transferee foreign corporate in "Yes," continue to limit transferred in the transf	foreign branch (included) John Stick Corporation at is a foreign disregular foreign disregular foreign disregular foreign disregular foreign disregular foreign forei	-	all of the 1%-owned line 13.	ded entity) transferr assets of a foreign foreign corporation with respect to the	ed to a branch	Yes X No Yes X No Yes No No Yes X No
Section C - Intangible	Property Subj	ect to Section 367(d)	_			1
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer		(f) Income inclusion for year of transfer
Donasha dagaile d						
Property described in sec. 367(d)(4)						
Totals					F	orm 926 (Rev. 11-2018)

14 a	Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life		
	reasonably anticipated to exceed 20 years?	Yes	No
b	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		☐ No
	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable	. —	
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	Regulations section 1.367(d)-1(c)(3)(ii) ▶\$		
15	Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Sup	pplemental Part III Information Required To Be Reported (see instructions)		
		_	
Pa	rt IV Additional Information Regarding Transfer of Property (see instructions)		
16	Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
	(a) Before% (b) After%		
17	Type of nonrecognition transaction (see instructions) ► IRC SECTION 351		
18	Indicate whether any transfer reported in Part III is subject to any of the following.	_	
	mandate tribunes and mander reported in a data of the angle of the angle		
b	Gain recognition under section 904(f)(3)	Yes	X No
c	Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)		X No
·	Gain recognition under section 904(f)(5)(F)	Yes	X No
ч	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d)	Yes Yes	X No X No
	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987	Yes Yes Yes	X No X No X No
19	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification?	Yes Yes Yes Yes Yes	X No X No X No X No
19	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions)	Yes Yes Yes Yes Yes	X No X No X No
19 20 a	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c.	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes Yes Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
19 20 a b c	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes Yes Yes	X No X No X No X No
19 20 a b	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)? Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation	Yes Yes Yes Yes Yes Yes Yes Yes Yes	X No
19 20 a b c	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b) Did the domestic corporation not recognize gain or loss on the distribution of property because the property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes Yes Yes Yes Yes Yes Yes	X No

Department of the Treasury Internal Revenue Service

Return by a U.S. Transferor of Property

to a Foreign Corporation

• Go to www.irs.gov/Form926 for instructions and the latest information.

► Attach to your income tax return for the year of the transfer or distribution.

OMB No. 1545-0026

Par	t U.S. Transferor Information (see instructions)			
Name	e of transferor		Identifying numb	er (see instructions
WCF	P NEWCOLD, LP		47-3997648	
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation	?		X No
2	If the transferor was a corporation, complete guestions 2a through 2d.			
а	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by	y		
	five or fewer domestic corporations?		Yes	X No
b	Did the transferor remain in existence after the transfer?			No
	If not, list the controlling shareholder(s) and their identifying number(s).			
	Controlling shareholder	lde	entifying number	
С	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corp	oration?	Yes	□ No
	If not, list the name and employer identification number (EIN) of the parent corporation.			
	Name of parent corporation	EIN O	f parent corporat	ion
d	Have basis adjustments under section 367(a)(4) been made?		Yes	X No
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such complete questions 3a through 3d.	under secti	on 367),	
а	List the name and EIN of the transferor's partnership.			
			NI of a cuturous bis	
	Name of partnership	EI	N of partnership	
WCI	P NEWCOLD LP	17-3997648	Ω	
	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			X No
	Is the partner disposing of its entire interest in the partnership?			X No
	Is the partner disposing of an interest in a limited partnership that is regularly traded on an established			
	securities market?		Yes	X No
Par	t II Transferee Foreign Corporation Information (see instructions)			
4	Name of transferee (foreign corporation)	5а	Identifying numb	er, if any
NEW	WCOLD MELBOURNE PTY LTP			
6	Address (including country)	5b	Reference ID num	ber
	L 1 140 BOURKE STREET	,	IDI	
	OURNE , VIC 3000 AUSTRIA	NM	<u>. 11</u>	
7 AU	Country code of country of incorporation or organization			
8 T.TN	Foreign law characterization (see instructions)	<u> </u>		<u> </u>
9	Is the transferee foreign corporation a controlled foreign corporation?		X Yes	No
	15 the transferee foreign corporation a controlled foreign corporation?			Rev 11-2018

: 01111 0 L 0 1 1 1 1 L 0 1 0 1	WCP NEWCOLD, LI			47-399	7648 Page 2
	Regarding Tra	nsfer of Property (see	instructions)		
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash					
10 Was cash the only pro	ainder of Part III and	go to Part IV.			Yes X No
Section B - Other Pro		an intangible property			
Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities					
Inventory					
	11/11/2020	LOAN RECEIVABL	3,621.	3,621.	
Other property (not listed under another category)					
Property with					
built-in loss					
Totals			3,621.	3,621.	
foreign corporation? If "Yes," go to line 12! b Was the transferor a continue to lif "Yes," continue to lift "Y	b. domestic corporation at is a foreign disregine 12c. If "No," skip transfer, was the doporation? ine 12d. If "No," skip loss amount includensfer property description.	n that transferred substantiall garded entity) to a specified 1 plines 12c and 12d, and go to emestic corporation a U.S. shad in gross income as required bed in section 367(d)(4)?	y all of the assets of a foreig 0%-owned foreign corporation on line 13. areholder with respect to the	n branch on?	Yes X No Yes No Yes No Yes No
Section C - Intangible	e Property Subj	ect to Section 367(d)			
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length prion date of transi		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
Totals					
				г	orm 026 (Pay 11 2019)

Page 3

17 18 a b c d 19 20 a	Gain recognition under section 904(f)(5)(F) Recapture under section 1503(d) Exchange gain under section 987 Did this transfer result from a change in entity classification? Did a domestic corporation make a distribution of property covered by section 367(e)(2)? (see instructions) If "Yes," complete lines 20b and 20c. Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	Yes Yes Yes Yes Yes Yes	X No
b c d 19 20 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No X No
17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
17 18 a b c d 19 20 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes Yes Yes Yes	X No X No X No X No
17 18 a b c d	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes Yes	X No X No X No
17 18 a b c	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	Yes Yes	X No
17 18 a	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000 % (b) After000 % Type of nonrecognition transaction (see instructions) > IRC SECTION 351 Indicate whether any transfer reported in Part III is subject to any of the following. Gain recognition under section 904(f)(3) Gain recognition under section 904(f)(5)(F)	Yes	X No
17 18	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ % Type of nonrecognition transaction (see instructions) \[\bigstar{\text{IRC SECTION 351}}{\text{IRC Indicate whether any transfer reported in Part III is subject to any of the following.} Gain recognition under section 904(f)(3)		=
17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before	-	
17	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before000_ % (b) After000_ % Type of nonrecognition transaction (see instructions) > IRC SECTION 351	_	
	Enter the transferor's interest in the transferee foreign corporation before and after the transfer. (a) Before% (b) After%		
16			
Sup	pplemental Part III Information Required To Be Reported (see instructions)		
10	time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
15	Regulations section 1.367(d)-1(c)(3)(ii) \$\bigs\\$ \] Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
	to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
	If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
d	1.367(d)-1(c)(3)(ii) for any intangible property?	Yes	☐ No
d	Did the transferor choose to apply the 20-year inclusion period provided under Regulations section		
			No
С	At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		
С	reasonably anticipated to exceed 20 years? At the time of the transfer, did any of the transferred intangible property have an indefinite useful life?		No

Return by a U.S. Transferor of Property

to a Foreign Corporation

• Go to www.irs.gov/Form926 for instructions and the latest information.

Attach to your income tax return for the year of the transfer or distribution.

OMB N	lo. 15	45-0026	j

Part 1 0.5. Transferor information (see instructions)		
Name of transferor		Identifying number (see instructions)
WCP NEWCOLD, LP		47-3997648
1 Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign	corporation?	Yes X No
2 If the transferor was a corporation, complete questions 2a through 2d.		
a If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 361).	on 368(c)) by	
		Yes X No
		<u></u>
b Did the transferor remain in existence after the transfer?		X Yes No
If not, list the controlling shareholder(s) and their identifying number(s).		
Controlling shareholder		Identifying number
c If the transferor was a member of an affiliated group filing a consolidated return, was it the If not, list the name and employer identification number (EIN) of the parent corporation.	parent corporation?	? Yes No
Name of parent corporation	E	IN of parent corporation
d Have basis adjustments under section 367(a)(4) been made?		Yes X No
3 If the transferor was a partner in a partnership that was the actual transferor (but is not treat	ted as such under	section 367),
complete questions 3a through 3d.		
a List the name and EIN of the transferor's partnership.		
Name of partnership		EIN of partnership
WCP NEWCOLD LP	47-399	7648
b Did the partner pick up its pro rata share of gain on the transfer of partnership assets?		Yes X No
c Is the partner disposing of its entire interest in the partnership?		
d Is the partner disposing of an interest in a limited partnership that is regularly traded on an		
	established	Yes X No
Part II Transferee Foreign Corporation Information (see instructions)		Yes X No
4 Name of transferee (foreign corporation)		5a Identifying number, if any
NEWCOLD RENNES HOLDING BV		
6 Address (including country) GRAVINNEN VAN NASSAUBOULEVARD 105		5b Reference ID number
		NDUD
BREDA, 4811 BN NETHERLANDS		NRHB
7 Country code of country of incorporation or organization NL		
8 Foreign law characterization (see instructions) LIMITED LIABILITY COMPANY		
9 Is the transferee foreign corporation a controlled foreign corporation?		X Yes No
124531 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.		Form 926 (Rev. 11-2018)

	Regarding Trai	nsfer of Property (see	instructions)		1 ago <u>2</u>
Section A - Cash	(a)	(b)	(c)	(d)	(e)
Type of property	Date of transfer	(b) Description of property	Fair market value on date of transfer	Cost or other basis	Gain recognized on transfer
Cash	transici	property	date of transier	Dasis	transion
10 Was cash the only pro	ainder of Part III and				Yes X No
Type of property	(a) Date of transfer	an intangible property (b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Stock and securities	transiei	property	date of transfer	Dasis	transiei
Inventory					
	10/21/2020	LOAN RECEIVABL	21,011.	21,011.	
Other property (not listed under another category)					
Property with built-in loss					
Totals			21,011.	21,011.	
(including a branch th If "Yes," continue to li c Immediately after the transferee foreign corp If "Yes," continue to li d Enter the transferred l	domestic corporation at is a foreign disreg ne 12c. If "No," skip transfer, was the doporation? ne 12d. If "No," skip oss amount includensfer property description.	n that transferred substantiall parded entity) to a specified 1 lines 12c and 12d, and go to mestic corporation a U.S. shall be a line 12d, and go to line 13. d in gross income as required bed in section 367(d)(4)?	y all of the assets of a foreig 0%-owned foreign corporation oline 13. areholder with respect to the	n branch on?	Yes X No Yes No Yes No Yes No
Section C - Intangible	Droporty Subi	act to Section 367(d)			
Type of property	(a) Date of transfer	(b) Description of property	(c) (d) Useful Arm's length prion date of transi		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)					
Totals					Form 936 (Poy. 11 2019)

 14 a Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed 20 years? b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? d If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable 		No No
 b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? 		□ No
c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property?		
1.367(d)-1(c)(3)(ii) for any intangible property?		
	Yes	No
u ii the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
Regulations section 1.367(d)-1(c)(3)(ii) ►\$		
15 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Supplemental Part III Information Required To Be Reported (see instructions)		
Part IV Additional Information Regarding Transfer of Property (see instructions)		
16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
(a) Before % (b) After %		
17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351		
18 Indicate whether any transfer reported in Part III is subject to any of the following.		
a Gain recognition under section 904(f)(3)	Yes	X No
b Gain recognition under section 904(f)(5)(F)		X No
c Recapture under section 1503(d)		X No
d Exchange gain under section 987		X No
19 Did this transfer result from a change in entity classification?		X No
	Yes	X No
If "Yes," complete lines 20b and 20c.		
b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	▶\$	
c Did the domestic corporation not recognize gain or loss on the distribution of property because the		
property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes	No
21 Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation	103	
		X No
covered by section 367(e)(1)? See instructions	L Yes	

Return by a U.S. Transferor of Property

to a Foreign Corporation

• Go to www.irs.gov/Form926 for instructions and the latest information.

Attach to your income tax return for the year of the transfer or distribution.

Par	C.S. Transferor information (see instructions)					
Name	e of transferor		lder	ntifying numbe	r (see in	structions)
WCI	P NEWCOLD, LP		47	-3997648		
1	Is the transferee a specified 10%-owned foreign corporation that is not a controlled foreign corporation	า?		Yes	X	No
2	If the transferor was a corporation, complete questions 2a through 2d.					
а	If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c))	by				
	five or fewer domestic corporations?			Yes	X	No
b	Did the transferor remain in existence after the transfer?			X Yes		No
~	If not, list the controlling shareholder(s) and their identifying number(s).					, 110
			lala maid si			
	Controlling shareholder		identifyi	ng number		
С	If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corp	ooration?		Yes		No
	If not, list the name and employer identification number (EIN) of the parent corporation.					
	Name of parent corporation	EI	N of pare	nt corporation	on	
d	Have basis adjustments under section 367(a)(4) been made?			Yes	X	No
_				- \		
3	If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such	n unaer s	section 36	7),		
	complete questions 3a through 3d.					
а	List the name and EIN of the transferor's partnership.					
	Name of partnership		EIN of p	partnership		
WCI	P NEWCOLD LP	47-3997	7648			
b	Did the partner pick up its pro rata share of gain on the transfer of partnership assets?			Yes	X	No
С	Is the partner disposing of its entire interest in the partnership?			Yes	Х	No
d	Is the partner disposing of an interest in a limited partnership that is regularly traded on an established					
	securities market?			Yes	X	No
Par						
4	Name of transferee (foreign corporation)		5a Ident	ifying numbe	er, if a	ıny
TRA	ANSPORT LERAY SAS					
6	Address (including country)		5b Refer	ence ID numb	oer	
	A FORET					
LA B	IGNON, 44140 FRANCE		TLS			
7 FR	Country code of country of incorporation or organization					
8	Foreign law characterization (see instructions)					
9	Is the transferee foreign corporation a controlled foreign corporation?			X Yes		No
	1 04-01-21 LHA For Paperwork Reduction Act Notice, see separate instructions.			Form 926 (F	Rev. 1	

<u> </u>	Described Tro		!	:\	47-399	7648 Page 2
	Regarding Tra	nsfer of Property (see	Instructi	ions)		
Type of property	(a) Date of transfer	(b) Description of property	Fair m	(c) narket value on e of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash						
10 Was cash the only pro-	ainder of Part III and					Yes X No
	(a)	an intangible property	Subject	(c)	(d) (d)	(e)
Type of property	Date of transfer	(b) Description of property	Fair m dat	narket value on e of transfer	Cost or other basis	Gain recognized on transfer
Stock and securities						
Inventory	03/18/2020	LOAN RECEIVABL		303.	303.	
Other property	03/31/2020	LOAN RECEIVABL		911.	911.	
(not listed under						
another category)						
5 ,,						
Property with						
built-in loss						
				1 014	1 014	
Totals				1,214.	1,214.	
foreign corporation? If "Yes," go to line 12 b Was the transferor a continue to least of the transferor and the least of least of the least of least o	b. domestic corporatio nat is a foreign disre; ine 12c. If "No," skip transfer, was the do poration? ine 12d. If "No," skip loss amount include nsfer property descr	n that transferred substantiall garded entity) to a specified 1 o lines 12c and 12d, and go to mestic corporation a U.S. should be substantially and go to line 12d, and go to line 13. od in gross income as required ibed in section 367(d)(4)?	ly all of the 0%-owned o line 13. areholder v	assets of a foreign foreign corporation with respect to the	branch	Yes X No Yes No Yes No Yes No
Section C - Intangible	e Property Sub	ject to Section 367(d)		T	1	<u> </u>
Type of property	(a) Date of transfer	(b) Description of property	(c) Useful life	(d) Arm's length price on date of transfer		(f) Income inclusion for year of transfer
Property described in sec. 367(d)(4)						
Totals						
Totals				L	F	<u> </u> Form 926 (Rev. 11-2018)

 14 a Did the transferor transfer any intangible property that, at the time of the transfer, had a useful life reasonably anticipated to exceed 20 years? b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? d If the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable 		No No
 b At the time of the transfer, did any of the transferred intangible property have an indefinite useful life? c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property? 		□ No
c Did the transferor choose to apply the 20-year inclusion period provided under Regulations section 1.367(d)-1(c)(3)(ii) for any intangible property?		
1.367(d)-1(c)(3)(ii) for any intangible property?		
	Yes	No
u ii the answer to line 14c is "Yes," enter the total estimated anticipated income or cost reduction attributable		
to the intangible property's, or properties', as applicable, use(s) beyond the 20-year period described in		
Regulations section 1.367(d)-1(c)(3)(ii) ►\$		
15 Was any intangible property transferred considered or anticipated to be, at the time of the transfer or at any		
time thereafter, a platform contribution as defined in Regulations section 1.482-7(c)(1)?	Yes	☐ No
Supplemental Part III Information Required To Be Reported (see instructions)		
Part IV Additional Information Regarding Transfer of Property (see instructions)		
16 Enter the transferor's interest in the transferee foreign corporation before and after the transfer.		
(a) Before % (b) After %		
17 Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351		
18 Indicate whether any transfer reported in Part III is subject to any of the following.		
a Gain recognition under section 904(f)(3)	Yes	X No
b Gain recognition under section 904(f)(5)(F)		X No
c Recapture under section 1503(d)		X No
d Exchange gain under section 987		X No
19 Did this transfer result from a change in entity classification?		X No
	Yes	X No
If "Yes," complete lines 20b and 20c.		
b Enter the total amount of gain or loss recognized pursuant to Regulations section 1.367(e)-2(b)	▶\$	
c Did the domestic corporation not recognize gain or loss on the distribution of property because the		
property was used in the conduct of U.S. trade or business under Regulations section 1.367(e)-2(b)(2)?	Yes	No
21 Did a domestic corporation make a section 355 distribution of stock in a foreign controlled corporation	103	
		X No
covered by section 367(e)(1)? See instructions	L Yes	